By Senator Farmer

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34-00537B-18 2018896\_\_\_ A bill to be entitled

An act relating to nursing homes and related health care facilities; creating s. 366.042, F.S.; requiring the Florida Public Service Commission to ensure that public utilities effectively prioritize the restoration of services to certain health care facilities in the event of emergencies; amending s. 366.15, F.S.; deleting a provision specifying that noncompliance with certain provisions related to medically essential electric public utility service does not form the basis for a cause of action against a public utility; deleting a provision specifying that a public utility's failure to comply with certain obligations does not constitute negligence; amending s. 400.0060, F.S.; defining the term "autonomy"; amending s. 400.0063, F.S.; establishing an Office of the State Long-Term Care Ombudsman within the Department of Elderly Affairs to administer the State Long-Term Care Ombudsman Program; requiring the office to contract with or make a grant to a private nonprofit organization to manage the day-to-day operations of the program; providing that the office is not responsible for the licensing or certification of long-term care facilities and prohibiting the office from having a relationship with any such

processes for the state ombudsman; requiring the state

ombudsman and the office's legal advocate to register

facility; revising the appointment and removal

as lobbyists; expanding the duties of the legal

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advocate to include assisting the state ombudsman with certain tasks related to the autonomy of the program; amending s. 400.0065, F.S.; providing that a purpose of the State Long-Term Care Ombudsman Program is to support, rather than to administer, the state and local councils; revising requirements for the annual report required to be prepared by the State Long-Term Care Ombudsman; amending s. 400.0067, F.S.; revising the membership of the State Long-Term Care Ombudsman Council; revising the number of consecutive terms that may be served by the chair of the state council; amending s. 400.0069, F.S.; requiring each state longterm care ombudsman district to convene a public meeting at least monthly, rather than quarterly; requiring representatives of the program, upon an affirmative vote of the state council, to comment on certain existing and proposed rules, regulations, and policies; amending s. 400.0073, F.S.; authorizing state and local councils to hold public hearings related to certain investigations; requiring the legal advocate to pursue legal remedies under certain circumstances; amending s. 400.0074, F.S.; requiring that onsite administrative assessments include the review of the facility's emergency management plan; authorizing the office's legal advocate to pursue legal remedies for certain violations; requiring, rather than authorizing, the department to adopt rules implementing procedures for conducting onsite administrative assessments of long-term care

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facilities; amending s. 400.0077, F.S.; specifying that the public discussion of administrative assessments before the council is open to the public and subject to ch. 119 and s. 286.011, F.S.; amending s. 400.0078, F.S.; requiring the State Long-Term Care Ombudsman Program to create and make available a poster that contains certain information; requiring each long-term care facility to display the State Long-Term Care Ombudsman Program poster; creating s. 400.008, F.S.; providing legislative intent; requiring the Office of the State Long-Term Care Ombudsman to conduct unannounced quality-of-care evaluations of certain health and long-term care facilities; providing civil immunity from liability for certain personnel of the office who participate in evaluations; amending s. 400.0081, F.S.; requiring long-term care facilities to timely provide to the program, upon request, copies of records, policies, or documents needed to complete an investigation or assessment; requiring, rather than authorizing, the department to adopt rules to establish procedures to ensure access to facilities, residents, and records; amending s. 400.0083, F.S.; revising a penalty; requiring the Office of the State Long-Term Care Ombudsman to investigate alleged violations of willful interference with representatives of the State Long-Term Care Ombudsman Program and retaliation against specified persons; requiring the office to report to the Agency for Health Care Administration if it is

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determined that a violation occurred; requiring the agency to impose a fine for certain instances of interference with or retaliation against the State Long-Term Care Ombudsman Program; requiring the agency to collect and transfer fines into the Quality of Long-Term Care Facility Improvement Trust Fund; requiring that the Division of Administrative Hearings conduct a hearing if a determination of a violation is contested; requiring the division to adopt rules; requiring the administrative law judge to render a decision within a specified timeframe after a hearing; requiring the Chief Inspector General to investigate any willful agency interference with the State Long-Term Care Ombudsman Program; amending s. 400.0087, F.S.; requiring the nonprofit organization responsible for the day-to-day operations of the State Long-Term Care Ombudsman Program to consult with the state ombudsman in developing and submitting a budget to the department; limiting to a specified percentage the amount that the department may divert from the federal ombudsman appropriation to cover administrative costs associated with the State Long-Term Care Ombudsman Program; amending s. 400.0089, F.S.; specifying the information that must be included in quarterly reports required to be made by the State Long-Term Care Ombudsman Program; requiring the State Long-Term Care Ombudsman Program to include an analysis of such information in an annual report; amending s. 400.0091, F.S.; revising the subject areas that must be

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addressed in the curriculum for initial and continuing education training provided to representatives of the State Long-Term Care Ombudsman Program; creating s. 400.0223, F.S.; defining the term "electronic monitoring device"; requiring nursing homes to allow residents, and certain individuals on their behalf, to monitor the residents' rooms through the use of electronic monitoring devices; requiring nursing homes to require persons who conduct such monitoring to post a specific notice on the door to the residents' rooms; providing that such monitoring is voluntary and may be conducted only at the request and expense of residents or certain individuals on their behalf; prohibiting nursing homes from making certain inquiries of prospective residents or of the representatives of prospective residents; prohibiting nursing homes from rejecting applications for residency or removing residents because of intent to use or use of electronic monitoring devices; requiring nursing homes to inform residents and specified individuals of the resident's right to conduct electronic monitoring; requiring nursing homes to make reasonable physical accommodations for electronic monitoring and to provide a place for mounting and access to a power source; authorizing nursing homes to require that electronic monitoring be conducted in plain view; authorizing nursing homes to require that a request to conduct electronic monitoring be made in writing; providing that audio or video recordings created

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through the use of electronic monitoring may be admitted into evidence in court or administrative proceedings; providing criminal penalties for nursing home administrators who violate specified provisions relating to electronic monitoring; requiring prior written consent from a resident or certain individuals acting on the resident's behalf before a nursing home employee, officer, or agent may interfere with an electronic monitoring device; providing a criminal penalty for such interference without prior written consent; imposing a civil penalty on nursing homes that violate provisions related to electronic monitoring; requiring the agency to transfer certain funds into the Quality of Long-Term Care Facility Improvement Trust Fund; repealing s. 400.0238, F.S., relating to limitations on punitive damages; amending s. 400.0239, F.S.; conforming provisions to changes made by the act; creating s. 400.1185, F.S.; requiring licensed facilities to establish internal resident safety and quality-of-care coordinator programs; specifying required components for the programs, including development and implementation of a reporting system for adverse incidents; requiring that the reporting system require employees and agents to report adverse incidents to the facility's quality-ofcare coordinator within a specified timeframe; assigning responsibility for the programs to facility governing boards; requiring facilities to hire a risk manager to serve as the quality-of-care coordinator;

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limiting the number of internal resident safety and quality-of-care programs that coordinators may be responsible for; encouraging the development of other approaches to reducing adverse incidents and violations of residents' rights; requiring the agency to adopt rules to administer the programs; requiring that programs file all incident reports with a designated employee of the facility, who must meet certain requirements; providing immunity from civil liability for individuals who file incident reports; defining the term "adverse incident"; requiring facilities to submit annual reports that must include specified information to the agency by a specified date; requiring the agency to review the information submitted to determine whether disciplinary action is warranted; requiring facilities to submit an incident report and specified information to the agency within a certain timeframe after they receive the report; requiring the agency to determine within a certain timeframe whether certain adverse incidents have occurred; requiring the agency to require a written plan of correction from facilities that violate reporting requirements or provisions relating to the internal resident safety and quality-of-care coordinator programs; authorizing the agency to impose specified civil penalties and administrative fines for certain violations; requiring facilities to provide the agency with access to certain facility records; requiring the agency to review quality-of-care

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programs as part of its licensure inspection process; providing that, in the absence of intentional fraud, quality-of-care coordinators may not be held financially liable for actions taken within the scope of their authority in connection with the administration of this section; requiring the agency to report to the appropriate regulatory board its reasonable belief that the conduct of an agent or employee of a licensed facility constitutes grounds for disciplinary action; requiring the agency to publish on its website an annual report card containing specific information for licensed facilities beginning on a specified date; requiring the report card to include a specified statement; amending s. 400.141, F.S.; requiring a licensed nursing home to satisfy certain financial requirements; providing that the required funds may not be used for litigation costs or attorney fees in certain circumstances; creating s. 400.1411, F.S.; requiring nursing home facilities, as a condition of licensure, to demonstrate to the satisfaction of the agency and the Office of Insurance Regulation of the Financial Services Commission the financial ability to pay claims and costs arising out of the rendering of, or the failure to render, care or services; providing proper means of documentation; requiring insurers, self-insurers, and risk retention groups to promptly notify the agency and the office of cancellation or nonrenewal of insurance; requiring a licensee to pay

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the entire amount of a judgment, award, or settlement and all accrued interest if a court orders a final judgment against the licensee; providing that certain deceptive, untrue, or fraudulent representations or violations of financial requirements by any individual or entity on behalf of a facility may result in disciplinary action or a civil penalty with no aggregate limit; requiring the agency to issue a conditional license and authorizing the agency to immediately suspend a license if a facility shows a continuous pattern of violation of this section; amending s. 400.19, F.S.; requiring the agency to determine compliance with standards for electricity and emergency power sources during routine unannounced inspections of licensed nursing home facilities; amending s. 400.191, F.S.; requiring facilities that are on the Nursing Home Guide Watch List to conspicuously post a sign that meets certain requirements on each entrance to the facility for a certain period of time; requiring the agency to cite for a class I violation, place a facility on a 6-month inspection cycle, and extend the duration of a facility's inclusion on the watch list for a specified additional period of time under certain circumstances; creating s. 400.226, F.S.; requiring licensed nursing homes to comply with certain federal rules and regulations; providing that a violation of such federal regulations is considered negligence per se; amending s. 400.23, F.S.; requiring the agency, in

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consultation with the Department of Health and the Department of Elderly Affairs, to adopt and enforce rules requiring a licensed nursing home facility to have adequate electrical equipment, an emergency power source, and a supply of fuel which meet specified criteria; requiring a comprehensive emergency plan to provide for the evacuation of all residents of a facility if the facility experiences a power outage and is unable to sustain adequate emergency power; requiring the agency to immediately impose a civil penalty in a specified amount on a facility if it determines that a resident of the facility died as the result of abuse or neglect; amending s. 406.11, F.S.; requiring medical examiners to determine the cause of death when a person dies in their district in a nursing home on the federal Special Focus Facility list or on the Nursing Home Guide Watch List; amending s. 406.13, F.S.; requiring a medical examiner to notify and forward documentation to the state attorney if he or she determines that a nursing home resident died as a result of abuse, sexual abuse, or negligence; requiring the state attorney to seat a grand jury within 90 days after receipt of such notification and investigate whether criminal charges are warranted; repealing s. 429.298, F.S., relating to limitations on punitive damages; amending s. 429.34, F.S.; requiring the agency to determine compliance with certain standards during the routine inspection of a licensed assisted living facility, including

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those related to construction and emergency power sources; amending s. 429.41, F.S.; requiring the Department of Elderly Affairs, in consultation with the agency, the Department of Children and Families, and the Department of Health, to adopt and enforce rules relating to electricity and requiring a licensed assisted living facility to maintain equipment sufficient to provide an emergency power source and a supply of fuel which meet specified criteria; requiring that a comprehensive emergency plan provide for the evacuation of all residents of a facility if the facility experiences a power outage and is unable to sustain emergency power as required; providing an effective date.

Be It Enacted by the Legislature of the State of Florida:

Section 1. Section 366.042, Florida Statutes, is created to read:

366.042 Power restoration priority.—The commission shall

ensure that public utilities have effectively prioritized, in the event of an emergency, the restoration of services to critical medical facilities, including nursing homes licensed

under part II of chapter 400 and assisted living facilities
licensed under part I of chapter 429.

Section 2. Subsection (11) of section 366.15, Florida Statutes, is amended, and subsections (1) through (10) of that section are republished, to read:

366.15 Medically essential electric public utility

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service.-

(1) As used in this section, the term "medically essential" means the medical dependence on electric-powered equipment that must be operated continuously or as circumstances require as specified by a physician to avoid the loss of life or immediate hospitalization of the customer or another permanent resident at the residential service address.

- (2) Each public utility shall designate employees who are authorized to direct an ordered continuation or restoration of medically essential electric service. A public utility shall not impose upon any customer any additional deposit to continue or restore medically essential electric service.
- (3) (a) Each public utility shall annually provide a written explanation of the certification process for medically essential electric service to each utility customer. Certification of a customer's electricity needs as medically essential requires the customer to complete forms supplied by the public utility and to submit a form completed by a physician licensed in this state pursuant to chapter 458 or chapter 459 which states in medical and nonmedical terms why the electric service is medically essential. False certification of medically essential service by a physician is a violation of s. 458.331(1)(h) or s. 459.015(1)(i).
- (b) Medically essential service shall be recertified once every 12 months. The public utility shall send the certified customer by regular mail a package of recertification materials, including recertification forms, at least 30 days prior to the expiration of the customer's certification. The materials shall advise the certified customer that he or she must complete and

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submit the recertification forms within 30 days after the expiration of customer's existing certification. If the recertification forms are not received within this 30-day period, the public utility may terminate the customer's certification.

- (4) Each public utility shall certify a customer's electric service as medically essential if the customer completes the requirements of subsection (3).
- (5) Notwithstanding any other provision of this section, a public utility may disconnect service to a residence whenever an emergency may threaten the health or safety of a person, the surrounding area, or the public utility's distribution system. The public utility shall act promptly to restore service as soon as feasible.
- disconnection of service for nonpayment of bills to a customer who requires medically essential service, a public utility shall attempt to contact the customer by telephone in order to provide notice of the scheduled disconnection. If the customer does not have a telephone number listed on the account or if the public utility cannot reach the customer or other adult resident of the premises by telephone by the specified time, the public utility shall send a representative to the customer's residence to attempt to contact the customer, no later than 4 p.m. of the day before scheduled disconnection. If contact is not made, however, the public utility may leave written notification at the residence advising the customer of the scheduled disconnection. Thereafter, the public utility may disconnect service on the specified date.

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(7) Each public utility customer who requires medically essential service is responsible for making satisfactory arrangements with the public utility to ensure payment for such service, and such arrangements must be consistent with the requirements of the utility's tariff.

- (8) Each public utility customer who requires medically essential service is solely responsible for any backup equipment or power supply and a planned course of action in the event of a power outage or interruption of service.
- (9) Each public utility that provides electric service to any customer who requires medically essential service shall call, contact, or otherwise advise such customer of scheduled service interruptions.
- (10) (a) Each public utility shall provide information on sources of state or local agency funding which may provide financial assistance to the public utility's customers who require medically essential service and who notify the public utility of their need for financial assistance.
- (b)1. Each public utility that operates a program to receive voluntary financial contributions from the public utility's customers to provide assistance to persons who are unable to pay for the public utility's services shall maintain a list of all agencies to which the public utility distributes such funds for such purposes and shall make the list available to any such person who requests the list.
  - 2. Each public utility that operates such a program shall:
- a. Maintain a system of accounting for the specific amounts distributed to each such agency, and the public utility and such agencies shall maintain a system of accounting for the specific

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amounts distributed to persons under such respective programs.

b. Train its customer service representatives to assist any person who possesses a medically essential certification as provided in this section in identifying such agencies and programs.

(11) Nothing in this act shall form the basis for any cause of action against a public utility. Failure to comply with any obligation created by this act does not constitute evidence of negligence on the part of the public utility.

Section 3. Present subsections (3) through (14) of section 400.0060, Florida Statutes, are redesignated as subsections (4) through (15), respectively, and a new subsection (3) is added to that section, to read:

400.0060 Definitions.—When used in this part, unless the context clearly dictates otherwise, the term:

(3) "Autonomy" means the freedom of residents from threats of interference, coercion, retaliation, or intimidation as they reside and receive care in a long-term care facility and as advocated for by the Office of the State Long-Term Care Ombudsman.

Section 4. Section 400.0063, Florida Statutes, is amended to read:

400.0063 Establishment of the State Long-Term Care Ombudsman Program; designation of ombudsman and legal advocate.—

(1) The Office of There is created the State Long-Term Care Ombudsman is established within Program in the Department of Elderly Affairs to administer the State Long-Term Care Ombudsman Program. The office shall enter into a contract with, or make a grant to, a private nonprofit organization to oversee the day-

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to-day operations of the program. The office does not have any responsibility with regard to the licensing or certification of long-term care facilities and may not have a relationship with any long-term care facility.

- (2) (a) The State Long-Term Care Ombudsman Program shall be headed by the State Long-Term Care Ombudsman, who shall serve on a full-time basis and shall personally, or through representatives of the program, carry out the its purposes and functions of the program in accordance with state and federal law.
- (b) A five-member selection panel appointed by the

  Secretary of Elderly Affairs shall appoint the state ombudsman,
  who must have shall be appointed by and shall serve at the
  pleasure of the Secretary of Elderly Affairs. The secretary
  shall appoint a person who has expertise in the operation of a
  nonprofit organization and at least 5 years of experience in the
  fields of long-term care resident and advocacy. The state
  ombudsman may be removed from office only by a two-thirds vote
  of the state council with the consent of the secretary and the
  private nonprofit organization that oversees the operations of
  the program. The to serve as state ombudsman shall register as a
  lobbyist pursuant to s. 11.045.
- (3) (a) The state ombudsman shall select a person who is a member in good standing of The Florida Bar to serve in the position of There is created in the office the position of legal advocate, which is created within the office. The legal advocate, who shall be selected by and serve at the pleasure of the state ombudsman, shall register as a lobbyist pursuant to s. 11.045 and shall be a member in good standing of The Florida

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<del>Bar</del>.

(b) The duties of the legal advocate <del>shall</del> include, but <u>are</u> not <del>be</del> limited to:

- 1. Assisting the state ombudsman in carrying out the duties of the office with respect to the abuse, neglect, exploitation, or violation of rights of residents of long-term care facilities.
- 2. Assisting the representatives of the State Long-Term Care Ombudsman Program in carrying out their responsibilities under this part.
- 3. Pursuing administrative, legal, and other appropriate remedies on behalf of residents.
- 4. Serving as legal counsel to the representatives of the State Long-Term Care Ombudsman Program in any suit or other legal action that is initiated in connection with the performance of the official duties of the representatives of the State Long-Term Care Ombudsman Program.
- 5. Assisting the state ombudsman in ensuring that the program is operated autonomously; without conflict of interest; and without interference, coercion, or retaliation against those associated with the operation of the program.
- Section 5. Paragraph (f) of subsection (1) and paragraph (h) of subsection (2) of section 400.0065, Florida Statutes, are amended to read:
- 400.0065 State Long-Term Care Ombudsman Program; duties and responsibilities.—
- - (f) Support Administer the state and local councils.

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(2) The State Long-Term Care Ombudsman has the duty and authority to:

- (h) Prepare an annual report describing the activities carried out by the office, the state council, the districts, and the local councils in the year for which the report is prepared. The state ombudsman shall submit the report to the secretary, the United States Assistant Secretary for Aging, the Governor, the President of the Senate, the Speaker of the House of Representatives, the Secretary of Children and Families, and the Secretary of the Agency for Health Care Administration at least 30 days before the convening of the regular session of the Legislature. The report must, at a minimum:
- 1. Contain and analyze data collected concerning complaints about and conditions in long-term care facilities and the disposition of such complaints.
  - 2. Evaluate the problems experienced by residents.
- 3. Analyze the successes of the State Long-Term Care Ombudsman Program during the preceding year, including an assessment of how successfully the program has carried out its responsibilities under the Older Americans Act and the laws of this state.
- 4. Provide recommendations for policy, regulatory, and statutory changes designed to solve identified problems; resolve residents' complaints; improve residents' lives and quality of care; protect residents' rights, health, safety, and welfare; and remove any barriers to the optimal operation of the State Long-Term Care Ombudsman Program.
- 5. Contain recommendations from the State Long-Term Care Ombudsman Council, local councils, resident and family councils,

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and consumer advocacy groups regarding program functions and activities and recommendations for policy, regulatory, and statutory changes designed to protect residents' rights, health, safety, and welfare.

- 6. Contain any relevant recommendations from the representatives of the State Long-Term Care Ombudsman Program regarding program functions and activities.
- Section 6. Subsection (3) and paragraph (c) of subsection (4) of section 400.0067, Florida Statutes, are amended to read:
- 400.0067 State Long-Term Care Ombudsman Council; duties; membership.—
- (3) The State Long-Term Care Ombudsman Council consists of one active certified ombudsman from each local council in <u>each</u> a district and one resident, one family member of a resident, and one consumer advocate, each appointed by the state ombudsman plus three at-large members.
- (a) Each local council in a district must select a representative of its choice to serve on the state council.
- (b)1. The state ombudsman shall submit to the secretary a list of individuals recommended for appointment to the at-large positions on the state council. The list may not include the name of any individual who is currently serving in a district.
- 2. The secretary shall appoint three at-large members chosen from the list.

(4)

- (c)1. The state council shall elect a chair to serve for a term of 1 year. A chair may not serve more than  $\underline{\text{three}}$  two consecutive terms.
  - 2. The chair shall select a vice chair from among the

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members. The vice chair shall preside over the state council in the absence of the chair.

- 3. The chair may create additional executive positions as necessary to carry out the duties of the state council. Any person appointed to an executive position shall serve at the pleasure of the chair, and his or her term shall expire on the same day as the term of the chair.
- 4. A chair may be immediately removed from office before the expiration of his or her term by a vote of two-thirds of all state council members present at any meeting at which a quorum is present. If a chair is removed from office before the expiration of his or her term, a replacement chair shall be chosen during the same meeting in the same manner as described in this paragraph, and the term of the replacement chair shall begin immediately. The replacement chair shall serve for the remainder of the term and is eligible to serve three two subsequent consecutive terms.

Section 7. Paragraphs (b) and (c) of subsection (1) and paragraph (d) of subsection (2) of section 400.0069, Florida Statutes, are amended to read:

400.0069 Long-term care ombudsman districts; local long-term care ombudsman councils; duties; appointment.—

(1)

(b) The state ombudsman shall ensure that there is at least one employee of the department certified as a long-term care ombudsman and a least one local council operating in each district. The state ombudsman may create additional local councils as necessary to ensure that residents throughout the state have meaningful adequate access to State Long-Term Care

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Ombudsman Program services.

(c) Each district shall convene a public meeting at least monthly quarterly.

- (2) The duties of the representatives of the State Long-Term Care Ombudsman Program are to:
- (d) Review and, upon an affirmative vote of the state council, if necessary, comment on all existing or proposed rules, regulations, and other governmental policies and actions relating to long-term care facilities which that may potentially have an effect on the health, safety, welfare, and rights of residents.

Section 8. Section 400.0073, Florida Statutes, is amended to read:

400.0073 State and local ombudsman council investigations.-

- (1) A representative of the State Long-Term Care Ombudsman Program shall identify and investigate, within a reasonable time after a complaint is made, by or on behalf of a resident relating to actions or omissions by providers or representatives of providers of long-term care services, other public agencies, guardians, or representative payees which may adversely affect the health, safety, welfare, or rights of residents.
- (2) Subsequent to an appeal from a local council, the state council may investigate any complaint received by the local council involving a long-term care facility or a resident.
- (3) The state council or a local council may hold a public hearing to assist the State Long-Term Care Ombudsman Program in its investigation of a complaint.
- $\underline{(4)}$  (3) If a representative of the State Long-Term Care Ombudsman Program is not allowed to enter a long-term care

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facility, the administrator of the facility shall be considered to have interfered with a representative of the State Long-Term Care Ombudsman Program in the performance of official duties as described in s. 400.0083(1) and to have violated this part. The representative of the State Long-Term Care Ombudsman Program shall report a facility's refusal to allow entry to the state ombudsman or his or her designee, who shall report the incident to the agency, and the agency shall record the report and take it into consideration when determining actions allowable under s. 400.102, s. 400.121, s. 429.14, s. 429.19, s. 429.69, or s. 429.71. The legal advocate shall pursue legal remedies against a person, a long-term care facility, or another entity that violates s. 400.0083(1).

Section 9. Subsections (1), (4), and (5) of section 400.0074, Florida Statutes, are amended to read:

400.0074 Local ombudsman council onsite administrative assessments.—

- (1) A representative of the State Long-Term Care Ombudsman Program shall conduct, at least annually, an onsite administrative assessment of each nursing home, assisted living facility, and adult family-care home. This administrative assessment must be comprehensive in nature, must be resident-centered, must include a review of the facility's emergency management plan, and must focus on factors affecting residents' rights, health, safety, and welfare. Each local council is encouraged to conduct a similar onsite administrative assessment of each new additional long-term care facility within its jurisdiction.
  - (4) An onsite administrative assessment may not be

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639 accomplished by forcible entry. However, if a representative of 640 the State Long-Term Care Ombudsman Program is not allowed to 641 enter a long-term care facility, the administrator of the 642 facility shall be considered to have interfered with a 643 representative of the State Long-Term Care Ombudsman Program in 644 the performance of official duties as described in s. 645 400.0083(1) and to have committed a violation of this part. The 646 representative of the State Long-Term Care Ombudsman Program 647 shall report the refusal by a facility to allow entry to the 648 state ombudsman or his or her designee, who shall report the 649 incident to the agency, and the agency shall record the report 650 and take it into consideration when determining actions 651 allowable under s. 400.102, s. 400.121, s. 429.14, s. 429.19, s. 652 429.69, or s. 429.71. The legal advocate may pursue legal 653 remedies for any violation of s. 400.0083.

(5) The department, in consultation with the state ombudsman, <u>shall</u> <u>may</u> adopt rules implementing procedures for conducting onsite administrative assessments of long-term care facilities.

Section 10. Subsection (3) of section 400.0077, Florida Statutes, is amended to read:

400.0077 Confidentiality.-

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(3) All other matters before the council, including the public discussion of administrative assessments, shall be open to the public and subject to chapter 119 and s. 286.011.

Section 11. Subsection (3) is added to section 400.0078, Florida Statutes, and subsections (1) and (2) of that section are republished, to read:

400.0078 Citizen access to State Long-Term Care Ombudsman

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Program services.-

(1) The office shall establish a statewide toll-free telephone number and e-mail address for receiving complaints concerning matters adversely affecting the health, safety, welfare, or rights of residents.

- (2) Upon admission to a long-term care facility, each resident or representative of a resident must receive information regarding:
- (a) The purpose of the State Long-Term Care Ombudsman Program.
- (b) The statewide toll-free telephone number and e-mail address for receiving complaints.
- (c) Information that retaliatory action cannot be taken against a resident for presenting grievances or for exercising any other resident right.
- (d) Other relevant information regarding how to contact representatives of the State Long-Term Care Ombudsman Program.

Each resident or his or her representative must be furnished additional copies of this information upon request.

(3) The State Long-Term Care Ombudsman Program shall create and make available a poster that includes the statewide toll-free telephone number as described in subsection (1) and other relevant contact information for receiving complaints or a summary of residents' rights. Each long-term care facility shall display a State Long-Term Care Ombudsman Program poster in multiple, conspicuous places.

Section 12. Section 400.008, Florida Statutes, is created to read:

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400.008 Unannounced quality-of-care evaluations.-

- (1) It is the intent of the Legislature that the environment in long-term care facilities be conducive to the dignity and autonomy of residents and that investigations by the Office of the State Long-Term Care Ombudsman safeguard the health, safety, and welfare of residents.
- (2) The Office of the State Long-Term Care Ombudsman shall conduct unannounced quality-of-care evaluations of health and long-term care facilities that provide services to the elderly. The office may use undercover personnel to act as patients or employees of the facility. The purpose of the evaluations is to:
- (a) Identify and track abuse and neglect issues and potential abuse and neglect issues in facilities;
- (b) Evaluate positive and negative aspects of facility care based on state rules and federal laws and regulations; and
- (c) Observe facilities' actions to correct and resolve complaints or allegations of abuse, neglect, or exploitation.
- (3) Any employee or contractor of the Office of the State

  Long-Term Care Ombudsman who participates in an evaluation is

  immune from liability in any civil action related to the

  evaluation, provided that he or she acted in good faith during
  the course of the evaluation.

Section 13. Section 400.0081, Florida Statutes, is amended to read:

- 400.0081 Access to facilities, residents, and records.-
- (1) A long-term care facility shall provide representatives of the State Long-Term Care Ombudsman Program with access to:
  - (a) The long-term care facility and its residents.
  - (b) When Where appropriate, medical and social records of a

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resident for review if:

1. The representative of the State Long-Term Care Ombudsman Program has the permission of the resident or the legal representative of the resident; or

- 2. The resident is unable to consent to the review and does not have a legal representative.
- (c) Medical and social records of a resident as necessary
  to investigate a complaint, if:
- 1. A legal representative or guardian of the resident refuses to give permission;
- 2. The representative of the State Long-Term Care Ombudsman Program has reasonable cause to believe that the legal representative or guardian is not acting in the best interests of the resident; and
- 3. The representative of the State Long-Term Care Ombudsman Program obtains the approval of the state ombudsman.
- (d) Administrative records, policies, and documents to which residents or the general public have access.
- (e) Upon request, copies of all licensing and certification records maintained by the state with respect to a long-term care facility.
- (2) Copies of records, policies, or documents needed to complete an investigation or assessment must be timely provided by the facility upon request and at no expense to the program.
- $\underline{(3)}$  (2) The department, in consultation with the state ombudsman,  $\underline{\text{shall}}$   $\underline{\text{may}}$  adopt rules to establish procedures to ensure access to facilities, residents, and records as described in this section.
  - Section 14. Section 400.0083, Florida Statutes, is amended

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to read:

 400.0083 Interference <u>by a person</u>, <u>facility</u>, <u>or entity</u>; retaliation <u>prohibited</u>; <u>criminal</u> penalties; <u>administrative</u> fines; interference by agency.—

- (1) A person, long-term care facility, or other entity may not willfully interfere with a representative of the State Long-Term Care Ombudsman Program in the performance of <a href="https://www.nis.gov/his.gov/his/documents/">his or her official duties.</a>
- (2) A person, long-term care facility, or other entity may not knowingly or willfully take action or retaliate against any resident, employee, or other person for filing a complaint with, providing information to, or otherwise cooperating with any representative of the State Long-Term Care Ombudsman Program.
- (3) A person, long-term care facility, or other entity that violates this section:
- (a) Is liable for damages and equitable relief as determined by law.
- (b) Commits a misdemeanor of the  $\underline{\text{first}}$  second degree, punishable as provided in s. 775.083.
- investigate each alleged violation of subsection (1) or subsection (2) to determine if a violation occurred. If the office determines that a violation occurred, it must report the determination to the agency. The agency shall impose a civil penalty of up to \$5,000 per occurrence on a person, long-term care facility, or other entity that the office finds in violation of subsection (1) and a civil penalty of up to \$10,000 per occurrence on a person, long-term care facility, or other entity that the office finds in violation of subsection (2). The

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agency shall transfer funds collected pursuant to this subsection into the Quality of Long-Term Care Facility

Improvement Trust Fund established under s. 400.0239. The Division of Administrative Hearings shall conduct a hearing if a determination of a violation is contested. The division shall establish by rule procedures for hearing requests. The administrative law judge must render a decision within 90 days after the hearing.

(5) The Chief Inspector General shall investigate any willful agency interference with the activities of the State Long-Term Care Ombudsman Program in the performance of its official duties.

Section 15. Subsections (1), (3), and (4) of section 400.0087, Florida Statutes, are amended to read:

400.0087 Department oversight; funding.-

- (1) The department shall perform its duties  $\frac{1}{2}$  meet the costs associated with the State Long-Term Care Ombudsman Program from funds appropriated  $\frac{1}{2}$  for that purpose  $\frac{1}{2}$  to it.
- (a) The nonprofit organization responsible for the day-to-day operations of the program, in consultation with the state ombudsman, shall develop and submit a budget to the department which must shall include the costs associated with administrative support of the State Long-Term Care Ombudsman Program when developing its budget requests for consideration by the Governor and submittal to the Legislature.
- (b) The department may divert from the federal ombudsman appropriation an amount equal to the department's administrative cost ratio, which may not exceed 5 percent, to cover the costs associated with administering the State Long-Term Care Ombudsman

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Program. The remaining allotment from the Older Americans Act program shall be expended on direct ombudsman activities.

- (3) The department is responsible for ensuring that the State Long-Term Care Ombudsman Program:
- (a) Has the objectivity and <u>autonomy</u> independence required to qualify it for funding under the federal Older Americans Act.
- (b) Provides information to public and private agencies, legislators, and others.
- (c) Provides appropriate training to representatives of the State Long-Term Care Ombudsman Program.
- (d) Coordinates ombudsman services with Disability Rights Florida, the Advocacy Center for Persons with Disabilities and with providers of legal services to residents of long-term care facilities in compliance with state and federal laws.
  - (4) The department shall also:
- (a) Receive and disburse state and federal funds for purposes that the state ombudsman has formulated in accordance with the Older Americans Act.
- (b) Whenever the state ombudsman deems necessary, act as liaison between agencies and branches of the federal and state governments and the State Long-Term Care Ombudsman Program.

Section 16. Section 400.0089, Florida Statutes, is amended to read:

400.0089 Complaint data reports.-

(1) The State Long-Term Care Ombudsman Program shall maintain a statewide uniform reporting system to collect and analyze data relating to complaints and conditions in long-term care facilities and to residents for the purpose of identifying and resolving complaints.

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(2) Information pertaining to the number and types of complaints received by the State Long-Term Care Ombudsman Program shall be published quarterly and made readily available and shall include all of the following:

- (a) The license number, name, address, and county of each facility that is the subject of a complaint.
- (b) The case number and dates that each investigation was opened and closed.
  - (c) The identified complaint codes for each case.
- (d) The National Ombudsman Reporting System description for each case.
- (e) The disposition of each case, specified by complaint code.
- (3) The State Long-Term Care Ombudsman Program shall include an analysis of such information in the annual report required under s. 400.0065.
- Section 17. Subsection (2) of section 400.0091, Florida Statutes, is amended to read:
- 400.0091 Training.—The state ombudsman shall ensure that appropriate training is provided to all representatives of the State Long-Term Care Ombudsman Program.
- (2) The state ombudsman shall approve the curriculum for the initial and continuing education training, which must, at a minimum, address:
  - (a) Resident confidentiality.
  - (b) Guardianships and powers of attorney.
  - (c) Medication administration.
- (d) Care and medication of residents with dementia and Alzheimer's disease.

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- (e) Accounting for residents' funds.
  - (f) Discharge rights and responsibilities.
  - (q) Cultural sensitivity.
  - (h) Person-centered care initiatives.
  - (i) Abuse and neglect of residents.
  - (j) (h) Any other topic related to residency in a long-term care facility.
  - Section 18. Section 400.0223, Florida Statutes, is created to read:
  - 400.0223 Resident use of electronic monitoring devices in nursing homes.—
  - (1) As used in this section, the term "electronic monitoring device" includes both of the following:
  - (a) Video surveillance cameras installed in the room of a resident.
  - (b) Audio devices installed in the room of a resident designed to acquire communications or other sounds occurring in the room.
  - (2) A nursing home shall allow a resident; the resident's surrogate; the resident's guardian; or, at the resident's request, the resident's personal representative to monitor the resident's room through the use of electronic monitoring devices.
  - (3) The nursing home shall require the person who conducts electronic monitoring to post a notice on the door to the resident's room stating that the room is being monitored by an electronic monitoring device.
  - (4) Electronic monitoring conducted under this section is voluntary and may be conducted only at the request and expense

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of the resident, the resident's surrogate, the resident's guardian, or the resident's personal representative. To the extent possible, such monitoring must protect the privacy rights of other residents and visitors to the nursing home.

- (5) (a) A nursing home may not inquire of a prospective resident or the representative of a prospective resident who is applying to reside at the facility regarding the resident's intentions to use an electronic monitoring device and may not refuse an application for residency or remove a resident from the nursing home on the basis of intent to use or use of an electronic monitoring device.
- (b) A nursing home shall inform a resident, the resident's surrogate, the resident's guardian, or the personal representative of the resident of the resident's right to conduct electronic monitoring.
- (6) A nursing home shall make reasonable physical accommodations to facilitate electronic monitoring and shall provide a reasonably secure place to mount an electronic monitoring device and access to a power source for the device.
- (7) If electronic monitoring is conducted by or on behalf of a resident, the nursing home may require the resident, the resident's surrogate, the resident's guardian, or the resident's personal representative to conduct the electronic monitoring in plain view.
- (8) A nursing home may require that a request to conduct electronic monitoring be made in writing.
- (9) Subject to applicable rules of evidence and procedure, an audio or video recording created through the use of electronic monitoring conducted under this section may be

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admitted into evidence in any court or administrative proceeding.

- (10) An administrator of a nursing home who knowingly refuses to allow a resident; the resident's surrogate; the resident's guardian; or, at the request of the resident, the resident's personal representative to monitor the room of the resident in accordance with this section through the use of an electronic monitoring device commits a misdemeanor of the second degree, punishable under s. 775.082 or s. 775.083.
- (11) An administrator of a nursing home who knowingly refuses to admit a person to residency or knowingly allows the removal of a resident from the nursing home because of a request to conduct electronic monitoring under this section commits a misdemeanor of the second degree, punishable under s. 775.082 or s. 775.083.
- (12) (a) An employee, officer, or other agent of a nursing home may not intentionally hamper, obstruct, tamper with, or destroy an electronic monitoring device installed in a resident's room in accordance with this section, or a tape or recording made by such a device, unless he or she first obtains the written consent of the resident, the resident's surrogate, the resident's guardian, or the resident's personal representative on a form provided by the agency. Such consent form must be signed by the resident or the person representing the resident who made the request and one other witness.
- (b) In the absence of such written consent, an employee, officer, or other agent of a nursing home who intentionally hampers, obstructs, tampers with, or destroys an electronic monitoring device installed in a resident's room in accordance

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with this section, or a tape or recording made by such a device, commits a misdemeanor of the first degree, punishable under s. 775.082 or s. 775.083.

\$500 per violation per day on a licensee who operates a nursing home found to be in violation of this section. The agency shall transfer funds collected pursuant to this subsection into the Quality of Long-Term Care Facility Improvement Trust Fund established under s. 400.0239.

Section 19. <u>Section 400.0238</u>, <u>Florida Statutes</u>, is repealed.

Section 20. Subsection (1) of section 400.0239, Florida Statutes, is amended to read:

400.0239 Quality of Long-Term Care Facility Improvement Trust Fund.—

(1) There is created within the Agency for Health Care Administration a Quality of Long-Term Care Facility Improvement Trust Fund to support activities and programs directly related to improvement of the care of nursing home and assisted living facility residents. The trust fund shall be funded through proceeds generated pursuant to <a href="mailto:ss.400.0083">ss.400.0083</a> and 400.0223 <a href="mailto:ss.400.0083">ss.400.0083</a> and 400.0223 <a href="mailto:ss.400.0083">ss.400.0083</a> and 429.298, through funds specifically appropriated by the Legislature, through gifts, endowments, and other charitable contributions allowed under federal and state law, and through federal nursing home civil monetary penalties collected by the Centers for Medicare and Medicaid Services and returned to the state. These funds must be utilized in accordance with federal requirements.

Section 21. Section 400.1185, Florida Statutes, is created

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987 to read:

400.1185 Internal resident safety and quality-of-care coordinator program.—

- (1) Each licensed facility shall establish an internal resident safety and quality-of-care coordinator program that includes all of the following:
- (a) An analysis of the frequency and causes of violations of residents' rights and of adverse incidents.
- (b) An analysis of resident and family member grievances that relate to resident safety and quality of care.
- (c) The development and implementation of measures to promote autonomy within the facility, to enhance the quality of life and the safety of residents, and to decrease the frequency of violations of residents' rights and of adverse incidents.
- (d) Safety and risk prevention education and the training of all nonphysician personnel who provide resident care, which must be included as part of the initial orientation of such personnel. Such personnel must complete at least 5 additional hours of education and training annually.
- (e) The development and implementation of a reporting system that requires all employees and agents of the licensed facility to report adverse incidents to the quality-of-care coordinator, as described in subsection (2), or to his or her designee, within 3 business days after the adverse incident occurs.
- (2) The internal resident safety and quality-of-care coordinator programs are the responsibility of the governing board of each facility. Each facility shall hire a risk manager who shall act as the quality-of-care coordinator and be

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responsible for implementation and oversight of the facility's internal resident safety and quality-of-care coordinator program. The risk manager may not be made responsible for internal resident safety and quality-of-care coordinator programs in more than four facilities licensed under this chapter.

- (3) In addition to the programs created under this section, the development of other innovative approaches is encouraged to reduce the frequency and severity of adverse incidents and of violations of residents' rights.
- (4) The agency shall adopt rules to administer the internal resident safety and quality-of-care coordinator programs. Each program must file any collected incident reports with an employee designated by the facility, who must be proficient in resident safety techniques and must have access to all resident care and safety records of the facility, including internal and state-required incident reports. An individual who files an incident report is not subject to civil suit by virtue of filing the incident report. For purposes of this section, the term "adverse incident" means a situation that facility personnel were in control of and that appropriate safety measures could have prevented which results in any of the following to a resident:
  - (a) Death.
  - (b) Brain or spinal damage.
- (c) Permanent disfigurement.
- 1042 (d) A fracture or dislocation of bones or joints.
- (e) A limitation of neurological, physical, or sensory function.

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(f) Sexual abuse.

- (g) Assault or battery.
  - (h) Any condition that requires the transfer of a resident to a unit, within or outside of the facility, to provide a more acute level of care.
  - (5) (a) By January 31 of each year, each licensed facility shall submit a report to the agency summarizing incident reports filed during the previous calendar year. The report must include:
    - 1. The total number of adverse incidents.
  - 2. A listing, by category, of the causes of each injury or death and the number of incidents occurring within each category.
  - 3. A code number using the facility staff's licensure number and a separate code number identifying all other individuals directly involved in adverse incidents of residents, the relationship of the individual to the licensed facility, and the number of incidents in which each individual has been directly involved. Each licensed facility shall maintain names of the health care professionals and individuals identified by code numbers for purposes of this section.
  - 4. A description of all claims filed against the licensed facility for a violation of a resident's rights, as specified in s. 400.022, including the total number of pending and closed claims, the names of the individuals involved in each claim, the nature of the incident that led to each claim, and the status and disposition of each claim. Each report must provide an updated status for any claims identified as being unresolved or pending in the prior year report.

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5. The number and nature of disciplinary actions taken against agents or employees of the facility related to patient care and safety.

- (b) The agency shall review the information submitted pursuant to paragraph (a) and determine if any reported incidents may subject a facility or an employee or agent of a facility to disciplinary action.
- (c) The report submitted to the agency must also provide the name and license number of the quality-of-care coordinator of the licensed facility, a copy of the facility's policies and procedures that govern the actions taken by the facility and its quality-of-care coordinator to reduce the risk of injuries and deaths and violations of residents' rights, and the results of actions taken by the facility.
- (6) (a) A licensed facility shall submit an adverse incident report to the agency no later than 1 business day after the quality-of-care coordinator or his or her designee has received the report through the system implemented pursuant to paragraph (1) (e). The report may be submitted to the agency through email, facsimile, or overnight mail delivery. The facility must submit the following information with the report:
  - 1. The identity of the affected resident;
  - 2. The type of adverse incident;
- 3. Information on any investigation into the incident conducted by the facility; and
- 4. An assessment as to whether the events causing or resulting in the adverse incident represent a potential risk to other residents.
  - (b) After receiving the report, the agency must determine

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1103 by the end of the next business day if any of the following adverse incidents has occurred, whether arising from events that 1105 occurred in the licensed facility or from events that occurred 1106 before the resident's admission into the licensed facility:

- 1. The death of a resident;
- 2. Brain or spinal damage to a resident;
- 3. Sexual abuse of a resident; or
  - 4. The assault or battery of a resident.
- (7) The agency shall require a written plan of correction from a facility that violates this section. For a single incident or a series of isolated incidents that are nonwillful violations of the reporting requirements of this section, the agency shall first demand that the facility take corrective action. If the facility does not demonstrate completion of the corrective action within the timeframe allowed by the agency or demonstrates a pattern of nonwillful violations of this section, the agency may impose a civil penalty not to exceed \$5,000 for each violation of the reporting requirements of this section. The civil penalty for repeated nonwillful violations may not exceed \$10,000 for each violation. The administrative fine for each intentional and willful violation may not exceed \$25,000 per violation per day.
- (8) The agency must be given access to facility records needed in the administration of this section.
- (9) The agency shall review, as part of its licensure inspection process, the internal resident safety and quality-ofcare coordinator program at each licensed facility subject to this section to determine whether it complies with this section, is being conducted in a manner designed to reduce adverse

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incidents and violations of residents' rights, and is
appropriately reporting incidents under subsections (4) through
(6).

- (10) There shall be no monetary liability on the part of, and no cause of action for damages shall arise against, any quality-of-care coordinator for the implementation and oversight of an internal resident safety and quality-of-care coordinator program for any act or proceeding undertaken or performed within the scope of the functions of the program so long as the quality-of-care coordinator acts without intentional fraud.
- (11) If the agency, through its receipt of the annual reports required in subsection (5) or through any investigation, has a reasonable belief that the conduct of an agent or employee of a licensed facility constitutes grounds for disciplinary action by the appropriate regulatory board, the agency must report its findings to that board.
- (12) Beginning on July 1, 2019, and by each July 1 thereafter, the agency shall publish on its website a report card summarizing the information contained in the annual reports submitted by licensed facilities pursuant to subsection (5) and disciplinary actions reported to the agency. The report card must be organized by county and, for each licensed facility in the state, must include an itemized list that provides the following information:
  - (a) The name and address of the facility.
- 1157 (b) If the facility is structured as a private for-profit,
  1158 not-for-profit, or public company.
  - (c) The total number of beds in the facility.
  - (d) A description of the categories of services provided by

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1161 the facility.

- (e) The percentage of adverse incidents per total number of residents in the facility, by category of reported incident.
- (f) The number of claims filed for violations of the resident's rights under s. 400.022, by category of violation.
- (g) A listing, by category, of the actions or inactions giving rise to the adverse incidents and claims filed for a violation of a resident's rights and the number in each category.
- (h) The number of and descriptions of disciplinary actions taken against a facility or agents or employees of that facility.
  - (i) The following statement:

"This report card is just one measure of the quality of a facility. You may want to obtain and consider other information to determine whether this facility is right for you or your loved ones. This report card is not adjusted to reflect the size of the facility or the severity or complexity of the custodial and health care needs of the residents it serves, and, therefore, some facilities may appear to have more frequent adverse incidents and claims involving violations of residents' rights than others."

The first report card issued pursuant to this subsection may be based on a partial year of data, if necessary.

Section 22. Paragraph (q) of subsection (1) of section 400.141, Florida Statutes, is amended to read:

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400.141 Administration and management of nursing home facilities.—

- (1) Every licensed facility shall comply with all applicable standards and rules of the agency and shall:
- (q) Satisfy the financial requirements in s. 400.1411, which may not be used for litigation costs or attorney fees for the defense of any claim against a nursing home facility pursuant to common law or s. 400.023 or s. 400.0233 Maintain general and professional liability insurance coverage that is in force at all times. In lieu of satisfying the financial requirements in s. 400.1411 such coverage, a state-designated teaching nursing home and its affiliated assisted living facilities created under s. 430.80 may demonstrate proof of financial responsibility as provided in s. 430.80(3)(g).

Section 23. Section 400.1411, Florida Statutes, is created to read:

## 400.1411 Financial requirements.-

- (1) As a condition of licensure, a nursing home facility must at all times demonstrate to the satisfaction of the agency and the Office of Insurance Regulation of the Financial Services Commission the financial ability to pay claims, and costs ancillary thereto, arising out of the rendering of, or the failure to render, care or services, by doing one of the following:
- (a) Establishing and maintaining an escrow account consisting of cash or assets eligible for deposit in accordance with s. 625.52 in the per-claim amounts specified in paragraph (b).
  - (b) Obtaining and maintaining general and professional

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liability coverage in an amount not less than \$1 million per claim, with a minimum annual aggregate of not less than \$3 million, from an authorized insurer as defined in s. 624.09, from an eligible surplus lines insurer as defined in s. 626.914(2), or from a Florida-domiciled risk retention group as defined in s. 627.942(9).

- (c) Obtaining and maintaining an unexpired, irrevocable letter of credit, established pursuant to chapter 675, in an amount not less than \$1 million per claim, with a minimum aggregate availability of credit not less than \$3 million. The letter of credit must be payable to the nursing home facility as beneficiary upon presentment of a final judgment indicating liability and awarding damages to be paid by the nursing home facility or upon presentment of a settlement agreement signed by all parties to such agreement when such final judgment or settlement is a result of a claim arising out of the rendering of, or the failure to render, care and services. The letter of credit must be nonassignable and nontransferable. The letter of credit must be issued by a bank or savings association organized and existing under the laws of this state or under the laws of the United States which has its principal place of business in this state or has a branch office authorized under the laws of this state or of the United States to receive deposits in this state.
- (2) Each insurer, self-insurer, or risk retention group must promptly notify the agency and the office of cancellation or nonrenewal of insurance required by this section.
- (3) Upon the entry by a Florida court of an adverse final judgment against a licensee as defined in s. 400.023(2) which

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arises from an award pursuant to s. 400.023, including an arbitration award, for a claim of negligence or a violation of residents' rights, in contract or tort, or from noncompliance with the terms of a settlement agreement as determined by a court or arbitration panel which arises from a claim pursuant to s. 400.023, the licensee shall pay the plaintiff the entire amount of the judgment, award, or settlement and all accrued interest pursuant to s. 400.024.

(4) Any deceptive, untrue, or fraudulent representation or violation of this section by any individual or entity on behalf of the facility may result in disciplinary action pursuant to s. 400.121 with no aggregate limit. If a nursing home shows a continuous pattern of violation of this section, the agency must issue a conditional license and may immediately suspend the license.

Section 24. Subsection (3) of section 400.19, Florida Statutes, is amended to read:

400.19 Right of entry and inspection.-

(3) Every 15 months, the agency shall every 15 months conduct at least one unannounced inspection to determine compliance by the licensee with the laws of this state and administrative rules that govern statutes, and with rules promulgated under the provisions of those statutes, governing minimum standards of construction, electricity, and emergency power sources; quality and adequacy of care; and rights of residents. The survey shall be conducted every 6 months for the next 2-year period If a the facility has been cited for a class I deficiency or, has been cited for two or more class II deficiencies arising from separate surveys or investigations

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within a 60-day period, or has had three or more substantiated complaints within a 6-month period, each resulting in at least one class I or class II deficiency, the agency shall conduct unannounced inspections at 6-month intervals over the course of the next 2-year period. In addition to any other fees or fines in this part, the agency shall assess a fine for each facility that is subject to the 6-month survey cycle. The fine for the 2year period is shall be \$6,000, one-half to be paid at the completion of each survey. The agency may adjust this fine by the change in the Consumer Price Index, based on the 12 months immediately preceding the increase, to cover the cost of the additional surveys. The agency shall verify through subsequent inspection that any deficiency identified during inspection is corrected. However, the agency may verify the correction of a class III or class IV deficiency unrelated to resident rights or resident care without reinspecting the facility if adequate written documentation has been received from the facility, which provides assurance that the deficiency has been corrected. The giving or causing to be given of advance notice of such unannounced inspections by an employee of the agency to any unauthorized person constitutes grounds shall constitute cause for the suspension of such person, pursuant to chapter 110, for not fewer than 5 working days according to the provisions of chapter 110.

Section 25. Subsection (3) of section 400.191, Florida Statutes, is amended to read:

400.191 Availability, distribution, and posting of reports and records.—

(3) Each nursing home facility licensee shall maintain as

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public information, available upon request, records of all cost and inspection reports pertaining to that facility which that have been filed with, or issued by, any governmental agency. Copies of the reports shall be retained in the records for not less than 5 years following the date the reports are filed or issued.

- (a) The agency shall publish in the Nursing Home Guide a "Nursing Home Guide Watch List" to assist consumers in evaluating the quality of nursing home care in Florida. The watch list must identify each facility that met the criteria for a conditional licensure status and each facility that is operating under bankruptcy protection. The watch list must include, but  $\underline{\text{need}}$  is not be limited to, the facility's name, address, and ownership; the county in which the facility operates; the license expiration date; the number of licensed beds; a description of the deficiency causing the facility to be placed on the list; any corrective action taken; and the cumulative number of days and percentage of days the facility had a conditional license in the past 30 months. The watch list must include a brief description regarding how to choose a nursing home, the categories of licensure, the agency's inspection process, an explanation of terms used in the watch list, and the addresses and phone numbers of the agency's health quality assurance field offices.
- (b) Upon publication of each Nursing Home Guide, the agency shall must post a copy of the guide on its website by the 15th calendar day of the second month following the end of the calendar quarter. Each nursing home licensee must retrieve the most recent version of the Nursing Home Guide from the agency's

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1335 website.

(c)1. A facility on the watch list must conspicuously post a sign on each entrance to the facility. The lettering must be red, in at least 48-point type, and printed on white card stock. The sign must read as follows:

## "NOTICE: THIS FACILITY IS ON FLORIDA'S NURSING HOME GUIDE WATCH LIST."

2. Signs must remain posted for the duration of the 30-month watch list period. If the agency determines that a facility is in violation of this section, the agency must cite the facility for a class I violation, place the facility on a 6-month inspection cycle, and extend the duration of the facility's inclusion on the watch list for an additional 30 months.

Section 26. Section 400.226, Florida Statutes, is created to read:

400.226 Mandatory compliance with federal requirements.—
Licensed nursing homes shall comply with the requirements of 42
C.F.R. 483, which are incorporated herein by reference. A
violation of the residents' rights established under this
section is considered negligence per se.

Section 27. Paragraphs (d) and (g) of subsection (2) and paragraph (a) of subsection (8) of section 400.23, Florida Statutes, are amended to read:

400.23 Rules; evaluation and deficiencies; licensure status.—

(2) Pursuant to the intention of the Legislature, the

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agency, in consultation with the Department of Health and the Department of Elderly Affairs, shall adopt and enforce rules to implement this part and part II of chapter 408, which shall include reasonable and fair criteria in relation to:

- (d) The equipment essential to the health and welfare of the residents, including equipment sufficient to provide adequate day-to-day electricity, a fully operational emergency power source, and a supply of fuel sufficient to sustain the emergency power source for at least 96 hours during a power outage. The emergency power source must provide enough electricity to consistently maintain an air temperature between 71° and 81° F in the facility.
- (g) The preparation and annual update of a comprehensive emergency management plan. The agency shall adopt rules establishing minimum criteria for the plan after consultation with the Division of Emergency Management. At a minimum, the rules must provide for plan components that address emergency evacuation transportation; adequate sheltering arrangements; postdisaster activities, including emergency power, food, and water; postdisaster transportation; supplies; staffing; emergency equipment; individual identification of residents and transfer of records; and responding to family inquiries. The plan must provide for the evacuation of all residents in the event that the facility experiences a power outage and is unable to sustain adequate emergency power as required in paragraph (d). The comprehensive emergency management plan is subject to review and approval by the local emergency management agency. During its review, the local emergency management agency shall ensure that the following agencies, at a minimum, are given the

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opportunity to review the plan: the Department of Elderly Affairs, the Department of Health, the Agency for Health Care Administration, and the Division of Emergency Management. Also, appropriate volunteer organizations must be given the opportunity to review the plan. The local emergency management agency shall complete its review within 60 days and either approve the plan or advise the facility of necessary revisions.

(8) The agency shall adopt rules pursuant to this part and part II of chapter 408 to provide that, when the criteria established under subsection (2) are not met, such deficiencies shall be classified according to the nature and the scope of the deficiency. The scope shall be cited as isolated, patterned, or widespread. An isolated deficiency is a deficiency affecting one or a very limited number of residents, or involving one or a very limited number of staff, or a situation that occurred only occasionally or in a very limited number of locations. A patterned deficiency is a deficiency where more than a very limited number of residents are affected, or more than a very limited number of staff are involved, or the situation has occurred in several locations, or the same resident or residents have been affected by repeated occurrences of the same deficient practice but the effect of the deficient practice is not found to be pervasive throughout the facility. A widespread deficiency is a deficiency in which the problems causing the deficiency are pervasive in the facility or represent systemic failure that has affected or has the potential to affect a large portion of the facility's residents. The agency shall indicate the classification on the face of the notice of deficiencies as follows:

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(a) A class I deficiency is a deficiency that the agency determines presents a situation in which immediate corrective action is necessary because the facility's noncompliance has caused, or is likely to cause, serious injury, harm, impairment, or death to a resident receiving care in a facility. The condition or practice constituting a class I violation shall be abated or eliminated immediately, unless a fixed period of time, as determined by the agency, is required for correction. A class I deficiency is subject to a civil penalty of \$10,000 for an isolated deficiency, \$12,500 for a patterned deficiency, and \$15,000 for a widespread deficiency. If the agency determines that a resident died as the result of abuse or neglect, it shall immediately impose a \$1 million civil penalty on the facility for the deficiency. The fine amount shall be doubled for each deficiency if the facility was previously cited for one or more class I or class II deficiencies during the last licensure inspection or any inspection or complaint investigation since the last licensure inspection. A fine must be levied notwithstanding the correction of the deficiency.

Section 28. Paragraph (a) of subsection (1) of section 406.11, Florida Statutes, is amended to read:

406.11 Examinations, investigations, and autopsies.-

(1) In any of the following circumstances involving the death of a human being, the medical examiner of the district in which the death occurred or the body was found shall determine the cause of death and shall, for that purpose, make or have performed such examinations, investigations, and autopsies as he or she shall deem necessary or as shall be requested by the state attorney:

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1452 1. Of criminal violence. 2. By accident. 1453 1454 3. By suicide. 1455 4. Suddenly, when in apparent good health. 1456 5. Unattended by a practicing physician or other recognized 1457 practitioner. 1458 6. In any prison or penal institution. 1459 7. In any nursing home on the federal Special Focus 1460 Facility list or on the Nursing Home Guide Watch List as 1461 described in s. 400.191(3)(a). 1462 8.7. In police custody. 1463 9.8. In any suspicious or unusual circumstance. 1464 10.9. By criminal abortion. 1465 11.<del>10.</del> By poison. 1466 12.11. By disease constituting a threat to public health. 1467 13.<del>12.</del> By disease, injury, or toxic agent resulting from 1468 employment.

(a) When any person dies in the state:

406.13 Examiner's report; maintenance of records.—Upon receipt of such notification pursuant to s. 406.12, the district medical examiner or her or his associate shall examine or otherwise take charge of the dead body and shall notify the appropriate law enforcement agency pursuant to s. 406.145. When the cause of death has been established within reasonable medical certainty by the district medical examiner or her or his associate, she or he shall so report or make available to the state attorney, in writing, her or his determination as to the

Section 29. Section 406.13, Florida Statutes, is amended to

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cause of said death. If it is determined that a nursing home resident died as the result of abuse, sexual abuse, or negligence, the medical examiner must notify and forward all documentation in support of the determination to the state attorney. Upon receipt of such notification, the state attorney shall seat a grand jury within 90 days and investigate whether the filing of criminal charges is warranted. Duplicate copies of records and the detailed findings of autopsy and laboratory investigations shall be maintained by the district medical examiner. Any evidence or specimen coming into the possession of said medical examiner in connection with any investigation or autopsy may be retained by the medical examiner or be delivered to one of the law enforcement officers assigned to the investigation of the death.

Section 30. <u>Section 429.298</u>, <u>Florida Statutes</u>, <u>is repealed</u>. Section 31. Subsection (2) of section 429.34, Florida Statutes, is amended to read:

429.34 Right of entry and inspection.-

(2) The agency shall inspect each licensed assisted living facility at least once every 24 months to determine compliance by the licensee with this chapter and related rules governing minimum standards of construction, electricity, and emergency power sources; quality and adequacy of care; and resident rights. If an assisted living facility is cited for a class I violation or three or more class II violations arising from separate surveys within a 60-day period or due to unrelated circumstances during the same survey, the agency must conduct an additional licensure inspection within 6 months.

Section 32. Paragraphs (a) and (b) of subsection (1) of

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section 429.41, Florida Statutes, are amended to read:

429.41 Rules establishing standards.-

- (1) It is the intent of the Legislature that rules published and enforced pursuant to this section shall include criteria by which a reasonable and consistent quality of resident care and quality of life may be ensured and the results of such resident care may be demonstrated. Such rules shall also ensure a safe and sanitary environment that is residential and noninstitutional in design or nature. It is further intended that reasonable efforts be made to accommodate the needs and preferences of residents to enhance the quality of life in a facility. Uniform firesafety standards for assisted living facilities shall be established by the State Fire Marshal pursuant to s. 633.206. The agency, in consultation with the department, may adopt rules to administer the requirements of part II of chapter 408. In order to provide safe and sanitary facilities and the highest quality of resident care accommodating the needs and preferences of residents, the department, in consultation with the agency, the Department of Children and Families, and the Department of Health, shall adopt rules, policies, and procedures to administer this part, which must include reasonable and fair minimum standards in relation to:
- (a) The requirements for and maintenance of facilities, not in conflict with chapter 553, relating to <u>electricity</u>, plumbing, heating, cooling, lighting, ventilation, living space, and other housing conditions, which will ensure the health, safety, and comfort of residents suitable to the size of the structure.
  - 1. Firesafety evacuation capability determination.-An

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evacuation capability evaluation for initial licensure shall be conducted within 6 months after the date of licensure.

- 2. Firesafety requirements.-
- a. The National Fire Protection Association, Life Safety Code, NFPA 101 and 101A, current editions, shall be used in determining the uniform firesafety code adopted by the State Fire Marshal for assisted living facilities, pursuant to s. 633.206.
- b. A local government or a utility may charge fees only in an amount not to exceed the actual expenses incurred by the local government or the utility relating to the installation and maintenance of an automatic fire sprinkler system in a licensed assisted living facility structure.
- c. All licensed facilities must have an annual fire inspection conducted by the local fire marshal or authority having jurisdiction.
- d. An assisted living facility that is issued a building permit or certificate of occupancy before July 1, 2016, may at its option and after notifying the authority having jurisdiction, remain under the provisions of the 1994 and 1995 editions of the National Fire Protection Association, Life Safety Code, NFPA 101, and NFPA 101A. The facility opting to remain under such provisions may make repairs, modernizations, renovations, or additions to, or rehabilitate, the facility in compliance with NFPA 101, 1994 edition, and may utilize the alternative approaches to life safety in compliance with NFPA 101A, 1995 edition. However, a facility for which a building permit or certificate of occupancy is issued before July 1, 2016, that undergoes Level III building alteration or

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rehabilitation, as defined in the Florida Building Code, or seeks to utilize features not authorized under the 1994 or 1995 editions of the Life Safety Code must thereafter comply with all aspects of the uniform firesafety standards established under s. 633.206, and the Florida Fire Prevention Code, in effect for assisted living facilities as adopted by the State Fire Marshal.

- 3. Resident elopement requirements.—Facilities are required to conduct a minimum of two resident elopement prevention and response drills per year. All administrators and direct care staff must participate in the drills which shall include a review of procedures to address resident elopement. Facilities must document the implementation of the drills and ensure that the drills are conducted in a manner consistent with the facility's resident elopement policies and procedures.
- 4. Emergency power sources for use during power outages.—
  Facilities are required to maintain a fully operational
  emergency power source and a supply of fuel sufficient to
  sustain the emergency power source for at least 96 hours during
  a power outage. The emergency power source must provide enough
  electricity to consistently maintain an air temperature between
  71° and 81° F in the facility.
- (b) The preparation and annual update of a comprehensive emergency management plan. Such standards must be included in the rules adopted by the department after consultation with the Division of Emergency Management. At a minimum, the rules must provide for plan components that address emergency evacuation transportation; adequate sheltering arrangements; postdisaster activities, including provision of emergency power, food, and water; postdisaster transportation; supplies; staffing;

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emergency equipment; individual identification of residents and transfer of records; communication with families; and responses to family inquiries. The comprehensive emergency management plan must provide for the evacuation of all residents of a facility if the facility experiences a power outage and is unable to sustain emergency power, as required in subparagraph (a) 4. The comprehensive emergency management plan is subject to review and approval by the local emergency management agency. During its review, the local emergency management agency shall ensure that the following agencies, at a minimum, are given the opportunity to review the plan: the Department of Elderly Affairs, the Department of Health, the Agency for Health Care Administration, and the Division of Emergency Management. Also, appropriate volunteer organizations must be given the opportunity to review the plan. The local emergency management agency shall complete its review within 60 days and either approve the plan or advise the facility of necessary revisions.

Section 33. This act shall take effect July 1, 2018.