

Tab 1	CS/SB 202 by CF, Bean; (Similar to H 0495) Florida Association of Centers for Independent Living					
335520	A	S	RCS	AED, Stargel	Delete L.48 - 80:	11/19 04:18 PM
489802	A	S	RCS	AED, Stargel	Delete L.91 - 139:	11/19 04:18 PM

The Florida Senate
COMMITTEE MEETING EXPANDED AGENDA
 APPROPRIATIONS SUBCOMMITTEE ON EDUCATION
 Senator Gaetz, Chair
 Senator Montford, Vice Chair

MEETING DATE: Wednesday, November 18, 2015
TIME: 10:00 a.m.—12:00 noon
PLACE: Pat Thomas Committee Room, 412 Knott Building

MEMBERS: Senator Gaetz, Chair; Senator Montford, Vice Chair; Senators Bullard, Galvano, Legg, Ring, Simmons, and Stargel

TAB	BILL NO. and INTRODUCER	BILL DESCRIPTION and SENATE COMMITTEE ACTIONS	COMMITTEE ACTION
1	CS/SB 202 Children, Families, and Elder Affairs / Bean (Similar H 495)	Florida Association of Centers for Independent Living; Requiring that a specified agreement be maintained; requiring the program to provide additional support and services; requiring the program to reimburse the Florida Association of Centers for Independent Living for certain costs approved by the Advisory and Oversight Committee; revising the maximum amount of specified funds for each state attorney which may be used to administer the personal attendant and employment assistance program and to contract with the state attorneys participating in the tax collection enforcement diversion program, etc. CF 10/08/2015 Fav/CS AED 11/18/2015 Fav/CS FP	Fav/CS Yeas 7 Nays 0

2 **Workshop** - Discussion and testimony only on the following (no vote to be taken):
 Discussion of Education Performance Funding

Other Related Meeting Documents

The Florida Senate
BILL ANALYSIS AND FISCAL IMPACT STATEMENT

(This document is based on the provisions contained in the legislation as of the latest date listed below.)

Prepared By: The Professional Staff of the Appropriations Subcommittee on Education

BILL: PCS/ SB 202 (690802)

INTRODUCER: Appropriations Subcommittee on Education; Children, Families, and Elder Affairs Committee and Senator Bean

SUBJECT: Florida Association of Centers for Independent Living

DATE: November 19, 2015 **REVISED:** _____

	ANALYST	STAFF DIRECTOR	REFERENCE	ACTION
1.	<u>Hendon</u>	<u>Hendon</u>	<u>CF</u>	Fav/CS
2.	<u>Sikes</u>	<u>Elwell</u>	<u>AED</u>	Recommend: FAV/CS
3.	_____	_____	<u>FP</u>	_____

Please see Section IX. for Additional Information:

COMMITTEE SUBSTITUTE - Substantial Changes

I. Summary:

PCS/CS/SB 202 renames the James Patrick Memorial Work Incentive Personal Attendant Services Program as the James Patrick Memorial Work Incentive Personal Attendant Services and Employment Assistance Program. The bill expands the use of the program to include services to disabled adults to assist them in securing and maintaining employment. The bill changes an existing oversight group to an oversight committee and revises its membership and responsibilities. The Florida Association for Independent Living (FACIL) will continue to provide administrative support from funds reserved for the program.

The bill has no fiscal impact on state funds.

The bill has an effective date of July 1, 2016.

II. Present Situation:

Sections 413.402 and 413.4021, F.S., establish and provide a specific funding source for a Personal Care Attendant program to provide personal care attendants to eligible persons with severe and chronic disabilities. The program was established as a pilot in 2002¹ and made

¹ Chapter 2002-286, L.O.F.

permanent and statewide in 2005.² Currently, there are 16 Centers for Independent Living operating in Florida.³ The most recent data show that the centers provided independent living services to 21,938 people from October 1, 2013 to September 30, 2014.⁴

Pursuant to s. 413.402, F.S., the Florida Endowment Foundation for Vocational Rehabilitation (also known as the Able Trust) is required to enter into an agreement with the FACIL to administer the program. The administrative expenses of the association are paid from funds deposited with the Able Trust pursuant to the Tax Collection Enforcement Diversion Program⁵ and the Motorcycle Specialty License Plate program.⁶

Persons eligible to participate in the program must:

- Be at least 18 years of age, a legal resident of this state and significantly and chronically disabled;
- Require a personal care attendant for assistance with or support for at least two activities of daily living such as bathing and dressing and as defined in s. 429.02, F.S.;
- Require a personal care attendant in order to maintain substantial gainful employment; and
- Be able to acquire and direct a personal care attendant.

Training for program participants on hiring and managing a personal care attendant must be provided by the FACIL. Additionally, the association coordinates with the Department of Revenue and the Florida Prosecuting Attorneys Association to select the judicial circuits in which to operate the program.

There are two funding sources for the Personal Care Attendant program:

- Tax Collection Enforcement Diversion Program; and
- Fees from the Motorcycle Specialty License Plate.⁷

Tax Collection Enforcement Diversion Program

In conjunction with the establishment of the Personal Care Attendant program, the Department of Revenue was directed, in cooperation with the Association for Centers for Independent Living and state attorneys, to select judicial circuits in which to operate a tax collection enforcement diversion program (“tax diversion program”) to collect unpaid sales taxes from delinquent business owners.⁸ Fifty percent of the collections from the tax diversion program are deposited into the operating account of the Able Trust to be used to operate the Personal Care Attendant program and to contract with the state attorneys participating in the tax diversion program.⁹ Sixteen centers in all 20 circuits participate in the tax diversion program.¹⁰

² Chapter 2005-172, L.O.F.

³ See http://rehabworks.org/indep_living.shtml (last visited on September 24, 2015).

⁴ See E-mail from Tonya Cooper, Legislative Affairs Director, Florida Department of Education (September 24, 2015) (on file with the Senate Committee on Children, Families, and Elder Affairs).

⁵ Section 413.4021(1), F.S.

⁶ Section 320.08068(4)(d), F.S.

⁷ Sections 413.4021(1) and 320.08068(4)(d), F.S.

⁸ Section 413.4021, F.S.

⁹ Section 413.4021(1), F.S. The contract amount for each state attorney cannot exceed \$50,000.

¹⁰ See http://rehabworks.org/cil_map.shtml (last visited on September 24, 2015).

Motorcycle Specialty (Bikers Care) License Plate Fees

The Department of Highway Safety and Motor Vehicles (DHSMV) offers a specialty license plate to any owner or lessee of a motorcycle who chooses to pay the additional cost.¹¹ The DHSMV collects an annual use fee of \$20 from the sale of each motorcycle specialty license plate and distributes the fees to the Able Trust. The Able Trust is permitted to retain a maximum of 10 percent of the funds for administrative costs and distribute the remaining funds as follows:

- Twenty percent to the Brain and Spinal Cord Injury Program Trust Fund;
- Twenty percent to Prevent Blindness Florida;
- Twenty percent to the Blind Services Foundation of Florida;
- Twenty percent to the Able Trust to support the Personal Care Attendant program; and
- Twenty percent to the Florida Association for Centers for Independent Living.¹²

III. Effect of Proposed Changes:

Section 1 amends s. 413.402, F.S., to rename the James Patrick Memorial Work Incentive Personal Attendant Services Program as the James Patrick Memorial Work Incentive Personal Attendant Services and Employment Assistance Program. In addition to the provision of personal care attendants currently being offered to eligible participants, the bill authorizes other support and services necessary to maintain competitive and integrated employment or self-employment to be made available to eligible persons in the program.

This section clarifies eligibility requirements for participation in the program. A person must:

- Be at least 18 years of age, a legal resident of this state and significantly and chronically disabled.
- Be determined by a physician, psychologist, or psychiatrist, to require a personal care attendant for at least two activities of daily living as defined in s. 429.02, F.S.
- Require a personal care attendant and, as needed, other support and services to accept an offer of employment, commence working or maintain competitive and integrated employment.

The FACIL must provide training to program participants on the hiring and managing of a personal care attendant and other skills needed to effectively access and manage the support and services provided in the program. FACIL must provide administrative services to ensure the financial integrity of the program and must also provide administrative support to the revised Oversight Committee.

The bill changes the portion of the funds deposited in the Able Trust that are available to the FACIL to administer the program. Current law provides that the FACIL receive 12 percent of the funds paid to or on behalf of participants in the program to administer the program. The bill provides that costs associated with program administration and oversight in the annual operating budget approved by the Oversight Committee may not exceed 12 percent of the funds deposited

¹¹ Section 320.08068(2), F.S.

¹² Section 320.08069(4), F.S.

in the Able Trust for the program for the previous fiscal year or the budget approved for the previous fiscal year, whichever is greater.

The bill renames the existing oversight group as the Oversight Committee and changes its membership and responsibilities. The representatives of the Medicaid program within the Agency for Health Care Administration, the Able Trust, the FACIL, the Department of Revenue, and the Department of Children and Families are deleted. The bill adds a human resources professional or an individual who has significant experience managing and operating a business based in Florida appointed by the Speaker of the House of Representatives and a financial management professional appointed by the Governor. The appointing authority for the program participant is changed to the President of the Senate. The Oversight Committee is tasked with providing program oversight, approving the program's operating budget, advising FACIL on policies and procedures, approving the maximum monthly reimbursement available to program participants, and maintaining the schedule of eligible services for which program participants may be reimbursed.

Section 2 amends s. 413.4021, F.S., to increase the amount available to contract with the state attorneys participating in the tax collection enforcement diversion program to not more than \$75,000 per state attorney.

Section 3 amends s. 320.08068, F.S., to change the name of the entity receiving 20 percent of the funds distributed to the Able Trust from the sale of specialty motorcycle licenses to the James Patrick Memorial Work Incentive Personal Attendant Services and Employment Assistance Program.

Section 4 provides an effective date of July 1, 2016.

IV. Constitutional Issues:

A. Municipality/County Mandates Restrictions:

None.

B. Public Records/Open Meetings Issues:

None.

C. Trust Funds Restrictions:

None.

V. Fiscal Impact Statement:

A. Tax/Fee Issues:

None.

B. Private Sector Impact:

None.

C. Government Sector Impact:

PCS/CS/SB 202 expands the scope of services to disabled persons to include employment assistance to eligible program participants. The potential savings from increased employment of individuals with severe and chronic disabilities may be seen in reduced long-term care costs.

The bill also increases the amount of funds available to contract with the state attorneys' offices participating in the tax collection enforcement diversion program from \$50,000 to not more than \$75,000 for each office.

VI. Technical Deficiencies:

None.

VII. Related Issues:

None.

VIII. Statutes Affected:

This bill substantially amends the following sections of the Florida Statutes: 413.402, 413.4021, and 320.08068.

IX. Additional Information:**A. Committee Substitute – Statement of Substantial Changes:**

(Summarizing differences between the Committee Substitute and the prior version of the bill.)

Recommended CS/CS by Appropriations Subcommittee on Education on November 18, 2015:

The committee substitute:

- Replaces the term “competitive employment” with “competitive and integrated employment.”
- Renames the Advisory and Oversight Committee as the Oversight Committee, adds approving the maximum monthly reimbursement available to program participants as one of its responsibilities, and changes the membership of the committee to include:
 - The director of the Division of Vocational Rehabilitation or his or her designee;
 - A human resources professional or an individual who has significant experience managing and operating a business based in Florida, appointed by the Speaker of the House of Representatives;
 - A program participant, appointed by the President of the Senate;
 - The director of the advisory council on brain and spinal cord injuries or his or her designee; and
 - A financial management professional, appointed by the Governor.

- Specifies that the annual operating budget for the program may not exceed 12 percent of the funds deposited from identified sources with the Florida Endowment Foundation for Vocational Rehabilitation for the previous fiscal year or the budget approved for the previous fiscal year, whichever is greater.

CS by Children, Families, and Elder Affairs on October 8, 2015:

The committee substitute removes the bill's exemption from background screening for volunteers at a center for independent living. The CS revises the funding methodology for the administrative services provided by the Florida Association of Centers for Independent Living from 12 percent of expenditures to up to 12 percent of the program revenues. The association must have its budget for administrative services approved by the program's advisory committee. The CS renames the advisory committee and revises its membership.

B. Amendments:

None.



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LEGISLATIVE ACTION

Senate	.	House
Comm: RCS	.	
11/19/2015	.	
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	.	

Appropriations Subcommittee on Education (Stargel) recommended the following:

Senate Amendment

Delete lines 48 - 80
and insert:

(1) As used in this section, the term "competitive and integrated employment" means employment in the public or private sector in which the employee earns comparable wages and benefits, commensurate with his or her qualifications and experience, and works in comparable conditions to those experienced by the general workforce in that industry or



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11 profession.

12 (2) The program shall ~~to~~ provide personal care attendants
13 and other support and services necessary to enable ~~to~~ persons
14 eligible under subsection (3) who have significant ~~severe~~ and
15 chronic disabilities to obtain or maintain competitive and
16 integrated employment, including self-employment ~~of all kinds~~
17 ~~and who are eligible under subsection (1). Effective July 1,~~
18 ~~2008, the Florida Association of Centers for Independent Living~~
19 ~~shall receive 12 percent of the funds paid to or on behalf of~~
20 ~~participants from funds to be deposited with the Florida~~
21 ~~Endowment Foundation for Vocational Rehabilitation pursuant to~~
22 ~~ss. 320.08068(4)(d) and 413.4021(1) to administer the program.~~
23 ~~For the purpose of ensuring continuity of services, a memorandum~~
24 ~~of understanding shall be executed between the parties to cover~~
25 ~~the period between July 1, 2008, and the execution of the final~~
26 ~~agreement.~~

27 (3)(1) In order to be eligible to participate in the
28 program, a person must:

29 (a) Be at least 18 years of age, be a legal resident of
30 this state, and be significantly and chronically disabled.;

31 (b) As determined by a physician, psychologist, or
32 psychiatrist, require a personal care attendant for assistance
33 with or support for at least two activities of daily living as
34 defined in s. 429.02.; ~~as determined by a physician,~~
35 ~~psychologist, or psychiatrist;~~

36 (c) Require a personal care attendant and, as needed, other
37 support and services ~~in order~~ to accept an offer of employment
38 and commence working or to a job or maintain competitive and
39 integrated



489802

LEGISLATIVE ACTION

Senate	.	House
Comm: RCS	.	
11/19/2015	.	
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	.	

Appropriations Subcommittee on Education (Stargel) recommended the following:

Senate Amendment (with title amendment)

Delete lines 91 - 139

and insert:

(b) In cooperation with the oversight committee established in subsection (6), the Florida Association of Centers for Independent Living shall ~~oversight group described in paragraph (b),~~ adopt and, as necessary, revise the policies and procedures governing the operation of the personal care attendant program and the training program required in paragraph (a); however, the



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11 maximum monthly reimbursement provided to program participants
12 is subject to approval by the oversight committee. The Florida
13 Association of Centers for Independent Living shall also provide
14 technical assistance to program participants and administrative
15 support services to the program and the oversight committee and
16 shall implement appropriate internal financial controls to
17 ensure program integrity.

18 (5) The James Patrick Memorial Work Incentive Personal
19 Attendant Services and Employment Assistance Program shall
20 reimburse the Florida Association of Centers for Independent
21 Living monthly for payments made to program participants and for
22 costs associated with program administration and oversight in
23 accordance with the annual operating budget approved by the
24 oversight committee established pursuant to subsection (6). The
25 annual operating budget for costs associated with program
26 administration and oversight may not exceed 12 percent of the
27 funds deposited with the Florida Endowment Foundation for
28 Vocational Rehabilitation pursuant to ss. 320.08068(4)(d) and
29 413.4021(1) for the previous fiscal year or the budget approved
30 for the previous fiscal year, whichever amount is greater.

31 (6) The Oversight Committee for the James Patrick Memorial
32 Work Incentive Personal Attendant Services and Employment
33 Assistance Program is established for the purpose of providing
34 program oversight, approving the maximum monthly reimbursement
35 available to program participants, advising the Florida
36 Association of Centers for Independent Living on policies and
37 procedures, and approving the program's annual operating budget
38 for administration and oversight. The oversight committee shall
39 also approve and maintain the schedule of eligible services for



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40 which program participants may be reimbursed subject to the
41 requirements and limitations of paragraph (3) (c) which, at a
42 minimum, must include personal care attendant services.

43 (a) The oversight committee shall consist of the following
44 members:

45 1. The director of the Division of Vocational
46 Rehabilitation or his or her designee;

47 2. A human resources professional or an individual who has
48 significant experience managing and operating a business based
49 in this state, appointed by the Speaker of the House of
50 Representatives;

51 3. A program participant, appointed by the President of the
52 Senate;

53 4. The director of the advisory council on brain and spinal
54 cord injuries or his or her designee; and

55 5. A financial management professional, appointed by the
56 Governor.

57
58 ===== T I T L E A M E N D M E N T =====

59 And the title is amended as follows:

60 Delete lines 12 - 24

61 and insert:

62 required; requiring the association, in cooperation
63 with the Oversight Committee for the James Patrick
64 Memorial Work Incentive Personal Attendant Services
65 and Employment Assistance Program, to adopt and revise
66 certain policies and procedures and to provide
67 technical assistance and support under certain
68 circumstances; providing that the maximum monthly



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69 reimbursement provided to program participants is
70 subject to approval by the oversight committee;
71 requiring the program to reimburse the Florida
72 Association of Centers for Independent Living for
73 certain costs approved by the oversight committee;
74 prohibiting such reimbursement from exceeding a
75 certain amount; establishing the oversight committee;
76 providing the oversight committee's purpose; providing
77 for committee

By the Committee on Children, Families, and Elder Affairs; and
Senator Bean

586-00776-16

2016202c1

1 A bill to be entitled
2 An act relating to the Florida Association of Centers
3 for Independent Living; amending s. 413.402, F.S.;
4 requiring that a specified agreement be maintained;
5 renaming the James Patrick Memorial Work Incentive
6 Personal Attendant Services Program as the James
7 Patrick Memorial Work Incentive Personal Attendant
8 Services and Employment Assistance Program; defining a
9 term; requiring the program to provide additional
10 support and services; revising eligibility
11 requirements; expanding the kinds of training
12 required; requiring the association, in consultation
13 with the Advisory and Oversight Committee, to adopt
14 and revise certain policies and procedures and to
15 provide technical assistance and support under certain
16 circumstances; requiring the program to reimburse the
17 Florida Association of Centers for Independent Living
18 for certain costs approved by the Advisory and
19 Oversight Committee; prohibiting such reimbursement
20 from exceeding a certain amount; establishing the
21 Advisory and Oversight Committee for the James Patrick
22 Memorial Work Incentive Personal Attendant Services
23 and Employment Assistance Program; providing the
24 committee's purpose; providing for committee
25 membership; amending s. 413.4021, F.S.; revising the
26 maximum amount of specified funds for each state
27 attorney which may be used to administer the personal
28 attendant and employment assistance program and to
29 contract with the state attorneys participating in the

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CODING: Words ~~stricken~~ are deletions; words underlined are additions.

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30 tax collection enforcement diversion program; amending
31 s. 320.08068, F.S.; making a technical change;
32 conforming a provision to changes made by the act;
33 providing an effective date.
34
35 Be It Enacted by the Legislature of the State of Florida:
36
37 Section 1. Section 413.402, Florida Statutes, is amended to
38 read:
39 413.402 James Patrick Memorial Work Incentive Personal care
40 Attendant Services and Employment Assistance Program.—The
41 Florida Endowment Foundation for Vocational Rehabilitation shall
42 ~~maintain enter into an agreement, no later than October 1, 2008,~~
43 with the Florida Association of Centers for Independent Living
44 to administer the James Patrick Memorial Work Incentive Personal
45 Attendant Services and Employment Assistance Program and shall
46 remit sufficient funds monthly to meet the requirements of
47 subsection (5).
48 (1) As used in this section, the term "competitive
49 employment" means employment in the public or private sector in
50 which the employee earns comparable wages and benefits,
51 commensurate with his or her qualifications and experience, and
52 works in comparable conditions to those experienced by the
53 general workforce in that industry or profession.
54 (2) The program shall ~~to~~ provide personal care attendants
55 and other support and services necessary to enable ~~to~~ persons
56 eligible under subsection (3) who have significant ~~severe~~ and
57 chronic disabilities to obtain or maintain competitive
58 employment, including self-employment of all kinds and who are

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CODING: Words ~~stricken~~ are deletions; words underlined are additions.

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59 eligible under subsection (1). ~~Effective July 1, 2008, The~~
 60 ~~Florida Association of Centers for Independent Living shall~~
 61 ~~receive 12 percent of the funds paid to or on behalf of~~
 62 ~~participants from funds to be deposited with the Florida~~
 63 ~~Endowment Foundation for Vocational Rehabilitation pursuant to~~
 64 ~~ss. 320.08068(4)(d) and 413.4021(1) to administer the program.~~
 65 ~~For the purpose of ensuring continuity of services, a memorandum~~
 66 ~~of understanding shall be executed between the parties to cover~~
 67 ~~the period between July 1, 2008, and the execution of the final~~
 68 ~~agreement.~~

69 (3)(1) In order to be eligible to participate in the
 70 program, a person must:

71 (a) Be at least 18 years of age, be a legal resident of
 72 this state, and be significantly and chronically disabled. ~~;~~

73 (b) As determined by a physician, psychologist, or
 74 psychiatrist, require a personal care attendant for assistance
 75 with or support for at least two activities of daily living as
 76 defined in s. 429.02, ~~as determined by a physician,~~
 77 psychologist, or psychiatrist;

78 (c) Require a personal care attendant and, as needed, other
 79 support and services in order to accept an offer of employment
 80 and commence working or to a job or maintain competitive
 81 substantial gainful employment. ~~;~~ and

82 (d) Be able to acquire and direct the support and services
 83 provided pursuant to this section, including the services of a
 84 personal care attendant.

85 (4)(2)(a) The Florida Association of Centers for
 86 Independent Living shall provide program participants with
 87 appropriate training to ~~program participants~~ on the hiring and

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88 management of managing a personal care attendant and on other
 89 self-advocacy skills needed to effectively access and manage the
 90 support and services provided under this section. ~~and,~~

91 (b) In consultation ~~cooperation~~ with the Advisory and
 92 Oversight Committee established in subsection (6), the Florida
 93 Association of Centers for Independent Living shall ~~oversight~~
 94 group described in paragraph (b), adopt new and revised and
 95 revise the policies and procedures governing the operation of
 96 the personal care attendant program and the training program
 97 required in paragraph (a), provide technical assistance to
 98 program participants, provide administrative support services
 99 for the program, including the implementation of appropriate
 100 internal financial controls to ensure program integrity, and
 101 provide administrative support for the Advisory and Oversight
 102 Committee.

103 (5) The James Patrick Memorial Work Incentive Personal
 104 Attendant Services and Employment Assistance Program shall
 105 reimburse the Florida Association of Centers for Independent
 106 Living monthly for payments made to program participants and for
 107 costs associated with program administration and oversight in
 108 accordance with the annual operating budget approved by the
 109 Advisory and Oversight Committee established pursuant to
 110 subsection (6). Such costs may not exceed 12 percent of the
 111 funds deposited with the Florida Endowment Foundation for
 112 Vocational Rehabilitation pursuant to ss. 320.08068(4)(d) and
 113 413.4021(1).

114 (6) The Advisory and Oversight Committee for the James
 115 Patrick Memorial Work Incentive Personal Attendant Services and
 116 Employment Assistance Program is established for the purpose of

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117 providing program oversight, advising the Florida Association of
 118 Centers for Independent Living on policies and procedures, and
 119 approving the program's annual operating budget for
 120 administration and oversight.

121 (a) The committee shall consist of the following members:

122 1. The director of the Division of Vocational
 123 Rehabilitation or his or her designee;

124 2. The executive director of the Department of Revenue or
 125 his or her designee;

126 3. The secretary of the Department of Children and Families
 127 or his or her designee;

128 4. The director of the advisory council on brain and spinal
 129 cord injuries or his or her designee;

130 5. A program participant, appointed by the President of the
 131 Senate;

132 6. A member of the Florida Independent Living Council,
 133 appointed by the Speaker of the House of Representatives;

134 7. A financial management professional, appointed by the
 135 Governor; and

136 8. Two ex officio, nonvoting members, one of whom
 137 designated by the chair of the Florida Endowment Foundation for
 138 Vocational Rehabilitation, and the other designated by the chair
 139 of the Florida Association of Centers for Independent Living.

140 (b) The appointed members shall serve for a term concurrent
 141 with the term of the official who made the appointment and shall
 142 serve at the pleasure of such official.

143 ~~(b) The oversight group shall include, but need not be~~
 144 ~~limited to, a member of the Florida Association of Centers for~~
 145 ~~Independent Living, a person who is participating in the~~

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146 ~~program, and one representative each from the Department of~~
 147 ~~Revenue, the Department of Children and Families, the Division~~
 148 ~~of Vocational Rehabilitation in the Department of Education, the~~
 149 ~~Medicaid program in the Agency for Health Care Administration,~~
 150 ~~the Florida Endowment Foundation for Vocational Rehabilitation,~~
 151 ~~and the Brain and Spinal Cord Injury Program in the Department~~
 152 ~~of Health.~~

153 Section 2. Subsection (1) of section 413.4021, Florida
 154 Statutes, is amended to read:

155 413.4021 Program participant selection; tax collection
 156 enforcement diversion program.—The Department of Revenue, in
 157 coordination with the Florida Association of Centers for
 158 Independent Living and the Florida Prosecuting Attorneys
 159 Association, shall select judicial circuits in which to operate
 160 the program. The association and the state attorneys' offices
 161 shall develop and implement a tax collection enforcement
 162 diversion program, which shall collect revenue due from persons
 163 who have not remitted their collected sales tax. The criteria
 164 for referral to the tax collection enforcement diversion program
 165 shall be determined cooperatively between the state attorneys'
 166 offices and the Department of Revenue.

167 (1) Notwithstanding ~~the provisions of~~ s. 212.20, 50 percent
 168 of the revenues collected from the tax collection enforcement
 169 diversion program shall be deposited into the special reserve
 170 account of the Florida Endowment Foundation for Vocational
 171 Rehabilitation, to be used to administer the James Patrick
 172 Memorial Work Incentive Personal Care Attendant Services and
 173 Employment Assistance Program and to contract with the state
 174 attorneys participating in the tax collection enforcement

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175 diversion program in an amount of not more than \$75,000 ~~\$50,000~~
176 for each state attorney.

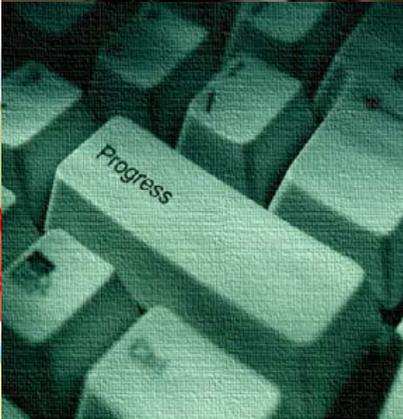
177 Section 3. Paragraph (d) of subsection (4) of section
178 320.08068, Florida Statutes, is amended to read:

179 320.08068 Motorcycle specialty license plates.—

180 (4) A license plate annual use fee of \$20 shall be
181 collected for each motorcycle specialty license plate. Annual
182 use fees shall be distributed to The Able Trust as custodial
183 agent. The Able Trust may retain a maximum of 10 percent of the
184 proceeds from the sale of the license plate for administrative
185 costs. The Able Trust shall distribute the remaining funds as
186 follows:

187 (d) Twenty percent to the Florida Endowment Foundation for
188 Vocational Rehabilitation to support the James Patrick Memorial
189 Work Incentive Personal Care Attendant Services and Employment
190 Assistance Program pursuant to s. 413.402.

191 Section 4. This act shall take effect July 1, 2016.



✓ **Early Learning**
Performance **FUNDING** Pilot
Project

**Improving School
Readiness
Outcomes for
Children**

**Senate Appropriations
Subcommittee on Education
Nov. 18, 2015**



OFFICE OF
Early Learning

LEARN EARLY. LEARN FOR LIFE.

Overview

- Created by 2014 Florida Legislature
- Purpose
 - Improve school readiness outcomes for children by providing special training for teachers and incentivizing providers and instructors for participating
- \$10.5 million in 2014-15 GAA



Elements – Year One

- Funding incentive for high-need areas
- Different funding for pilot, comparison groups
- Professional development to improve teacher quality
- Observation system to measure quality of teacher-child interaction
- Funds could only be spent on quality activities



Provider Eligibility

- Minimum 30 percent birth-5 enrollment in school readiness
- No Class I violations last two years
- No more than two Class II violations last two years



Participants – Year One

Providers applied	1,162
Providers selected	401
Providers in high-need census tracts	190
Pilot group	190
Comparison group	107
Instructors, directors	1,683
Classrooms	1,093
Children birth–5	9,558



Year One Highlights

- Substantial program growth at participating providers
- Providers could offer more quality activities
- Increased statewide capacity to train instructors
- Stronger partnership for OEL and coalitions
- Impacted more than 1000 classrooms, 1600 instructors and 9500 children



Early Learning Performance **FUNDING** Pilot

Payments

- Benchmark payments to providers \$3,383,512
- Bonus payments to providers \$2,995,362
- Payments to early learning coalitions \$488,599



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Early Learning

LEARN EARLY. LEARN FOR LIFE.

Year Two

- \$10.5 million 2015-16 GAA (no change)
- Key elements continue
- Lessons learned, processes improved



Year Two

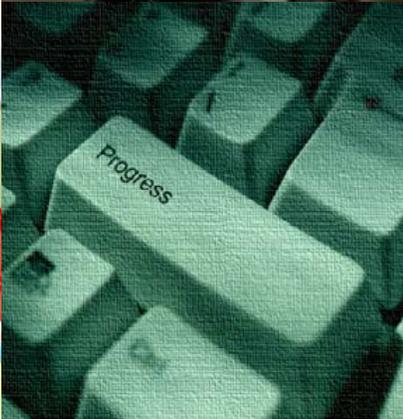
- Streamlined provider, coalition processes
- Set more realistic time frames
- Standardized training
- Set up two tiers letting more high-quality providers participate
- Adjusted incentives to reward sustaining high quality
- Improved child assessments
- Added Early Learning Florida training for Tier 2



Participants – Year Two

Providers applied	688
Providers selected	375
Providers in high-need census tracts	190
Tier 1	284
Tier 2	91
Instructors, directors	2,083
Classrooms	1,641





✓ **Early Learning**
Performance **FUNDING** Pilot
Project

Office of Early Learning

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OFFICE OF
Early Learning

LEARN EARLY. LEARN FOR LIFE.

November 13, 2015

The Honorable Don Gaetz
Chair, Appropriations Subcommittee on Education
404 South Monroe Street
Tallahassee, FL 32399

Dear Chairman Gaetz:

The Office of Early Learning received your request for a status update on the 2014-2015 Early Learning Performance Funding Pilot Project and an explanation of the new federal Child Care Development Fund quality spending requirements. The request also asked us to describe whether the existing performance funding pilot project could be used as a vehicle for the quality spending requirements and, if so, to identify any modifications that would be required for the 2016-2017 fiscal year. We are pleased to respond and hope you find this information helpful.

Early Learning Performance Funding Pilot Project Description and Implementation

The performance funding project was designed to determine the impact of specific training and the implementation of new teacher practices on the quality of school readiness programs, including child learning gains. Within a month of the legislative charge in September 2014, the office recruited providers and launched the project across 26 of the state's 30 early learning coalitions.

In accordance with the proviso, OEL convened a workgroup of stakeholders, partners and staff to provide input into the project. There were two minimum requirements for provider participation. First, to ensure that funding would go to high-need areas, 30 percent of the birth-to-5-year-old population served by the provider must have been participating in the School Readiness Program. Second, as a minimum quality threshold, a participating provider must not have received more than two Class II licensing violations nor one Class I violation in the last two years.

OEL worked with the Florida Center for Reading Research at Florida State University to create a research design for evaluation. Some strategies could not be included in the final plan because of the compressed time period between the Legislative Budget Committee approval and the need to launch the project generally concurrent with a program year. The final design is attached for reference.

The final design called for two provider groups – pilot and comparison (or control). While the comparison group received no additional training (or intervention), the pilot group received a 20-hour face-to-face training titled *Making the Most of CLASSroom Interactions* (MMCI). Providers (teachers and directors) in the pilot group also completed a two-hour online module introducing participants to the Classroom Assessment and Scoring System (CLASS). CLASS is a research-based, reliable, and valid tool that is used internationally as a measure of quality teacher-child interactions. Before the intervention occurred, qualified observers studied participating classrooms as a way to gauge teacher-child interactions. OEL collaborated with a contractor to develop an online system to manage project requirements and serve as a database for provider information and compliance.

RODNEY J. MACKINNON
EXECUTIVE DIRECTOR, OFFICE OF EARLY LEARNING

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Early Learning Performance Funding Pilot Project

November 13, 2015

Page two

Coordinating with early learning coalitions, OEL recruited providers using announcements, flyers, emails, websites and informational webinars. OEL created an exclusive email account and phone line for project support. More than 1,100 providers applied for the project. Of 960 potentially eligible providers, 401 were randomly selected; 260 were placed in the pilot group and 141 in the comparison group. Once selection was complete, OEL notified providers and executed contracts.

The project required providers in the pilot group to complete multiple deliverables by four specific benchmark dates between October 2014 and June 2015. Providers used the online system to submit evidence showing completed deliverables. Coalitions then reviewed submissions to approve or deny them as appropriate. OEL and coalition staff offered implementation guidance using webinars, resource documents and personal communication. To implement and coordinate CLASS observations and MMCI training statewide, OEL provided support to coalitions whose capacity to conduct observations or offer training was limited.

At the conclusion of the pilot project's year one, OEL examined each provider's program quality by comparing pre- and post-CLASS observations. OEL then established different tiers of bonus eligibility and determined appropriate bonus payments to providers whose scores met the criteria.

The spending priority for the performance funding pilot project was provider payment. There was increased funding for providers located within high-poverty tract areas. A breakdown of Fiscal Year 2014-2015 expenditures is attached. The first project benchmark for Fiscal Year 2015-2016 is mid-November 2015. The only expenditures to date are state-level and total less than \$2,000.

When the project was completed, the Florida Center for Reading Research conducted an evaluation. An excerpt from the report regarding program quality improvement follows:

"There were significantly positive impacts of the pilot program on all ratings on the CLASS both for classrooms serving two-year-old children and for classrooms serving three to five-year-old children, and effect sizes were in the moderate to large range."

There was a large impact on program quality for those who participated in the pilot group. In fact, out of 608 classrooms in the pilot group, 79 percent were deemed bonus eligible.

Child-level data analysis showed that

"...all academic skills (i.e., expressive vocabulary, print knowledge, phonological awareness, and math) showed significant gains from pretest to posttest beyond the gains expected due to increased age....Regardless of intervention group (i.e., Pilot or Comparison), children in the school readiness program had language, literacy, and math skills that increased more than what would be expected based on increases in age."

This indicates that children within the project showed statistically large increases in outcomes by simply being part of the School Readiness Program.

The last six hours of training were completed within the same benchmark timeframe as the measurement of child outcomes. In reviewing the project timeline, the compressed period of time allowed to integrate newly learned strategies into the classroom was not adequate to measure the

true impact on child outcomes. While results of child outcomes in school readiness overall were positive, there was no statistically significant difference in child outcomes between the pilot and comparison groups.

The second year of this project is designed to learn from and build on first year successes and adjust elements that reinforce positive impact on child outcomes. Modifications include streamlining certain processes; setting more realistic time frames; standardizing training; forming two provider group tiers allowing more high-quality providers to participate; adjusting incentives to reward providers for sustaining high quality; improving child assessment; and adding Early Learning Florida training. We anticipate more substantive outcomes at the end of the second year.

Use of Performance Funding Pilot Project for Federal Child Care Development Fund Quality Spending Requirements

Based on the description of child care quality improvement activities in the new Child Care Development Block Grant reauthorization language, our office believes there is potential to incorporate performance funding project activity into spending requirements.

Existing Requirements met by Performance Funding:

- Activity designed to improve the quality and availability of child care. (98.51)

New Requirements met by Performance Funding:

The following are four of the activities that are allowable under the new CCDBG Reauthorization; the state is only required to implement one.

- Supporting the training and professional development of the child care workforce through activities such as those included under section 658E(c)(2)(G), in addition to—
- Improving upon the development or implementation of the early learning and developmental guidelines described in section 658E(c)(2)(T) by providing technical assistance to eligible child care providers that enhances the cognitive, physical, social and emotional development, including early childhood development, of participating preschool and school-aged children and supports their overall well-being.
- Evaluating and assessing the quality and effectiveness of child care programs and services offered in the State, including evaluating how such programs positively impact children
- Carrying out other activities determined by the State to improve the quality of child care services provided in the State, and for which measurement of outcomes relating to improved provider preparedness, child safety, child well-being, or entry to kindergarten is possible.

The state is also required to implement the following:

- Set payment rates that take into consideration the cost of providing higher quality child care services than were provided prior to November 2014 without to the extent practicable reducing the number of families on the waiting list. And certify payment rates are sufficient to ensure equal access.

Early Learning Performance Funding Pilot Project

November 13, 2015

Page four

- Develop and implement strategies to increase the supply and improve the quality of child care services of children in underserved areas, infants and toddlers, children with disabilities and children who receive care during non-traditional hours.
- Increase investments made to increase access to programs providing high-quality child care and development services, and to give priority for those investments to children in families in areas that have significant concentrations of poverty and unemployment.

The Early Learning Performance Funding Pilot Project expenditures are allowable under the regulations and show that the state is making progress in investments to increase the quality of providers. Greater saturation would be needed to fully satisfy the requirements on improving quality in underserved areas.

This project could also support requirements related to implementing professional development. Reauthorization requires states and territories to establish professional development and training requirements in key areas such as health and safety, early learning guidelines, responding to challenging behavior and engaging families. States must offer ongoing annual training and establish a progression of professional development opportunities to improve knowledge and skills of CCDF providers. For example, the reauthorization is establishing a system or framework of professional development that includes professional standards – a “career ladder” or progression of professional development that allows an individual to build knowledge and skills in a cumulative manner from introductory training to advanced-level education, including obtaining credentials and post-secondary degrees.

If such a framework were developed, achieving a master staff credential could become part of the design of the performance funding project. Components of this credential could include training teachers received during the project’s first two years.

In summary, if the Early Learning Performance Funding Project were used to satisfy CCDF quality spending requirements, it would entail minimal project modifications. While the project would not implement all of CCDF’s comprehensive quality requirements, it would meet a substantial portion of the specifications and continue an initiative that promises to have a leading and long-term positive impact on the quality of early childhood education in Florida.

If you have questions or would like additional information, please let me know.

Respectfully,



Rodney J. MacKinnon
Executive Director
Office of Early Learning

RJM/jj

Attachments:

OEL SR Design Document (110614)
FY2014-15 PFP Expenditure Summary



Education Funding and Performance Update

Senate Appropriations Subcommittee on Education

**November 18, 2015
Department of Education**



www.FLDOE.org

Education Funding and Performance Overview

- **K 12 (Jane Fletcher, Mark Eggers)**
 - AP/IB/AICE Incentive Funds
 - Early Graduation
 - Credit Acceleration Program
- **District Workforce (Chancellor Duckworth)**
 - CAPE Incentive Funds
 - Industry Certification
- **Florida College System (Chancellor Pumariega)**
 - Performance Funding
 - College Excellence Funds- Aspen Award
 - CAPE Incentive Funds



K-12 Performance Funding & Incentives

Jane Fletcher
Accountability Research and Measurement

Mark Eggers
Finance & Operations

www.FLDOE.org

2015-16 FEFP Performance Funding

Program	Funding
Bonus FTE:	
Advanced Placement	\$102,808,670
International Baccalaureate	\$21,036,002
Advanced International Certificate of Education	\$25,584,451
Total Bonus FTE	\$149,429,123
Early High School Graduation	\$5,466,158
Credit Acceleration Program (CAP) - Pass End-of-Course Examinations without taking course (2014-15)	\$528,173
Total	\$155,423,454

AP, IB & AICE Benefits

- Provides performance funding to districts when students take and pass AP, IB, and AICE exams or earn IB or AICE diplomas.
- Benefits to Students
 - Courses articulate to college credit/earlier college graduation
 - Develop college level academic skills
 - Increases student's weighted grade point average
 - AICE/IB diploma = Florida Bright Futures Academic Scholars award
 - Exam fee paid for the student
- Benefits to Parents
 - Increases eligibility for some scholarships
 - Saves money on tuition
- Benefits to School District
 - Additional Funding
 - Faculty earn bonuses (\$8.1 million in teacher bonuses paid in 2014-15)
 - Enhances student choices for high quality programs
 - Teacher staff development opportunities

Bonus FTE

FY 2015-16 - \$149,429,123

Advanced Placement (AP)

- 0.16 FTE (approximately \$665) for each student enrolled in a course who receives a score of 3 or higher on the College Board AP subject examination.

International Baccalaureate (IB)

- 0.16 FTE (approximately \$665) for each student enrolled in a course who receives a score of 4 or higher on a subject examination.
- 0.3 FTE (approximately \$1,246) for each student who receives an IB diploma.

Advanced International Certificate of Education (AICE)

- 0.16 FTE (approximately \$665) for each student enrolled in a full-credit AICE course who receives a score of E or higher on a subject examination.
- 0.08 FTE (approximately \$332) for each student enrolled in a half-credit AICE course who receives a score of E or higher on a subject examination.
- 0.3 FTE (approximately \$1,246) for each student who receives an AICE diploma.

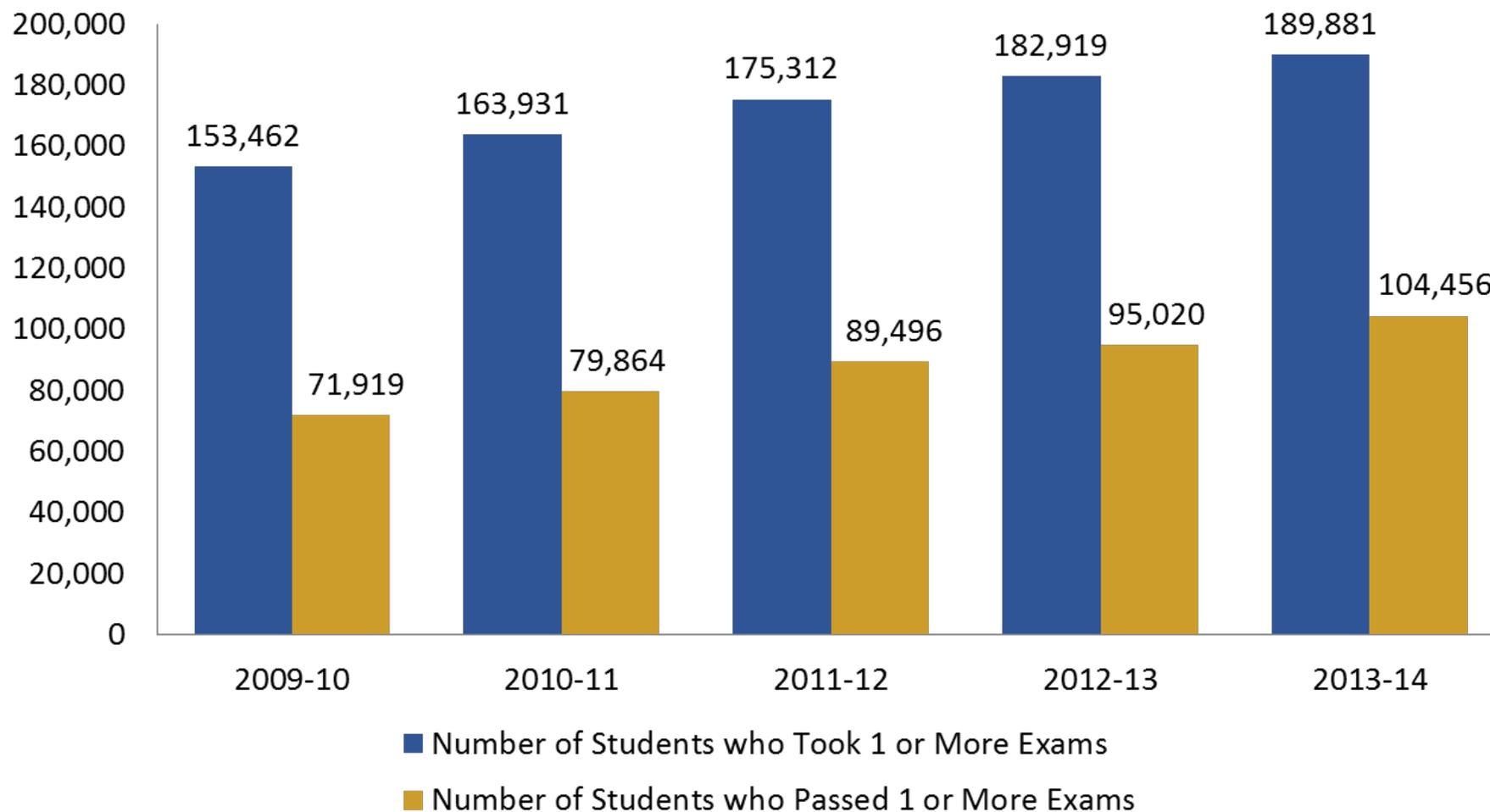
AP, IB & AICE Funding History

FEFP	AP	IB	IB Diploma	AICE	AICE Diploma	TOTAL
2004-05	\$52,220,682	\$12,886,758	\$2,350,804	\$195,974	\$8,398	\$67,662,616
2005-06	\$57,884,065	\$14,496,975	\$2,834,595	\$426,345	\$9,741	\$75,651,721
2006-07	\$66,982,119	\$15,729,868	\$2,925,169	\$459,810	\$53,124	\$86,150,090
2007-08	\$79,817,812	\$17,491,088	\$3,351,304	\$1,133,606	\$162,980	\$101,956,790
2008-09	\$54,132,806	\$11,456,729	\$3,009,682	\$1,512,128	\$239,443	\$70,350,788
2009-10	\$58,082,792	\$10,526,072	\$2,974,263	\$1,950,263	\$298,093	\$73,831,483
2010-11	\$67,407,658	\$11,561,417	\$3,202,283	\$3,078,520	\$430,578	\$85,680,456
2011-12	\$69,220,275	\$10,963,744	\$2,737,004	\$4,913,937	\$625,794	\$88,460,754
2012-13	\$78,104,231	\$11,939,795	\$3,316,122	\$6,915,175	\$930,634	\$101,205,957
2013-14	\$86,832,441	\$16,077,065	\$3,777,386	\$10,939,468	\$1,062,133	\$118,688,493
2014-15	\$99,000,806	\$15,914,315	\$4,234,790	\$17,174,163	\$1,782,355	\$138,106,429
2015-16	\$102,808,670	\$16,622,893	\$4,413,109	\$22,766,300	\$2,818,151	\$149,429,123

Top 20 Based on Number of Exams Passed – AP, IB, AICE

Test Name	2013-14	Number Exams Taken	Number Exams Passed	% Exams Passed
	Test Sub Content Description			
AP	Psychology	30,134	16,631	55%
AP	English Language & Composition	31,810	15,130	48%
AP	Human Geography	31,348	12,725	41%
AP	English Literature & Composition	26,117	12,690	49%
AP	World History	26,859	11,771	44%
AP	United States History	29,004	11,221	39%
AP	Spanish Language	9,742	8,774	90%
AP	Government & Politics: United States	21,580	7,538	35%
AICE	General Paper - AS Level	7,717	6,974	90%
AP	Calculus AB	13,024	6,915	53%
AP	Environmental Science	14,938	5,494	37%
AP	Biology	9,566	5,395	56%
AP	Macroeconomics	13,637	5,248	38%
AP	Statistics	11,466	4,907	43%
AP	European History	7,708	4,108	53%
AICE	English Language - AS Level	4,767	4,050	85%
IB	English A1 - HL	4,052	3,941	97%
IB	History - HL	3,812	3,102	81%
AP	Calculus BC	3,881	3,043	78%
AP	Calculus AB Subscore	3,613	2,982	83%

Student Results on AP, IB, AICE Exams



Percent of Graduates who Took and Passed Acceleration Exams (AP, IB, AICE)

Graduation Year	% of Graduates Taking 1 or More Exams	% of Graduates Passing 1 or More Exams	% of Exams Passed
2009-10	42%	23%	47%
2010-11	46%	25%	46%
2011-12	49%	27%	48%
2012-13	50%	28%	49%
2013-14	51%	29%	51%

Early Graduation Performance Funding

FY 2015-16 - \$5,466,158

- Provides funding when students graduate one or more semesters early and earn the minimum 24 credit hours.
- Student Benefits
 - Students are less likely to face pressure to stay in high school when they could graduate early.
 - May encourage students to take high school coursework to graduate early.
 - Students who can meet requirements more quickly can move on to a career or postsecondary education.
- District Benefits
 - Encourages districts to accelerate students.
 - Districts are funded mainly based on seat time. This funding helps compensate districts when students move through more quickly.
 - Potentially reduces school district expenditures.
 - May provide relief for schools that are at full student capacity.
 - Assists in meeting class size maximum requirements.

Early Graduation

Students who Graduated One or More Semesters Early

	2009-10	2010-11	2011-12	2012-13	2013-14
Number of Graduates	146,391	143,602	141,953	149,430	149,397
Number of Early Graduates	2,801	1,334	2,147	2,417	2,522
Percentage of Early Graduates	1.91%	0.93%	1.51%	1.62%	1.69%
Early Graduates					
Earned less than 24 Credits	13.57%	16.49%	9.36%	11.46%	12.89%
Earned 24 or more Credits	86.43%	83.51%	90.36%	89.54%	87.11%

Early High School Graduation

Graduation from high school in less than 8 semesters or the equivalent.

FEFP	Graduating One Year or More in Advance			Graduating One Semester in Advance			Total Funding
	Additional FTE Per Student	Bonus		Additional FTE Per Student	Bonus		
		FTE	Funding		FTE	Funding	
2015-16	0.50 FTE	409.50	\$1,707,685	0.25 FTE	904.25	\$3,758,473	\$5,466,158
2014-15	0.50 FTE Up to 1.00	314.00	\$1,269,214	0.25 FTE Up to 0.50	122.00	\$491,668	\$1,760,882
2013-14	FTE	50.07	\$187,825	FTE	182.90	\$687,028	\$874,853

Credit Acceleration Program (CAP) Performance Funding FY 2014-15 - \$528,173

- Provides funding to districts (1/6 FTE) when students pass a statewide standardized end-of-course exam without course enrollment
- Student Benefits
 - Helps students move through high school more quickly
 - Students are able to demonstrate their knowledge without seat time in a class
 - Allows students to take other courses
- District Benefits
 - Districts receive additional funding
 - Provides an incentive to allow/encourage students to use the CAP provisions
 - Encourages districts to accelerate students
 - May provide relief for schools that are at full student capacity
 - Assists in meeting class size maximum requirements
 - Potentially reduces school district expenditures

Credit Acceleration Program (CAP) End-of Course Examinations (s. 1011.61(1)(c)(VIII), F.S.)

Year	Funding
2014-15	\$528,173
2013-14	\$2,616,946
2012-13	\$14,340

Number and Percent of First-Time Testers Who, through the Credit Acceleration Program (CAP), CAP'd Courses by Passing End-of-Course (EOC) Exams

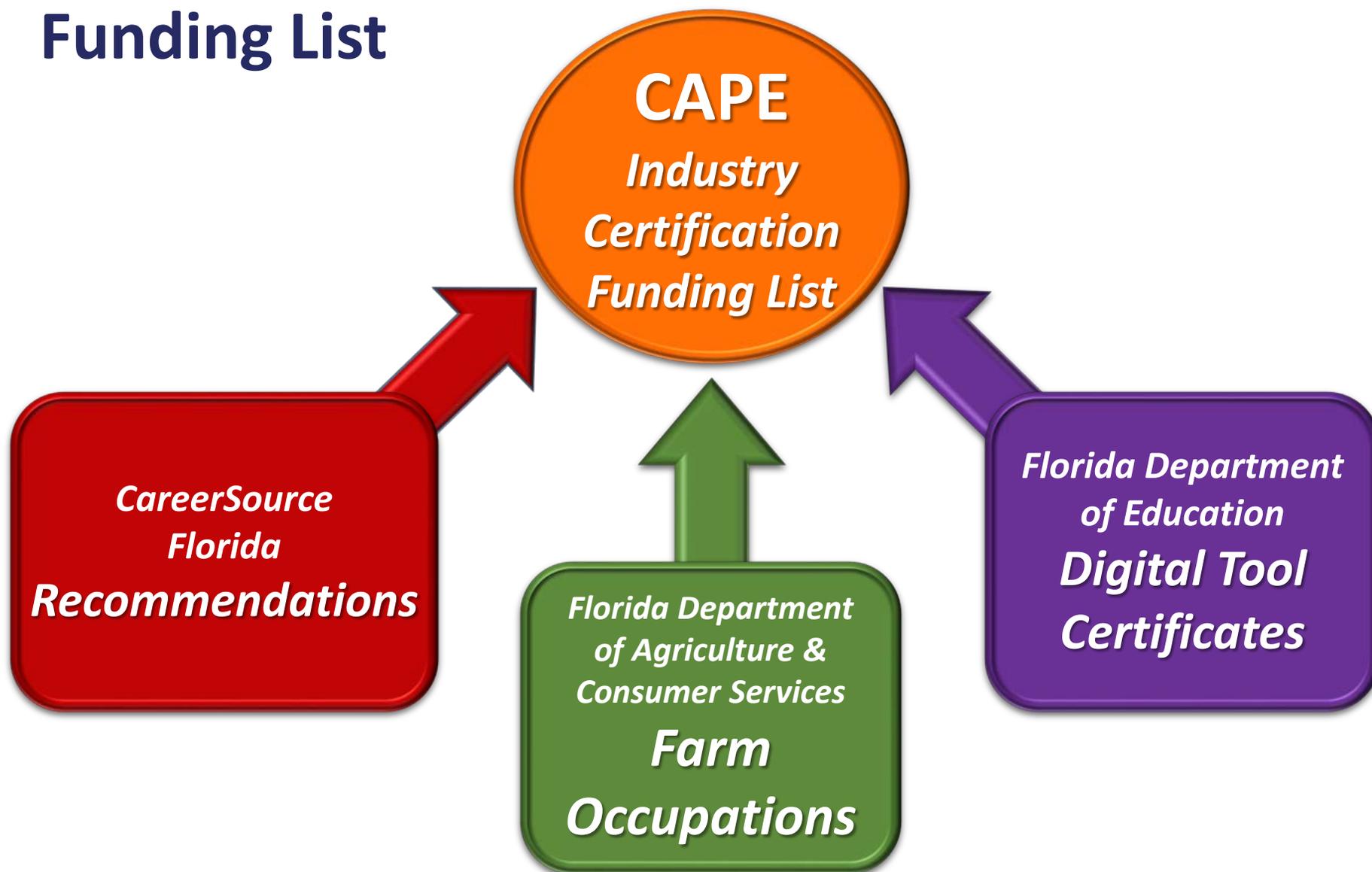
	Algebra 1		Biology 1		Geometry		U.S. History	
	Number of CAPs	CAPs as a Percent of all EOCs	Number of CAPs	CAPs as a Percent of all EOCs	Number of CAPs	CAPs as a Percent of all EOCs	Number of CAPs	CAPs as a Percent of all EOCs
2011-12	1,644	1.42%	1,810	1.61%	482	0.46%	NA	NA
2012-13	6,335	4.53%	2,556	2.05%	1,097	0.98%	572	0.75%
2013-14	9,861	6.67%	4,865	3.69%	1,150	0.99%	2,542	2.37%
2014-15	9,170	6.33%	2,519	1.90%	*	*	2,870	2.58%



Career and Professional Education (CAPE) Implementation

**Rod Duckworth, Chancellor
Career and Adult Education**

Pathways to the CAPE Industry Certification Funding List



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Summary of Certifications and Certificates on 2015-16 CAPE Industry Certification Funding List

Type	Grade Levels	# Certifications/Certificates on the 2015-16 List
CAPE Digital Tool Certificates	K-8	15
CAPE Industry Certification (no articulation agreement)	6-12	156
CAPE Industry Certification (1-14 credits)	6-12	69
CAPE Acceleration Industry Certification (15 – 29 credits)	6-12	1
CAPE Acceleration Industry Certification (30 or more credits)	6-12	1

2015-16 CAPE Innovation Courses

Course	Academic	Career
AP Microeconomics Innovation	Earn score of "3" or higher on the AP exam	Earn Microsoft Office Specialist for Excel (2013 version)
AP English Language and Composition Innovation	Earn score of "3" or higher on the AP exam	Earn Microsoft Office Specialist for Word (2013 version)
AP Studio Art 2-D Design Portfolio Innovation	Earn score of "3" or higher on the AP exam	Earn Adobe Certified Associate for Adobe Photoshop (Creative Cloud version)
AP Computer Science A Innovation	Earn score of "3" or higher on the AP exam	Earn CIW Javascript Specialist certification

These course numbers may only be reported by districts and schools who have received professional development on the innovation course.

2015-16 FEFP – Estimated Value of Industry Certifications/Certificates/Courses

Type of Outcome	Funding Weight	Estimated Value (Base Student Allocation = \$4,154.45)
CAPE Digital Tool Certificate	0.025	\$104
CAPE Industry Certification without articulated credits	0.1	\$415
CAPE Industry Certification with up to 14 articulated credits	0.2	\$831
CAPE Innovation Course	0.3	\$1,246
CAPE Acceleration Industry Certification with 15 to 29 credits	0.5	\$2,077
CAPE Acceleration Industry Certification with 30 or more credits	1.0	\$4,154

Return on Investment for CAPE

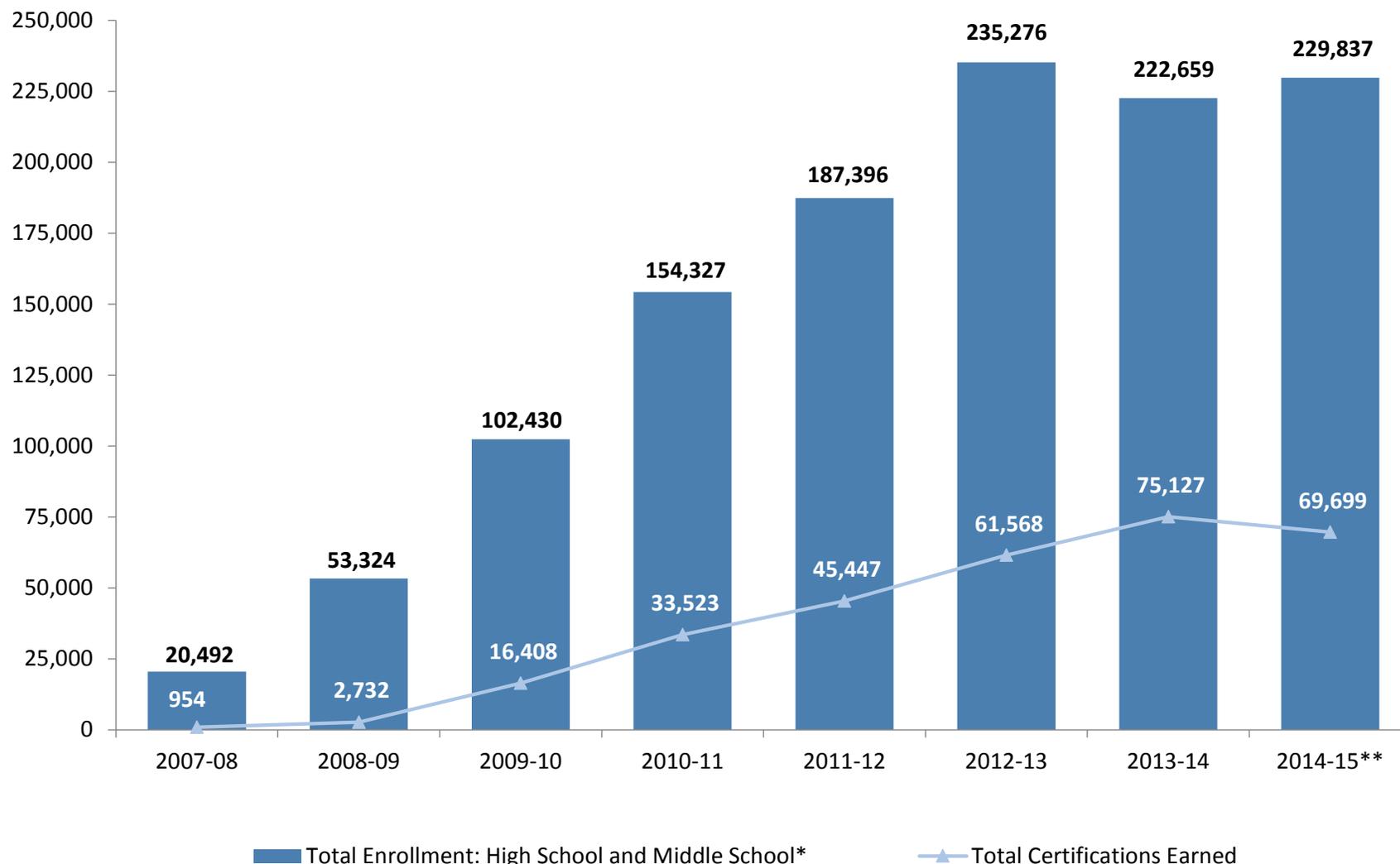
Students

- Skills training at no cost
- Opportunities for entry-level jobs right out of high school
- College Credit in related Associate in Science Degree program

Employers

- Local supply of skilled entry-level employees
- Reduced training costs

CAPE Academy Enrollment and Industry Certifications/Certificates Earned, 2007-08 to 2014-15



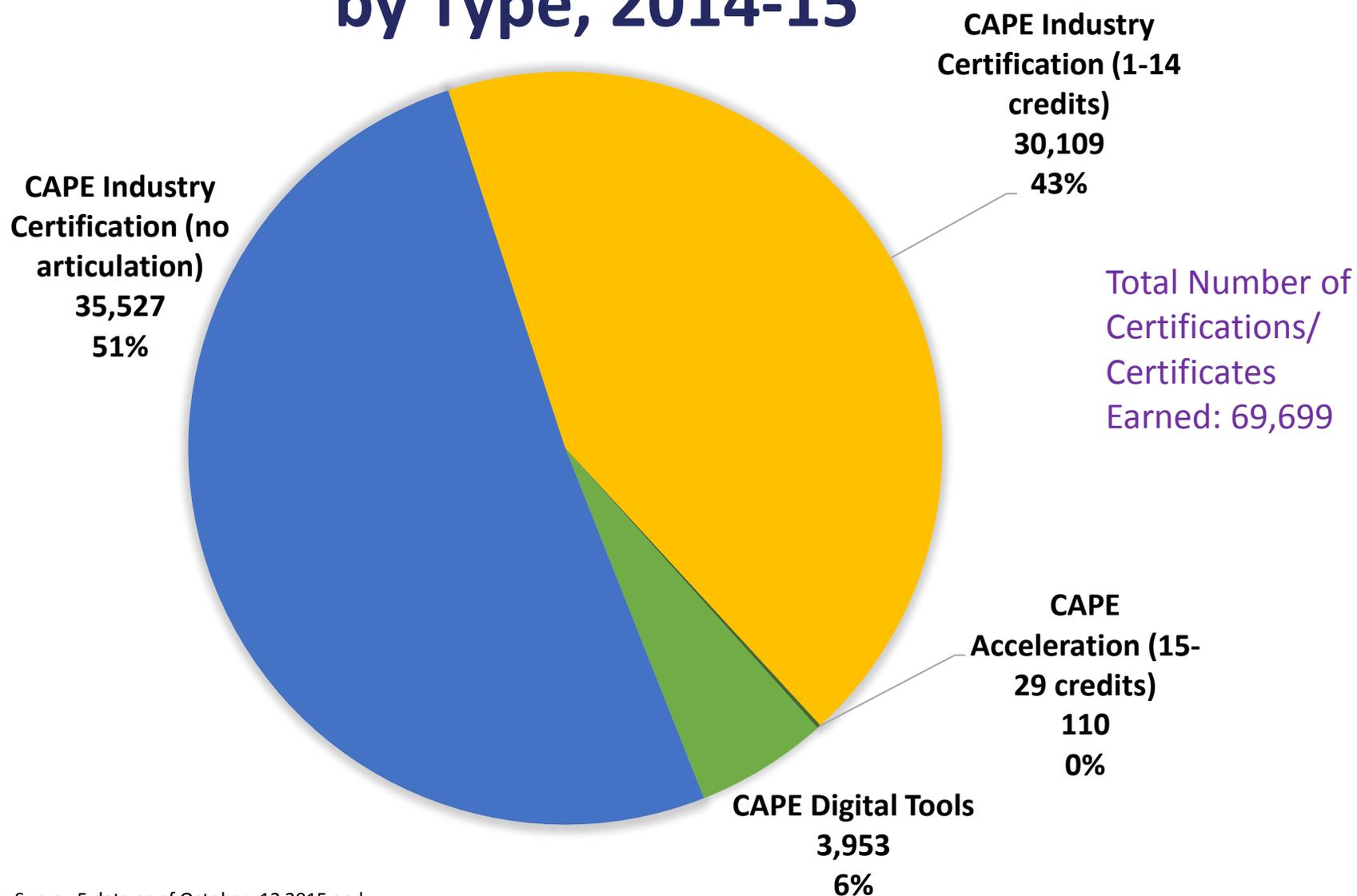
NOTE: Totals includes 2012-13 Middle School STEM Certifications and 2014-15 CAPE Digital Tool Certificates

*Unduplicated count of students

**Preliminary Survey 5 data as of October 12, 2015

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Industry Certifications/Certificates Earned by Type, 2014-15



* Based on preliminary Survey 5 data as of October, 12 2015 and includes all industry certifications reported, including those not in registered career-themed courses.

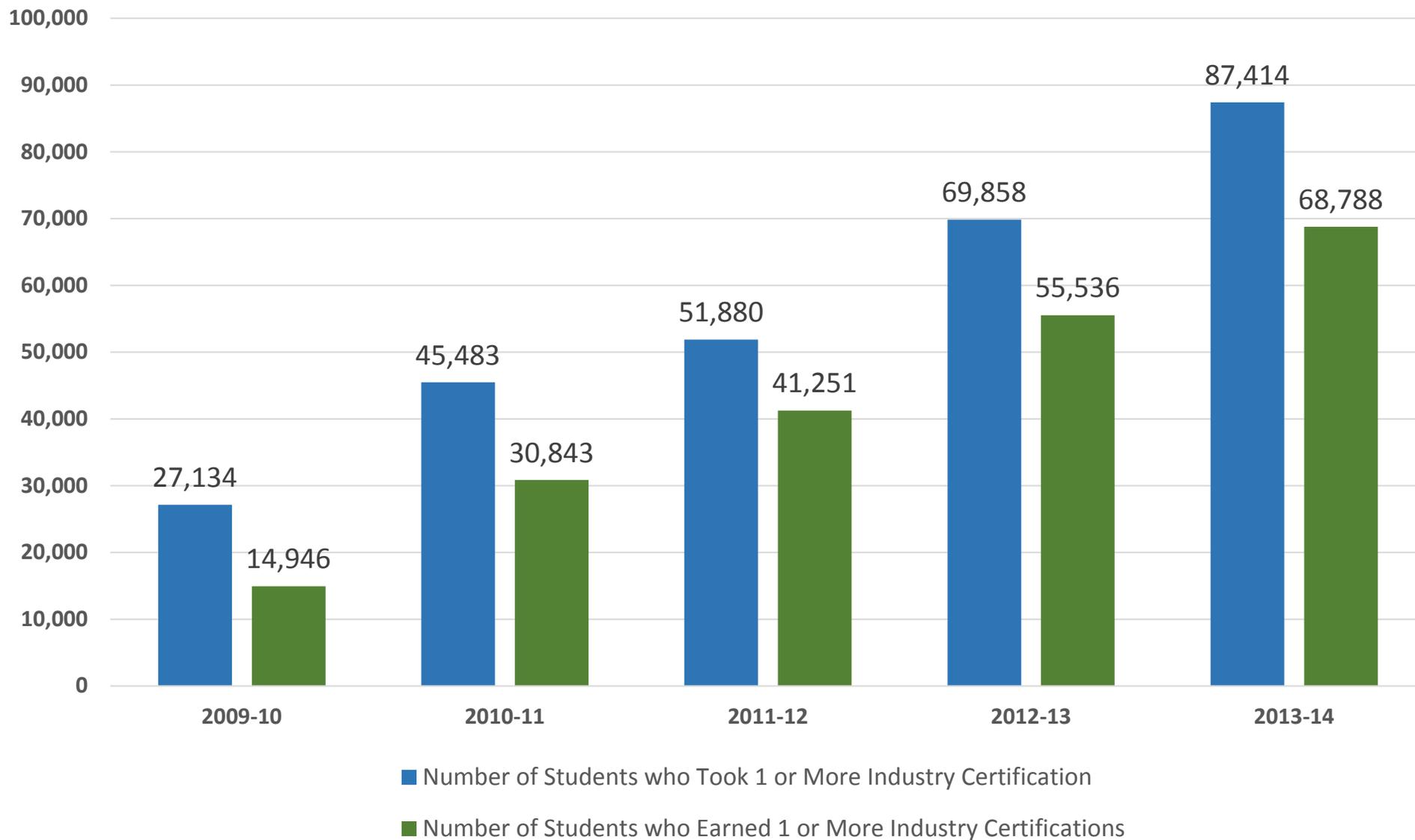
Top 20 CAPE Industry Certifications Earned, 2014-15

Certification	#Earned
Adobe Certified Associate - Photoshop	11,229
Microsoft Office Specialist (MOS) Bundle Certification	11,060
Adobe Certified Associate - Dreamweaver	4,688
Certified Food Protection Manager (ServSafe)	4,152
Adobe Certified Associate - Premiere Pro	3,695
Certified Internet Web (CIW) - Internet Business Associate	3,658
Adobe Certified Associate – Illustrator	3,131
Certified Medical Administrative Assistant (CMAA)	2,828
Autodesk Certified Associate - Flash	2,045
Certified Nursing Assistant	1,887

Certification	#Earned
Autodesk Certified User - Inventor	1,882
Certified EKG Technician (CET)	1,804
Adobe Certified Associate – InDesign	1,754
Autodesk Certified User - AutoCAD	1,150
QuickBooks Certified User	933
Agricultural Technician Certification	786
Certified Internet Web (CIW) - Site Development Associate	490
Emergency Medical Responder	483
Certified Veterinary Assistant (CVA)	470
Microsoft Technology Associate (MTA) - Windows OS Fundamentals	469

Bold text indicates the certification has a statewide articulation agreement for college credit in a related A.S. degree program

Student Outcomes for Industry Certifications

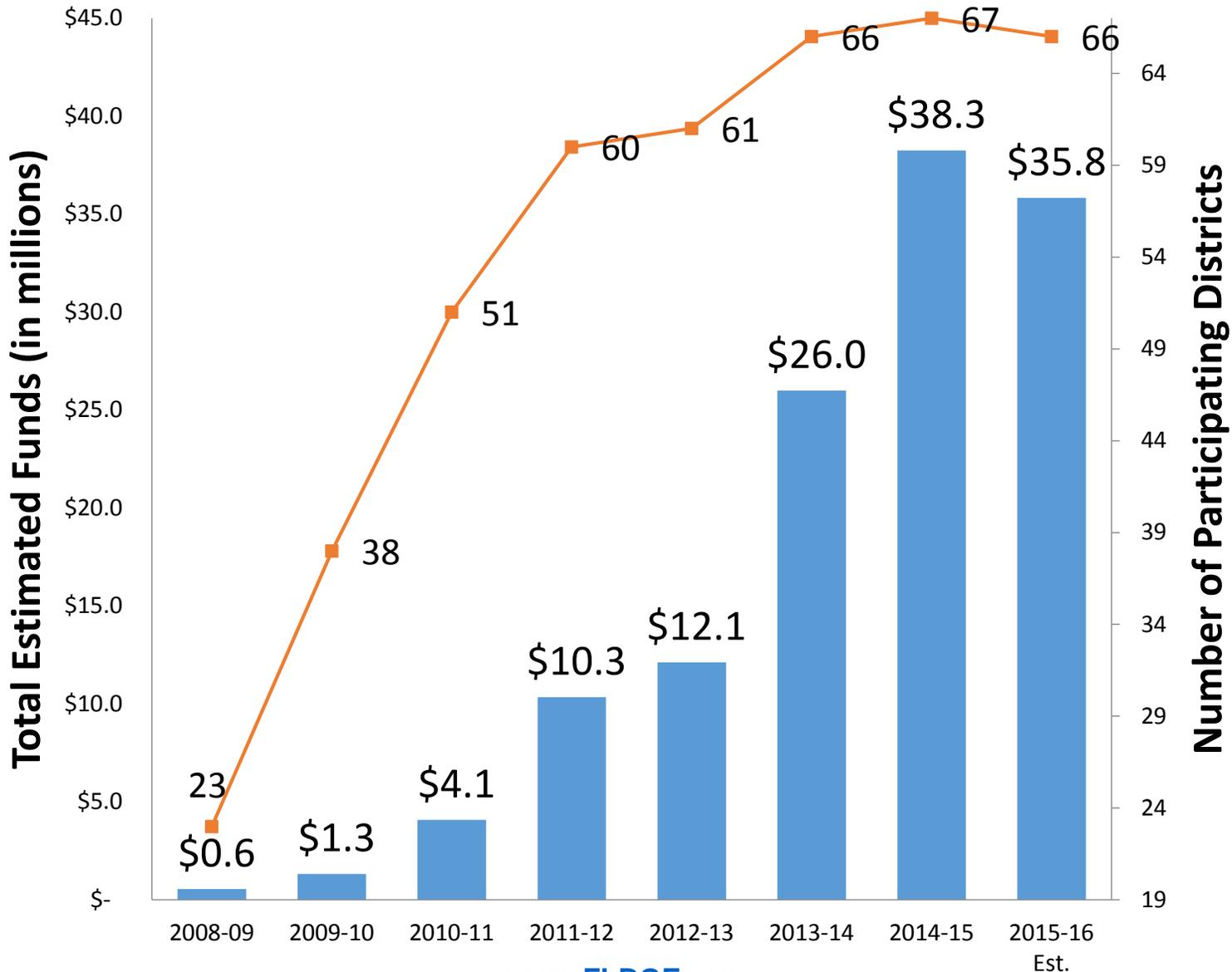


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Participation and Performance of Graduates for Industry Certifications

Graduation Year	% of Graduates Attempting 1 or More Industry Certifications	% of Graduates Earning 1 or More Industry Certifications	% of Certifications Earned
2009-10	8%	4%	58%
2010-11	15%	11%	67%
2011-12	20%	15%	77%
2012-13	24%	19%	78%
2013-14	28%	23%	79%

History of CAPE Bonus Funding



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CAPE Performance Comparison, 2013-14

Performance Indicator	Non-CAPE, No Certification	CAPE, No Certification	Non-CAPE + Certification	CAPE + Certification
Average GPA	2.66	2.71	2.87	2.99
Chronically Absent	18.1%	16.7%	17.1%	11.2%
At Least One Disciplinary Action	14.6%	17.2%	10.0%	9.4%
Dropout Rate	2.8%	1.1%	0.3%	0.3%
12th Graders Earning Standard Diploma	67.5%	81.9%	88.7%	94.2%
At Least One Accelerated Course	30.6%	30.4%	45.5%	43.6%

Note: Includes high school students enrolled in either a registered academy or career-themed course. Enrollment is unduplicated to the district and student. Students are counted more than once if they are reported in more than one district during the academic year.

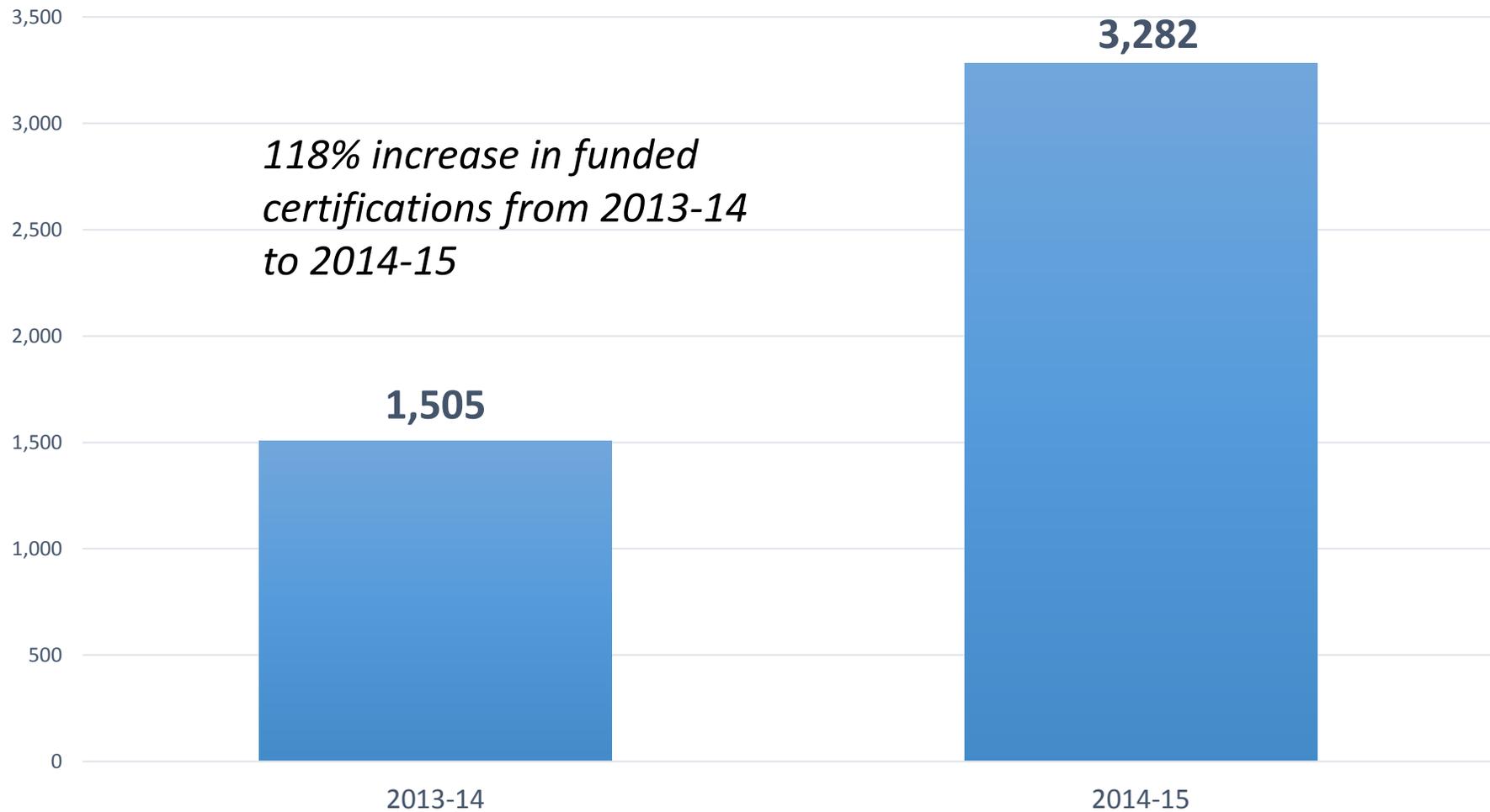


Postsecondary District Performance Funding for Industry Certifications

District Performance Funding: Industry Certification

- Funding methodology implemented in 2013-14.
- s. 1011.80(6)(b), F.S.
 - \$1,000 per eligible certification is provided for items on the CAPE Postsecondary Industry Certification Funding List
 - Funding provided for occupational areas identified in the General Appropriations Act, contingent upon funding in the GAA
 - If funds are insufficient to fully fund the calculated total award, the funds will be prorated.
- Dual enrollment students are included in the calculation.

Funded Certifications by Year



2014-15 District Postsecondary: Top 20 Funded Industry Certifications

Certification	#Funded
National Licensed Practical Nurse (NCLEX-PN)	542
Certified Nursing Assistant (CNA)	356
Certified Welder (American Welding Society)	236
CompTIA A+	236
Pharmacy Technician	147
FAA Aviation Maintenance Technician - General	140
ASE Automobile/Light Truck Technician: Brakes (A5)	127
HVAC Excellence Employment Ready - Air Conditioning	126
CompTIA Network+	119
Emergency Medical Technician (EMT)	98

Certification	#Funded
ASE Automobile/Light Truck Technician: Electrical/Electronic Systems (A6)	81
ASE Automobile/Light Truck Technician: Suspension and Steering (A4)	76
HVAC Excellence Employment Ready - Heat Pump	69
HVAC Excellence Employment Ready - Electric Heat	52
Certified Surgical Technologist (CST)	51
Certified Medical Assistant (CMA)	50
ASE Automobile/Light Truck Technician: Heating and Air Conditioning (A7)	48
NCCER HVAC - Level 2	48
FAA Aviation Mechanic Technician - Airframe	43
NCCER Welder - Level 1	42

Pathways to Employment

Employment Pathway	Estimated Annual Earnings
Practical Nurse	
Licensed Practical Nurse	\$20.23 Mean Wage \$42,078 Annual Earnings
FAA Aviation Maintenance Technician - General	
Aircraft Mechanics and Service Technicians	\$26.91 Mean Wage \$55,972 Annual Earnings
HVAC Excellence Employment Ready - Air Conditioning	
Heating, A.C., and Refrigeration Mechanics and Installers	\$20.00 Mean Wage \$41,600 Annual Earnings



Florida College System

Madeline Pumariega, Chancellor

Performance Based Incentive Funding

College Excellence Funds – Aspen Award

CAPE Incentive Funds

The Path to the Performance Funding Model

- May 2014: Proviso language
- July 1, 2014: Gathering at Valencia College with representatives from all 28 Florida College System institutions
- July 2014 – January 2015: Working with stakeholders to identify model components
- January 23, 2015: Commissioner’s recommendation
- Spring 2015: Measure refinement & model simulation
- June 19, 2015: Legislation passed
- July 23, 2015: State Board of Education adopts model
- July 28, 2015: Results provided to colleges
- August 2015: Working with colleges in Bronze category on the development of improvement plans
- September 2015: State Board of Education approves improvement plans

Measures

- Four measures were specified in proviso and statute
 - Job Placement and/or Continuing Education (post-graduation success)
 - Completion Rate (graduation)
 - Retention Rate (academic progress)
 - Earnings (career success)
- Measures were scored for both excellence and improvement
 - Excellence compares college data to prior-year(s) system average
 - Improvement compares college data to college's own prior-year(s)
 - College receives a score for higher value of excellence or improvement

2015-16

\$20 Million - Base Budget

- Proviso and statute delegate State Board of Education to establish threshold to earn restoration of base budget
- Colleges below threshold submit improvement plans for State Board of Education approval
- December and May reports from colleges demonstrating successful implementation of improvement plan actions cause restoration of withheld funds

2015-16

\$20 Million – New Funds

- Proviso and statute allow new funds for colleges above threshold
- Proviso and statute provide highest seven colleges with new funds from colleges below threshold

- Legislative Budget Request for 2016-17 includes \$20 million from base funds and continuation of \$20 million in performance funds

2015-16 Performance Funding Results

Category	Gold	Silver	Bronze
Funding Received	<ul style="list-style-type: none"> • Base funds • New funds • Bronze portion of new funds 	<ul style="list-style-type: none"> • Base funds • New funds 	<ul style="list-style-type: none"> • Base funds restored if improvement plan is approved and progress is made
Colleges	<ul style="list-style-type: none"> • Santa Fe College • Valencia College • Tallahassee Community College • Lake-Sumter State College • Gulf Coast State College • State College of Florida, Manatee-Sarasota • Florida SouthWestern State College 	<ul style="list-style-type: none"> • Chipola College • Broward College • Florida Gateway College • Seminole State College of Florida • Hillsborough Community College • Miami Dade College • Eastern Florida State College • Palm Beach State College • Polk State College • St. Johns River State College • Florida Keys Community College • South Florida State College • Florida State College at Jacksonville • North Florida Community College • St. Petersburg College • Indian River State College 	<ul style="list-style-type: none"> • Pasco-Hernando State College • College of Central Florida • Daytona State College • Northwest Florida State College • Pensacola State College



Florida College System

Performance Based Incentive Funding
College Excellence Funds – Aspen Award
CAPE Incentive Funds

Florida College System Success

Aspen Award

National Community College Excellence Award
that is awarded every two years.

- 2015 Winner: Santa Fe College
 Finalist: Indian River State College
- 2013 Finalist: Broward College
 Finalist: Santa Fe College
- 2011 Winner: Valencia College
 Finalist: Miami Dade College

Florida was one of only three states with multiple finalists. The award recognizes colleges for exceptional student outcomes in four areas: Student Learning, Certificate and Degree Completion, Employment and Earnings, and High Levels of Access and Success for Minority & Low-income Students.

Source: The Aspen Institute <http://www.aspeninstitute.org/policy-work/college-excellence/overview>

College	2015-16 Allocation
Broward College	\$400,000
Indian River State College	\$400,000
Miami Dade College	\$400,000
Santa Fe College	\$800,000
Valencia College	\$400,000
Total	\$2,400,000

Proviso language states “Funds in the amount of \$2,400,000 are provided in Specific Appropriation 122 to be allocated on an equal basis among Florida College System institutions qualifying as a finalist or higher in the biennial Aspen Institute’s Prize for Community College Excellence within the last five years.”

Florida College System Performance

Florida College System (FCS) institutions are consistently recognized nationally.

The FCS is delivering on our promise for Florida's future.

In 2013, five FCS institutions landed in the top 50 of *Washington Monthly's* list of best community colleges in the nation, a rating based on data in a number of areas including **collaborative learning, student effort, academic rigor, student-faculty interaction and support for learning**. These rankings highlight the mission of providing access to low-cost, quality education and job training for high-skill, high-wage jobs.

According to *Washington Monthly*, **North Florida Community College** ranked second among national community colleges. **Chipola College, Miami Dade College, Valencia College and South Florida State College** also made the list.

In 2014, *U.S. News and World Report* ranked **Indian River State College** as the **9th top public regional college in the Southern United States** in its 2015 rankings of Best Colleges, moving up from the 12th last year. Over 1,300 colleges and universities were ranked by *U.S. News and World Report* based on **16 measures of quality, including student/faculty ratio, class size, faculty credentials, and retention and graduation rates**.

US News and World Report named **Daytona State College #2 in online education**.

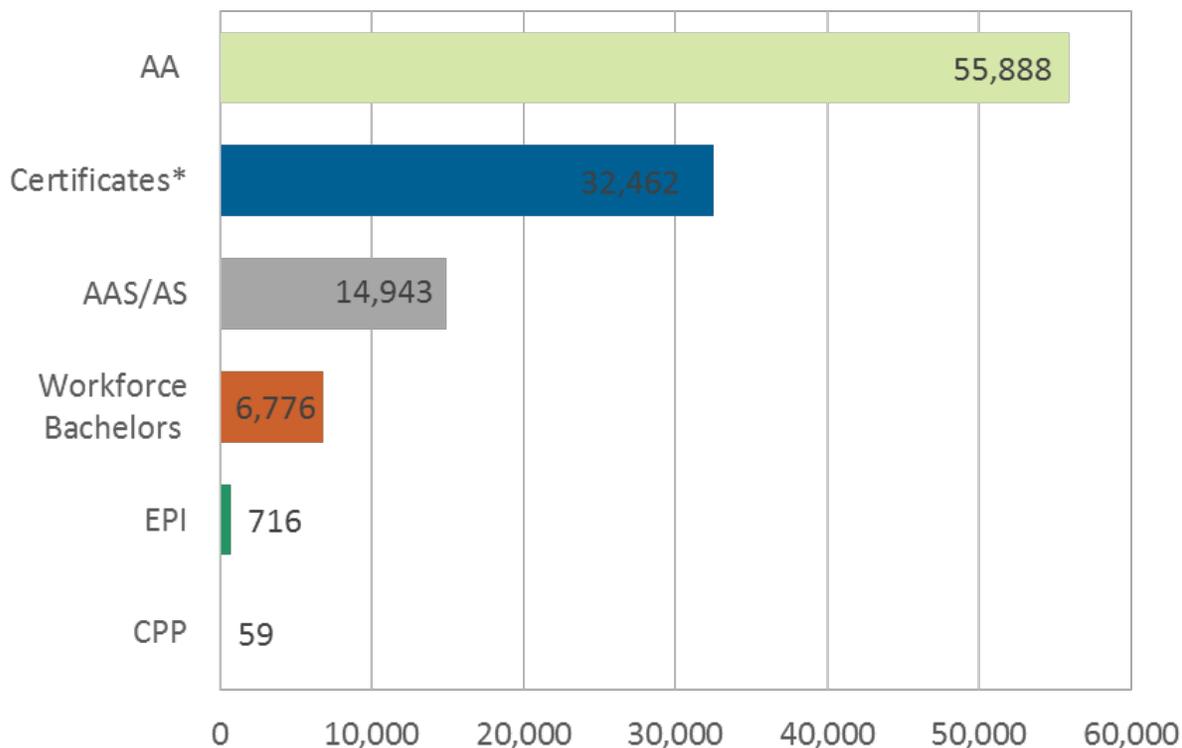


Florida College System

Performance Based Incentive Funding
College Excellence Funds – Aspen Award
CAPE Incentive Funds

Record Number of Graduates: Over 110,000 Awards Earned in 2014-15

Completions by Award Type



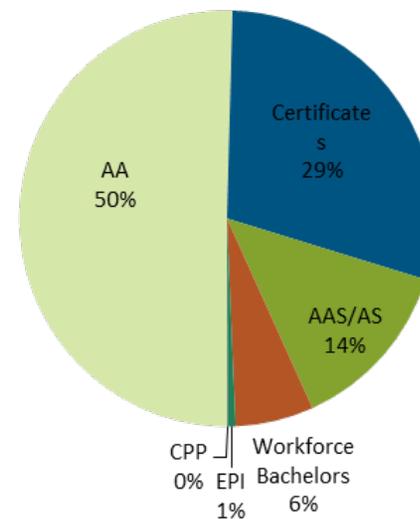
*Certificates include Career Technical Certificate, College Credit Certificate, Applied Technology Diploma, Advanced Technical Certificate, and Apprenticeship.

#1 in certificate rankings

Florida is the **number one certificate and associate degree producer** among southern states affiliated with the Southern Regional Education Board

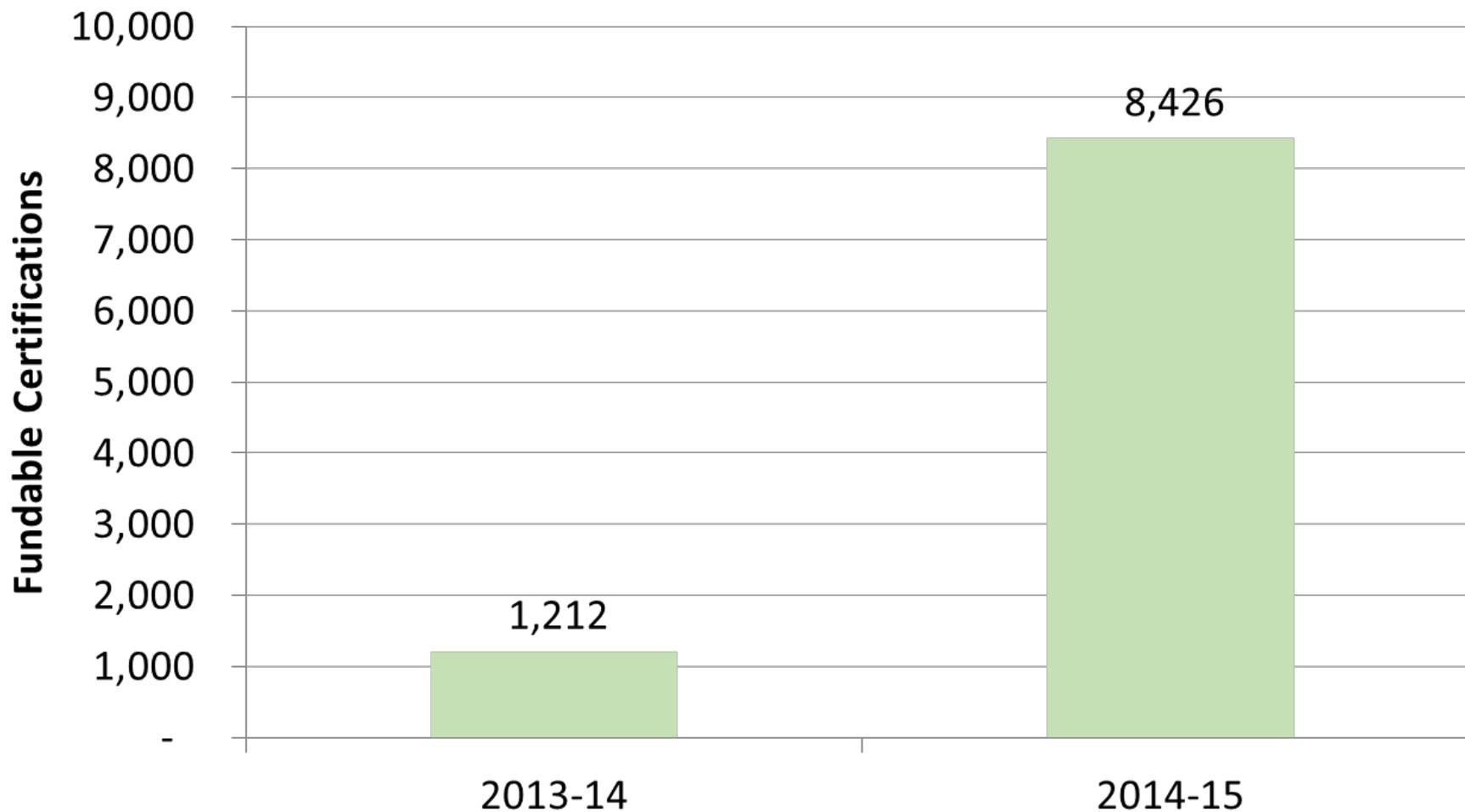
(SREB State Database Exchange, February 2014)

Percent of Total Awards



Source: PK-20 Education Reporting & Accessibility (PERA) and FCS Research & Analytics

Fundable Certifications Earned (2013-14 and 2014-15)



CAPE Postsecondary Allocation

	2013-14	2014-15	2015-16
Allocation	\$5,000,000	\$5,000,000	\$5,000,000
Number of certificates earned	1,212	8,426	TBD*
Funding per certificate earned	\$1,000	\$593.40	TBD*

Per statutory language, if the funds are insufficient to fully fund the calculated total award, such funds shall be prorated.

*- Data will be available at the end of the next school year.

Top 10 CAPE Postsecondary Industry Certifications Earned, 2014-15

Certifications	# Earned	Average Salary
National Licensed Registered Nurse (NCLEX-RN)	2,265	\$65,470
Law Enforcement Officer	1,459	\$56,980
Emergency Medical Technician (EMT)	836	\$31,020
Paramedic (EMT-P)	567	\$31,020
Correctional Officer	465	\$38,970
Fire Fighter II	347	\$36,300
National Licensed Practical Nurse (NCLEX-PN)	311	\$41,540
Registered Technologist (Radiography)	224	\$55,910
CompTIA A+	177	\$70,120
Certified Nursing Assistant (CNA)	138	\$22,880

Source: PK-20 Education Reporting & Accessibility and FCS Research & Analytics,
and the BLS Occupational Outlook Handbook. www.FLDOE.org



www.FLDOE.org



www.FLDOE.org



BOARD *of* GOVERNORS

State University System of Florida

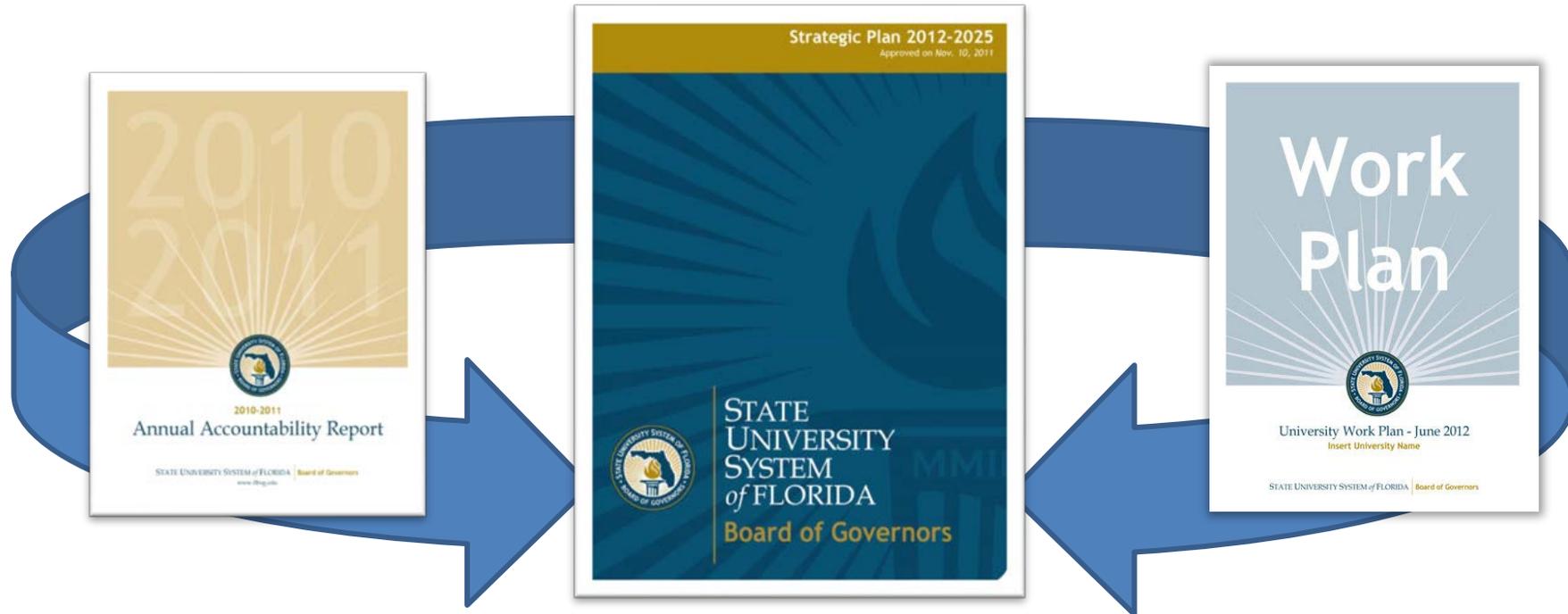
Senate Appropriations Subcommittee on
Education

Performance Based Funding &
Preeminence Institutions
Marshall Criser, III

November 18, 2015
www.flbog.edu



Three-Part Accountability Framework



**ANNUAL
ACCOUNTABILITY REPORT:**
Tracks performance on key metrics
(past five years)

**SYSTEM-WIDE
STRATEGIC PLAN:**
Provides a long-range
roadmap for the System

**UNIVERSITY
WORK PLAN:**
Provides a short-term plan of
action (next three years)



Performance Funding Model

4 Guiding Principles:

- Use metrics that align with Strategic Plan goals
- Reward excellence or improvement
- Have a few clear, simple metrics
- Acknowledge the unique mission of the different institutions

Other Key Components:

- Funds allocated based on only 10 metrics
- No wholesale changes in any given year
- One metric chosen by the Board of Governors and one by the Board of Trustees
- Institutions evaluated on the excellence or improvement for each metric



Performance Funding Model

Points	EXCELLENCE <i>(Achieving System Goals)</i>					IMPROVEMENT <i>(Recognizing Annual Improvement)</i>				
	5	4	3	2	1	5	4	3	2	1
1 Percent of Bachelor's Graduates Employed and/or Continuing their Education Further 1 Yr after Graduation	80%	75%	70%	65%	60%	5%	4%	3%	2%	1%
2 Median Average Full-time Wages of Undergraduates Employed in Florida 1 Yr after Graduation	\$40,000	\$35,000	\$30,000	\$25,000	\$20,000	5%	4%	3%	2%	1%
3 Average Cost per Undergraduate Degree to the Institution	\$20,600	\$23,175	\$25,750	\$28,325	\$30,900	5%	4%	3%	2%	1%
4 Six Year Graduation Rate <i>Full-time and Part-time FTIC</i>	70%	67.5%	65%	62.5%	60%	5%	4%	3%	2%	1%
5 Academic Progress Rate <i>2nd Year Retention with GPA Above 2.0</i>	90%	87.5%	85%	82.5%	80%	5%	4%	3%	2%	1%
6 Bachelor's Degrees Awarded in Areas of Strategic Emphasis <i>(includes STEM)</i>	50%	45%	40%	35%	30%	5%	4%	3%	2%	1%
7 University Access Rate <i>Percent of Undergraduates with a Pell-grant</i>	30%	27.5%	25%	22.5%	20%	5%	4%	3%	2%	1%
8 Graduate Degrees Awarded in Areas of Strategic Emphasis <i>(includes STEM)</i>	60%	55%	50%	45%	40%	5%	4%	3%	2%	1%
Institution-Specific Metrics										
9 Board of Governors choice	Varies by metric					Varies by metric				
10 Board of Trustees choice	Varies by metric					Varies by metric				



2014-2015 Performance Funding Allocation - \$200 M

	Points	State Inv. (millions)	Institutional Inv.* (millions)	Total
FAMU	29	\$5.5	\$5.3	\$30.3
FGCU	30	\$3.3	\$4.8	\$13.1
FIU	34	\$7.1	\$11.4	\$45.7
FSU	33	\$16.4	\$15.4	\$66.5
UCF	34	\$16.7	\$14.1	\$57.7
UF	42	\$22.4	\$17.3	\$77.2
UNF	29	\$4.5	\$5.8	\$18.5
USF	37	\$17.1	\$14.0	\$58.9
Total		\$100.0	\$88.1	\$188.1

	Points	Institutional Inv. (millions)
FAU	24	\$6.9
NCF	25	\$1.1
UWF	21	\$3.8
Total		11.8

For 2014-15 three institutions scored 25 points or lower and submitted an improvement plan. Those improvement plans were successfully implemented and the institutional investment was restored.

* Includes \$20 M in performance funds and \$15 M in TEAm grant awards from 2013-14.



2015-2016 Performance Funding Allocation - \$400 M

	Points	State Inv. (millions)	Institutional Inv. (millions)	Total
FAU	37	\$11.4	\$18.9	\$30.3
FGCU	38	\$4.9	\$8.2	\$13.1
FIU	39	\$18.6	\$27.1	\$45.7
FSU	36	\$24.9	\$41.6	\$66.5
UCF	39	\$23.1	\$34.6	\$57.7
UF	44	\$30.6	\$46.6	\$77.2
UNF	36	\$6.9	\$11.6	\$18.5
USF	42	\$23.6	\$35.2	\$58.8
UWF	37	\$5.9	\$9.8	\$15.7
Total		\$150.0	\$233.5	\$383.5

	Points	Institutional Inv. (millions)
FAMU	26	\$14.0
NCF	35	\$2.5
Total		16.5

For 2015-16 all of the SUS institutions scored 26 points or higher so no base funds were at risk. Universities in the bottom 3 were not eligible for the State Investment.



University Response

Among all universities:

- ✓ **65+** new advisors to focus on student retention and graduation, offering mentoring, tutoring and other services.
- ✓ **7** revamped career services centers with a focus on student advising and establishing partnerships with local businesses.

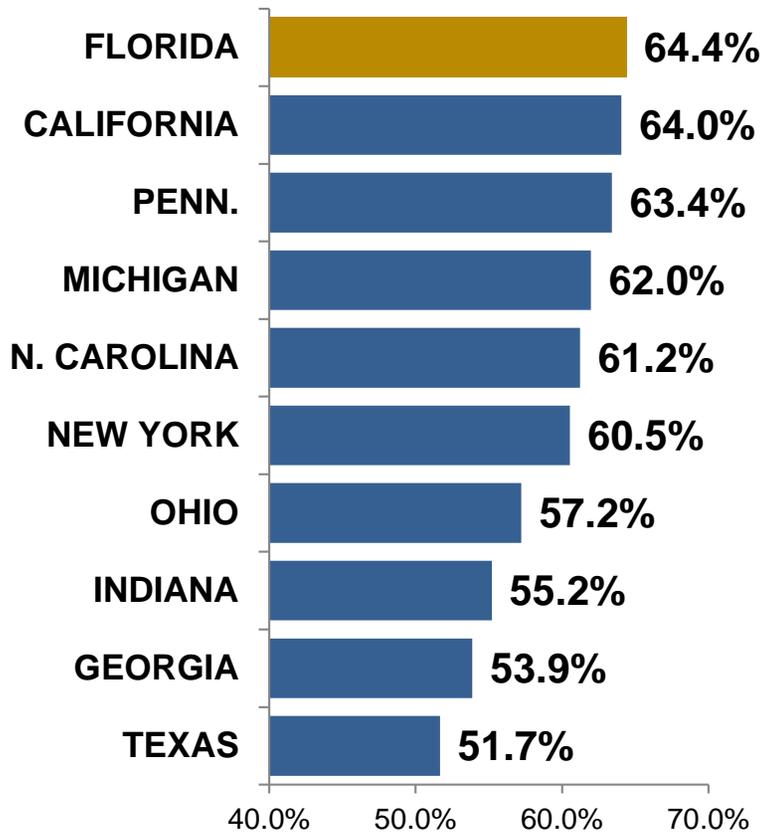
- ✓ **300+** additional faculty in high-wage, high-need areas.
- ✓ **8** universities invested in software to target struggling students and improve graduation and retention rates.



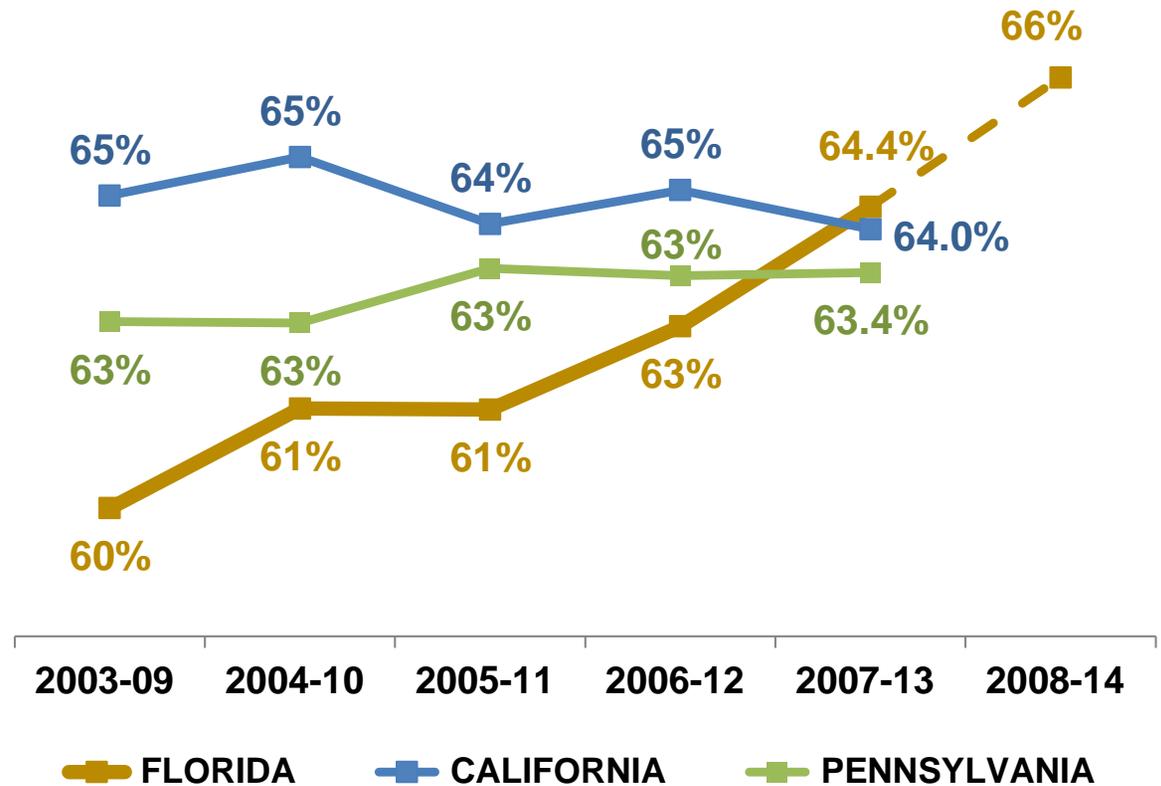
National Comparison of Graduation Rates

Among 10 Largest States for Public 4-Yr Universities

Six-Year FTIC Graduation Rates
(2007-2013)



Graduation Rate Trend
(for Top 3 States)



SOURCE: Board of Governors staff analysis of IPEDS.

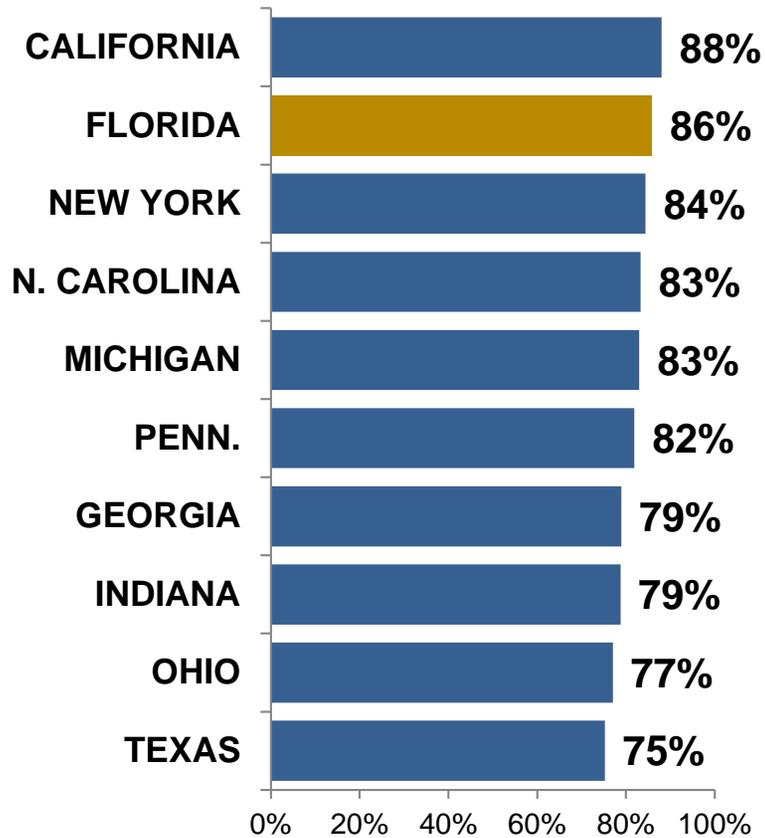
Notes: Top 10 States based on 2012-13 undergraduate headcount. Data is based on rates for each university and excludes students who transferred to another institution within the same state.



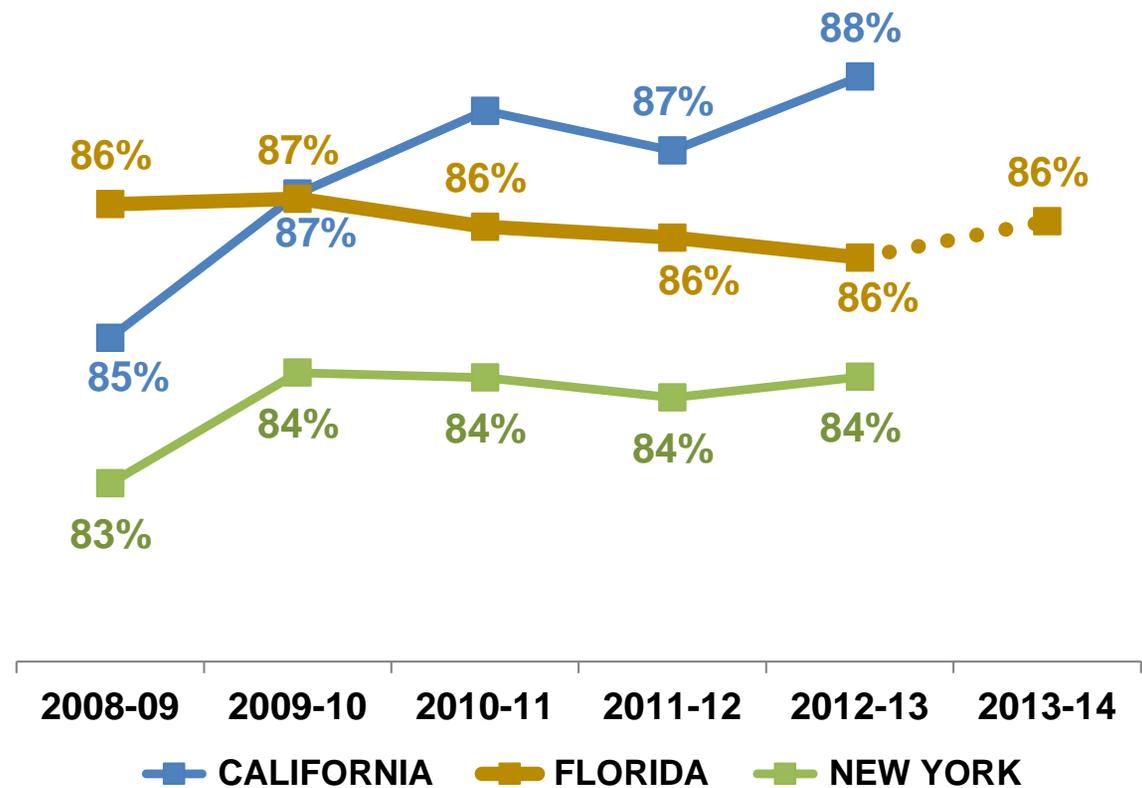
National Comparison of Retention Rates

Among 10 Largest States for Public 4-Yr Universities

Two-Year FTIC Retention Rates
(2012-2013)



FTIC Retention Rate Trend
(for Top 3 States)



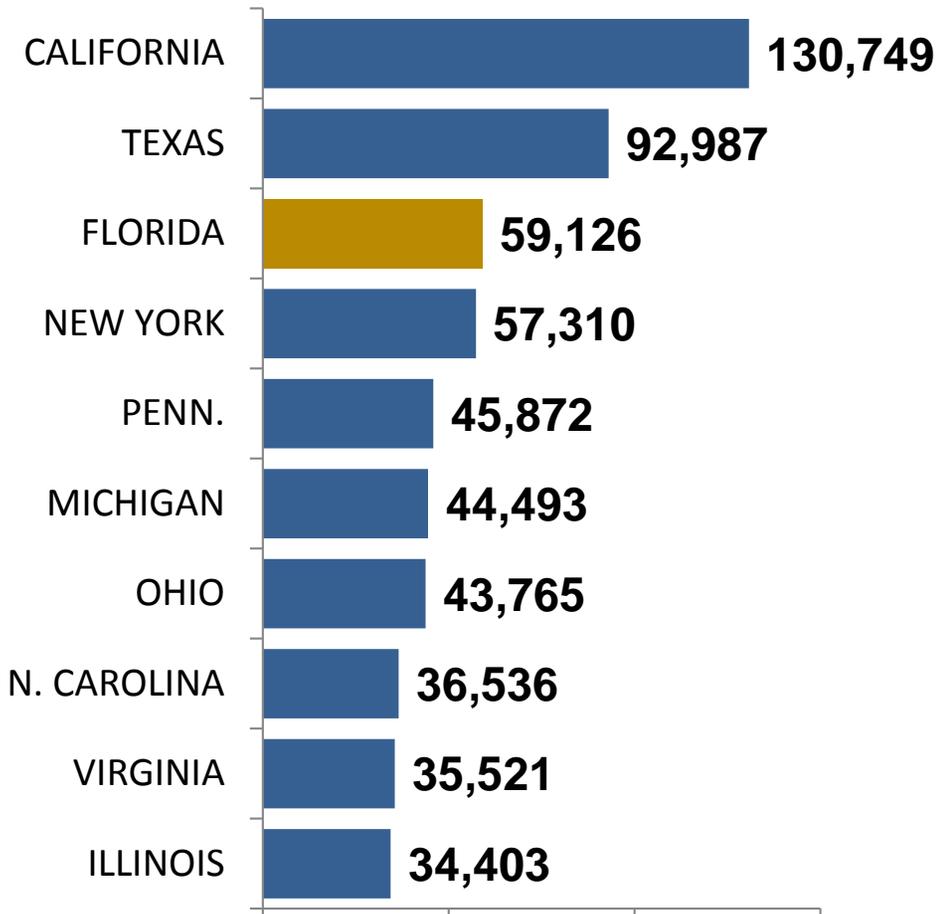
SOURCE: Board of Governors staff analysis of IPEDS.

Notes: Top 10 States based on 2012-13 undergraduate headcount. Data is based on rates for each university and excludes students who transferred to another institution within the same state.

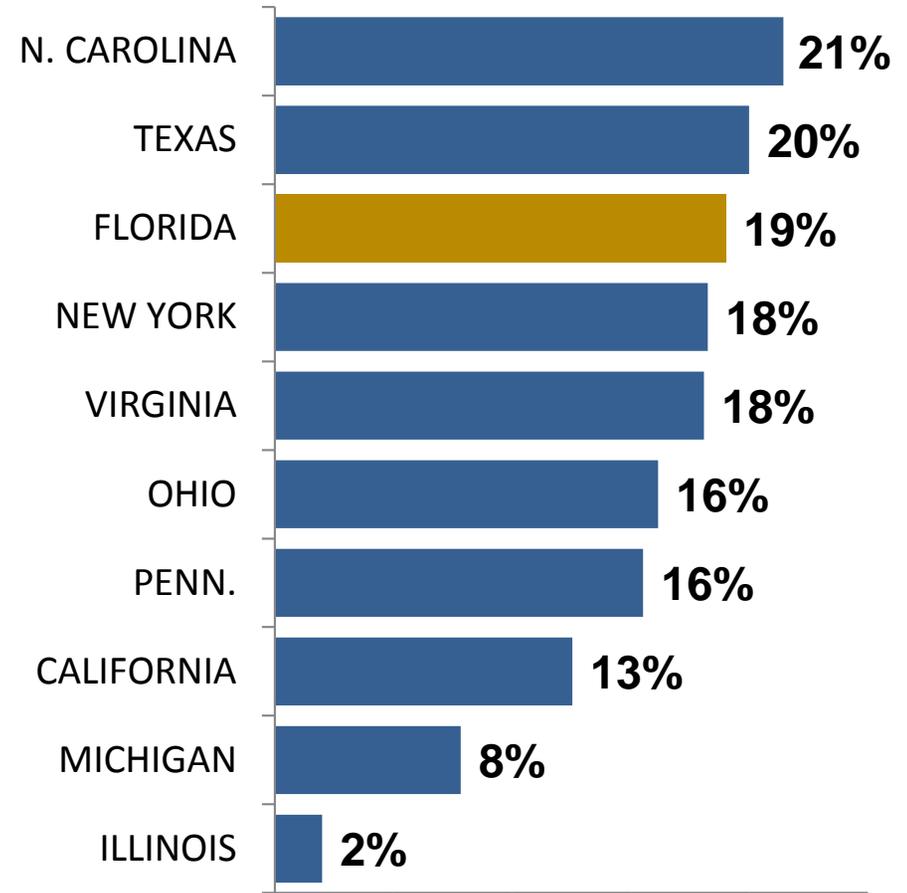


National Comparison of Degree Production Among Ten Largest States for 4yr Public Universities

2012-13 Bachelor's Degrees



5yr Growth Rate in Bachelor's Degrees



SOURCE: Board of Governors staff analysis of IPEDS. Ten largest states based on the number of bachelor's degrees awarded in 2012-13.



Performance by Metric - 2014

Percent of Bachelor's graduates employed and/or continuing their education further 1 year after graduation	60%	70%	70%	67%	61%	44%	69%	63%	69%	69%	60%	75%
Median average full-time wages of undergraduates employed in Florida 1 year after graduation	\$30,000	\$34,900	\$32,900	\$35,100	\$30,300	\$21,200	\$33,700	\$33,100	\$24,200	\$34,600	\$31,000	\$40,000
Average cost per Undergraduate Degree to the Institution	\$37,950	\$32,430	\$29,240	\$26,730	\$25,500	\$74,640	\$21,010	\$24,940	\$29,350	\$24,340	\$31,080	\$20,000
Six Year Graduation Rate (Full-Time and Part-Time FTIC)	41%	40%	43%	50%	77%	66%	66%	86%	49%	62%	42%	70%
Academic Progress Rate (2nd Year Retention with GPA Above 2.0)	72%	70%	72%	78%	90%	81%	86%	96%	76%	87%	63%	90%
Bachelor's Degrees Awarded in Areas of Strategic Emphasis (includes STEM)	43%	40%	35%	40%	35%	56%	35%	47%	33%	46%	39%	50%
University Access Rate (Percent of Undergraduates with a Pell Grant)	65%	41%	35%	47%	30%	29%	38%	32%	36%	41%	39%	30%
Graduate Degrees Awarded in Areas of Strategic Emphasis (includes STEM) 8B - Freshman in Top 10% of Graduating High School Class (NCF Only)	39%	33%	36%	40%	31%	35%	47%	59%	34%	57%	29%	50%
Board of Governors Choice Metrics	31%	31%	74%	70%	11	4	60%	18	71%	56%	65%	80% FSU 25 UF 31 NCF 5
University Board of Trustees Choice Metrics	86%	42%	23%	5,851	115	100%	12,321	\$697 M	9%	300	32%	
Highest Benchmark for Board of Trustees Choice Metrics	80%	40%	20%	6,100	75	100%	12,300	Top 1/3 of AAU	7%	300	21%	



Performance by Metric - 2015

Percent of Bachelor's graduates employed and/or continuing their education further 1 year after graduation	69%	74%	74%	77%	69%	51%	74%	72%	76%	75%	67%	80%
Median average full-time wages of undergraduates employed in Florida 1 year after graduation	\$28,800	\$36,000	\$35,300	\$36,200	\$31,600	\$26,300	\$34,900	\$34,800	\$34,700	\$35,200	\$32,900	\$40,000
Average cost per Undergraduate Degree to the Institution	\$40,080	\$27,690	\$29,390	\$25,580	\$26,700	\$76,720	\$22,430	\$25,450	\$30,750	\$25,490	\$32,970	\$20,600
Six Year Graduation Rate (Full-Time and Part-Time FTIC)	39%	45%	49%	53%	79%	69%	69%	87%	55%	66%	51%	70%
Academic Progress Rate (2nd Year Retention with GPA Above 2.0)	70%	66%	72%	79%	91%	80%	85%	95%	78%	85%	65%	90%
Bachelor's Degrees Awarded in Areas of Strategic Emphasis (includes STEM)	51%	55%	45%	46%	38%	42%	49%	55%	45%	51%	50%	50%
University Access Rate (Percent of Undergraduates with a Pell Grant)	62%	41%	35%	51%	30%	29%	38%	32%	33%	42%	40%	30%
Graduate Degrees Awarded in Areas of Strategic Emphasis (includes STEM) 8B - Freshman in Top 10% of Graduating High School Class (NCF Only)	43%	55%	64%	52%	39%	41%	57%	70%	50%	69%	47%	8a 60%, 8b 50%
Board of Governors Choice Metrics	34%	73%	72%	68%	7	5	67%	20	71%	64%	66%	80% FSU 25 UF 31 NCF 5
University Board of Trustees Choice Metrics	80%	43%	452	6,221	119	100%	12,372	\$695 M	11%	289	32%	
Highest Benchmark for Board of Trustees Choice Metrics	80%	40%	452	6,100	75	100%	12,300	Top 1/3 of AAU	7%	300	21%	



Preeminence Institutions

Section 1001.7065 F.S.

- A collaborative partnership between the Board of Governors and the Legislature to elevate the academic and research preeminence of Florida's highest-performing state research universities.
- The Board of Governors shall designate each state research university that meets at least 11 of 12 academic & research standards set in statute.
- In June, 2013, the Board designated UF and FSU as Preeminent.

Appropriation Year	UF	FSU
2013-14*	\$15 M	\$15 M
2014-15	\$5 M	\$5 M
2015-16**	\$5 M	\$5 M
Total	\$25 M	\$25 M

*In addition, UF received \$5 M for the Preeminent Institute for Online Learning.

** \$3.4 M of the \$5 M appropriated is nonrecurring.



Preeminence and Performance Metrics

	Preeminence Metrics	Performance Funding Metrics
1	Average GPA and SAT Score for incoming freshman in Fall semester	Percent of Bachelor's Graduates Employed and/or Continuing their Education Further
2	Public University Ranking (in more than one national ranking)	Average Wages of Employed Baccalaureate Graduates
3	Freshman Retention Rate (Full-time, FTIC)	Cost per Undergraduate Degree
4	6-year Graduation Rate (Full-time, FTIC)	6-year Graduation Rate (Full-time and Part-time FTIC)
5	National Academy Memberships held by faculty	Academic Progress Rate (2nd Year Retention with GPA Above 2.0)
6	Total Annual Research Expenditures (Science & Engineering only)	Bachelor's Degrees Awarded in Areas of Strategic Emphasis (includes STEM)
7	Total Annual Research Expenditures in Diversified Non-Medical Sciences (Science & Engineering only)	University Access Rate (Percent of Undergraduates with a Pell-grant)
8	National Ranking in Research Expenditures National in at least 5 STEM disciplines as reported by NSF (includes public & private institutions)	Graduate Degrees Awarded in Areas of Strategic Emphasis (includes STEM) (NCF Excluded)
9	Patents Awarded (over 3 year period)	BOG Choice - UF/FSU Faculty Awards
10	Doctoral Degrees Awarded Annually (Does not include Professional degrees)	BOT Choice - UF Total Research Expenditures FSU National Rank Higher than Predicted by the Financial Resources Ranking Based on a US and World News Report
11	Number of Post-Doctoral Appointees	
12	Endowment Size	



FSU and UF Performance Funding Compliments Preeminence

FSU Performance Funding Quote: Targeted hiring in critical areas will help to address needs of the state of Florida. These investments are tied to research funding initiatives, and are expected to result in increases in national rankings of our programs and institution. We expect to maintain at least one field in the top 5, achieve two fields in the top 10, one in the top 15, two in top the 20, and move Engineering into the top 50. These advances in recognition of our programs will place FSU among the truly first-rate public universities and help us achieve our top 25 ranking among all public universities.

UF Performance Funding Quote: As UF compares itself to the top public universities in the nation, it finds that compensation levels are competitive in some areas, but are barely competitive or uncompetitive in others. This situation needs ongoing attention as UF seeks to attract and retain accomplished faculty. Since faculty are the heart of the university, they impact all of the Performance Funding metrics, but especially the ones that affect student progress, faculty awards, and research expenditures. Anticipated ROI: continued progress in UF's journey to become one of the nation's top public research universities.



Preeminence Metrics

	Benchmark	UF		FSU	
		2013	2015	2013	2015
1 Average GPA and SAT Score for incoming freshman in Fall semester	4.0 GPA; 1800 SAT	4.3 GPA; 1927 SAT	4.35 GPA; 1919 SAT	4.0 GPA; 1839 SAT	4.0 GPA; 1855 SAT
2 Public University Ranking (in more than one national ranking)	Top 50	4	10	3	5
3 Freshman Retention Rate (Full-time, FTIC)	>= 90%	95%	96%	92%	92%
4 6-year Graduation Rate (Full-time, FTIC)	>=70%	84%	88%	74%	79%
5 National Academy Memberships held by faculty	6	23	24	7	7
6 Total Annual Research Expenditures (Science & Engineering only)	>=\$200 M	\$686 M	\$652 M	\$217 M	\$231 M
7 Total Annual Research Expenditures in Diversified Non-Medical Sciences (Science & Engineering only)	>=\$150 M	\$508 M	\$480 M	\$208 M	\$226 M
8 National Ranking in Research Expenditures in at least 5 STEM disciplines as reported by NSF (includes public & private institutions)	Top 100	8	8	7	7
9 Patents Awarded (over 3 year period)	>=100	139	263	66	108
10 Doctoral Degrees Awarded Annually (Does not include Professional degrees)	>=400	713	796	428	410
11 Number of Post-Doctoral Appointees	>=200	597	625	258	218
12 Endowment Size	>\$500 M	\$1.3 B	\$1.52 B	\$525 M	\$625 M
Number of Metrics Met		12	12	11	12



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of FLORIDA
Board of Governors

Office of the Chancellor
325 West Gaines Street, Suite 1614
Tallahassee, FL 32399
Phone 850.245.0466
Fax 850.245.9685
www.flbog.edu

September 30, 2015

TO: Senator Don Gaetz

FROM: Marshall Criser III

A handwritten signature in blue ink, appearing to read "Marshall Criser III", written over a horizontal line.

SUBJECT: Performance and Preeminence Metrics

The Performance Funding Model adopted by the Board of Governors includes metrics that focus on the essential outcomes of any public university; Access, Retention, Graduation and Employment. These metrics are integral to any institution regardless of its mission as a research, comprehensive or liberal arts institution. The model also allows a university to choose a metric, including a preeminent metric, which reinforces what the university deems important to its mission.

The statutory Preeminence Metrics were designed to recognize university achievement that is in the highest echelon in the State University System. The Preeminence Metrics exemplify academic and research leadership above and beyond the essential outcomes. Separately, the two universities designated as being preeminent by the Board, UF and FSU, have elected to define their aspirational goals in the rankings of the US News and World Report. The financial outcomes of performance funding contribute to advancement in the US News and World Report rankings in components such as improving student-faculty ratios and financial resources available to support research.

To date, both UF and FSU have indicated their performance funding awards will enhance targeted hiring and retention of faculty in research areas. FSU stated in its use of performance funds:

Targeted hiring in critical areas will help to address needs of the state of Florida. These investments are tied to research funding initiatives, and are expected to result in increases in national rankings of our programs and institution. We expect to maintain at least one field in the top 5, achieve two fields in the top 10, one in the top 15, two in top the 20, and move Engineering into the top 50. These advances in recognition of our programs will place FSU among the truly first-rate public universities and help us achieve our top 25 ranking among all public universities.

UF is using a portion of the funds to attract and retain quality faculty. UF stated in its use of performance funds:

“As UF compares itself to the top public universities in the nation, it finds that compensation levels are competitive in some areas, but are barely competitive or uncompetitive in others. This situation needs ongoing attention as UF seeks to attract and retain accomplished faculty. Since faculty are the heart of the university, they impact all of the Performance Funding metrics, but especially the ones that affect student progress, faculty awards, and research expenditures. Anticipated ROI: continued progress in UF’s journey to become one of the nation’s top public research universities.”

I believe that the Performance Funding Metrics complement the Preeminence Metrics and work towards the goal of having high quality undergraduate programs for Florida’s students and improving our universities’ research status both in Florida and nationally. As a result, Florida’s investment in our state universities is increasing the production of degrees that meet workforce needs, enhance our ability to compete for national research investments, and sending a clear message that talent development is a priority for our state.

	Preeminence Measures	Performance Based Funding Metrics
1	Average GPA and SAT Score for incoming freshman in Fall semester	Percent of Bachelor's Graduates Employed and/or Continuing their Education Further
2	Public University Ranking (in more than one national ranking)	Average Wages of Employed Baccalaureate Graduates
3	Freshman Retention Rate (Full-time, FTIC)	Cost per Undergraduate Degree
4	6-year Graduation Rate (Full-time, FTIC)	Six Year Graduation Rate (Full-time and Part-time FTIC)
5	National Academy Memberships held by faculty	Academic Progress Rate (2nd Year Retention with GPA Above 2.0)
6	Total Annual Research Expenditures (Science & Engineering only)	Bachelor's Degrees Awarded in Areas of Strategic Emphasis (includes STEM)
7	Total Annual Research Expenditures in Diversified Non-Medical Sciences (Science & Engineering only)	University Access Rate (Percent of Undergraduates with a Pell-grant)
8	National Ranking in Research Expenditures National in at least 5 STEM disciplines as reported by NSF (includes public & private institutions)	Graduate Degrees Awarded in Areas of Strategic Emphasis (includes STEM) (NCF Excluded)
9	Patents Awarded (over 3 year period)	BOG Choice UF/FSU Faculty Awards
10	Doctoral Degrees Awarded Annually (Does not include Professional degrees)	BOT Choice UF Total Research Expenditures FSU National Rank Higher than Predicted by the Financial Resources Ranking Based on a US and World News Report
11	Number of Post-Doctoral Appointees	
12	Endowment Size	

*Metrics that apply to preeminent institutions (FSU and UF) shown only for Performance Based Funding Model Metrics 8, 9, and 10.

Waive in Support

APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/15

Meeting Date

202

Bill Number (if applicable)

Topic FIA. ASSOC. CENTERS for Independent Living

Amendment Barcode (if applicable)

Name STEPHEN WISE

Job Title _____

Address 4361 CHARLESTON LANE

Phone 904-754-6232

Street

JACKSONVILLE FL 32210

Email SRWISE@ATT.NET

City

State

Zip

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing FACIL

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

This form is part of the public record for this meeting.

Waive in Support

THE FLORIDA SENATE

APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/15
Meeting Date

202
Bill Number (if applicable)

Topic JP PAS Program

Amendment Barcode (if applicable)

Name Linda Keen

Job Title Exec. Dir. FACIL

Address 325 John Knox Rd

Phone 850 894-0331

Street

Talla
City

FL
State

32303
Zip

Email linda@florida
cils.org

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing Fl Assn of Centers for Independent Living

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

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THE FLORIDA SENATE

waive in support

APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/2015

Meeting Date

SB 202

Bill Number (if applicable)

Topic JPPAS / FACIL

Amendment Barcode (if applicable)

Name Jim DeBeaugrine

Job Title Consultant

Address 215 S. MONROE, STE. 802

Phone 850-508-8908

Street

Tallahassee, FL

32301

City

State

Zip

Email Jim-debeaugrine@comcast.net

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing Fla. Association of Centers for Independent Living

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

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1

THE FLORIDA SENATE

APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/15

Meeting Date

Bill Number (if applicable)

Topic OEL-workshop

Amendment Barcode (if applicable)

Name Rodney Mackinnon

Job Title EXEC. Director

Address 250 Maccoff Drive

Phone 717-86602

Street

Tallahassee FL 32399

City

State

Zip

Email rody.j.mackinnon@oel.orgtll.com

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing OEL

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

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THE FLORIDA SENATE
APPEARANCE RECORD

2

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11-18-15

Meeting Date

Bill Number (if applicable)

Topic Performance Based Funding

Amendment Barcode (if applicable)

Name Marshall Criser

Job Title Chancellor

Address 325 W Gaines St.
Street

Phone 245-0466

Tall FL 32399
City State Zip

Email _____

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing Board of Governors

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

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S-001 (10/14/14)

THE FLORIDA SENATE
APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/2015

Meeting Date

Bill Number (if applicable)

Topic Education Performance Funding

Amendment Barcode (if applicable)

Name Jane Fletcher

Job Title Assistant Deputy Commissioner, Accountability Research and Measurement

Address 325 W. Gaines St.

Phone 850-245-0699

Street

Tallahassee

Fl

32399

Email Jane.fletcher@fldoe.org

City

State

Zip

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing Florida Department of Education

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

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THE FLORIDA SENATE
APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/2015

Meeting Date

Bill Number (if applicable)

Topic Education Performance Funding

Amendment Barcode (if applicable)

Name Mark Eggers

Job Title Assistant Deputy Commissioner, Finance and Operations

Address 325 W. Gaines St.

Phone 850-245-9105

Street

Tallahassee

FL

32399

Email Mark.Eggers@fldoe.org

City

State

Zip

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing Florida Department of Education

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

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THE FLORIDA SENATE
APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/2015

Meeting Date

Bill Number (if applicable)

Topic Education Performance Funding

Amendment Barcode (if applicable)

Name Rod Duckworth

Job Title Chancellor, Division of Career and Adult Education

Address 325 W. Gaines St.

Phone 850-245-9463

Street

Tallahassee

Fl

32399

Email Rod.Duckworth@fldoe.org

City

State

Zip

Speaking: For Against Information

Waive Speaking: In Support Against
(The Chair will read this information into the record.)

Representing Florida Department of Education

Appearing at request of Chair: Yes No

Lobbyist registered with Legislature: Yes No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

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THE FLORIDA SENATE

APPEARANCE RECORD

(Deliver BOTH copies of this form to the Senator or Senate Professional Staff conducting the meeting)

11/18/2015

Meeting Date

Bill Number (if applicable)

Topic Education Performance Funding

Amendment Barcode (if applicable)

Name Madeline Pumariega

Job Title Chancellor, Division of Florida Colleges

Address 325 W. Gaines St.

Phone 850-245-9449

Street

Tallahassee

FL

32399

Email Madeline.Pumariega@fldoe.org

City

State

Zip

Speaking: [] For [] Against [x] Information

Waive Speaking: [] In Support [] Against (The Chair will read this information into the record.)

Representing Florida Department of Education

Appearing at request of Chair: [x] Yes [] No

Lobbyist registered with Legislature: [x] Yes [] No

While it is a Senate tradition to encourage public testimony, time may not permit all persons wishing to speak to be heard at this meeting. Those who do speak may be asked to limit their remarks so that as many persons as possible can be heard.

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THE FLORIDA SENATE

Tallahassee, Florida 32399-1100

COMMITTEES:
Appropriations Subcommittee on Education, *Chair*
Agriculture
Appropriations
Appropriations Subcommittee on Health
and Human Services
Education
Gaming
Health Policy
Regulated Industries
Rules

SENATOR BILL GALVANO

26th District

November 18, 2015

Senator Don Gaetz
President Florida Senate
409 Capitol
404 South Monroe Street
Tallahassee, FL 32399

Dear President Gaetz:

I am writing to request approval to be excused from the Subcommittee on Education Appropriations meeting scheduled for today, Wednesday, November 18, 2015.

I appreciate your consideration in this matter.

Sincerely,

A handwritten signature in blue ink that reads "Bill".

Bill Galvano

Cc: Tim Elwell
Joanne Bennett

REPLY TO:

- 1023 Manatee Avenue West, Suite 201, Bradenton, Florida 34205
- 326 Senate Office Building, 404 South Monroe Street, Tallahassee, Florida 32399-1100 (850) 487-5026

Senate's Website: www.flsenate.gov

DON GAETZ
President of the Senate

GARRETT RICHTER
President Pro Tempore

CourtSmart Tag Report

Room: KN 412
Caption: Appropriations Subcommittee on Education

Type:
Judge:

Started: 11/18/2015 10:02:17 AM
Ends: 11/18/2015 12:00:29 PM **Length:** 01:58:13

10:02:16 AM Call to order and roll call
10:03:13 AM Chair Gaetz Comments
10:03:48 AM Senator Bean - SB 202
10:05:27 AM Am. #335520 - Adopted
10:06:00 AM Am. #489802 - Adopted
10:08:18 AM Recommended CS - CS/SB 202
10:08:34 AM Rodney MacKinnon, Executive Director - Office of Early Learning
10:17:32 AM Chair Gaetz Question
10:18:57 AM Senator Stargel Question
10:20:49 AM Marshall Criser, Chancellor - State University System - Board of Governors
10:25:52 AM Senator Montford Question
10:28:54 AM Senator Ring Question
10:32:18 AM Senator Montford Question
10:32:45 AM Marshall Criser, Chancellor - State University System - Board of Governors
10:35:38 AM Chair Gaetz Question
10:36:23 AM Marshall Criser, Chancellor - State University System - Board of Governors
10:37:22 AM Senator Stargel Question
10:40:38 AM Marshall Criser, Chancellor - State University System - Board of Governors
10:46:42 AM Senator Montford Question
10:48:31 AM Marshall Criser, Chancellor - State University System - Board of Governors
10:51:47 AM Senator Ring Question
10:54:26 AM Marshall Criser, Chancellor - State University System - Board of Governors
11:09:31 AM Senator Montford Question
11:11:40 AM Chair Gaetz Question
11:14:52 AM Jane Fletcher, Assistant Deputy Commissioner, Accountability Research & Measurement
11:18:41 AM Mark Eggers, Assistant Deputy Commissioner, Finance and Operations
11:20:29 AM Senator Legg Question
11:22:33 AM Jane Fletcher, Assistant Deputy Commissioner, Accountability Research & Measurement
11:24:24 AM Senator Montford Question
11:25:03 AM Senator Stargel Question
11:26:40 AM Jane Fletcher, Assistant Deputy Commissioner, Accountability Research & Measurement
11:30:26 AM Senator Legg Question
11:32:06 AM Mark Eggers, Assistant Deputy Commissioner, Finance and Operations
11:32:42 AM Jane Fletcher, Assistant Deputy Commissioner, Accountability Research & Measurement
11:33:45 AM Rod Duckworth, Chancellor, Division of Career and Adult Education
11:37:57 AM Chair Gaetz Question
11:39:00 AM Senator Legg Question
11:39:43 AM Rod Duckworth, Chancellor, Division of Career and Adult Education
11:44:27 AM Chair Gaetz Question
11:45:09 AM Rod Duckworth, Chancellor, Division of Career and Adult Education
11:46:33 AM Chair Gaetz comments
11:47:17 AM Rod Duckworth, Chancellor, Division of Career and Adult Education
11:52:02 AM Madeline Pumariega, Chancellor, Division of Florida Colleges
11:52:53 AM Senator Legg Comments/Questions
11:55:25 AM Senator Stargel Comments/Questions
11:56:47 AM Rod Duckworth, Chancellor, Division of Career and Adult Education
11:57:05 AM Chair Gaetz Comment/Question
11:58:27 AM Senator Legg Comment
11:59:17 AM Senator Bullard - Show voting yes on SB 202
11:59:26 AM Chair Comments
11:59:41 AM Meeting Adjourned