1	A bill to be entitled
2	An act relating to the long-term care ombudsman
3	program; amending s. 400.0065, F.S.; providing
4	duty of the State Long-Term Care Ombudsman to
5	prepare and submit annual budget requests;
6	providing duty to enter into a cooperative
7	agreement relating to investigation of Medicaid
8	fraud; providing for consultation on rulemaking
9	by the Department of Elderly Affairs relating
10	to conflict of interest; deleting provisions
11	relating to governmental interference with
12	duties of the Office of State Long-Term Care
13	Ombudsman; creating s. 400.0066, F.S.;
14	providing relationship between the office and
15	departments of state government; providing
16	responsibilty of the Department of Elderly
17	Affairs for administrative support and costs
18	for the program; amending ss. 400.0067 and
19	400.0069, F.S.; revising provisions relating to
20	appointment and terms of service of members of
21	the state and local ombudsman councils;
22	amending s. 400.0077, F.S.; providing authority
23	of the office to adopt rules relating to
24	disclosure of files maintained by the program;
25	deleting such rulemaking authority of the
26	department; amending ss. 20.41, 395.3025,
27	400.0063, 400.0071, 400.0073, 400.0075,
28	400.0079, 400.0081, 400.0083, 400.0087,
29	400.0089, 400.0091, 400.021, 400.022, 400.0255,
30	400.19, 400.191, 400.23, 400.419, 400.428,
31	400.434, 400.435, 400.4415, 400.619, and
	1

400.628, F.S.; clarifying and conforming 1 2 references and cross references; providing 3 appropriations; providing an effective date. 4 5 Be It Enacted by the Legislature of the State of Florida: 6 7 Section 1. Subsection (4) of section 20.41, Florida 8 Statutes, is amended to read: 9 20.41 Department of Elderly Affairs.--There is created a Department of Elderly Affairs. 10 (4) The department shall administratively house the 11 12 State Long-Term Care Ombudsman Council, created by s. 13 400.0067, and the local district long-term care ombudsman 14 councils, created by s. 400.0069 and shall, as required by s. 15 712 of the federal Older Americans Act of 1965, ensure that both the state and local district long-term care ombudsman 16 17 councils operate in compliance with the Older Americans Act. 18 The councils in performance of their duties shall not be 19 subject to control, supervision, or direction by the 20 department. 21 Section 2. Paragraph (h) of subsection (4) of section 395.3025, Florida Statutes, is amended to read: 22 23 395.3025 Patient and personnel records; copies; 24 examination. --25 (4) Patient records are confidential and must not be 26 disclosed without the consent of the person to whom they 27 pertain, but appropriate disclosure may be made without such 28 consent to: 29 (h) The State Long-Term Care Ombudsman Council and the local district long-term care ombudsman councils, with respect 30 to the records of a patient who has been admitted from a 31 2 CODING: Words stricken are deletions; words underlined are additions.

nursing home or long-term care facility, when the councils are 1 conducting an investigation involving the patient as 2 3 authorized under part II of chapter 400, upon presentation of 4 identification as a council member by the person making the request. Disclosure under this paragraph shall only be made 5 after a competent patient or the patient's representative has 6 7 been advised that disclosure may be made and the patient has 8 not objected. 9 Section 3. Paragraph (b) of subsection (3) of section 10 400.0063, Florida Statutes, is amended to read: 400.0063 Establishment of Office of State Long-Term 11 12 Care Ombudsman; designation of ombudsman and legal advocate .--13 (3) 14 (b) The duties of the legal advocate shall include, but not be limited to: 15 Assisting the ombudsman in carrying out the duties 16 1. 17 of the office with respect to the abuse, neglect, or violation of rights of residents of long-term care facilities. 18 19 2. Assisting the state and local district ombudsman 20 councils in carrying out their responsibilities under this 21 part. 22 3. Initiating and prosecuting legal and equitable 23 actions to enforce the rights of long-term care facility residents as defined in this chapter. 24 4. Serving as legal counsel to the state and local 25 26 district ombudsman councils, or individual members thereof, against whom any suit or other legal action is initiated in 27 connection with the performance of the official duties of the 28 29 councils or an individual member. 30 31 3 CODING: Words stricken are deletions; words underlined are additions.

1 Section 4. Paragraph (f) of subsection (1) and 2 subsections (2) and (3) of section 400.0065, Florida Statutes, 3 are amended to read: 4 400.0065 State Long-Term Care Ombudsman; duties and 5 responsibilities; conflict of interest. --6 (1) The purpose of the Office of State Long-Term Care 7 Ombudsman shall be to: (f) Provide administrative and technical assistance to 8 9 state and local district ombudsman councils. The State Long-Term Care Ombudsman shall have the 10 (2) duty and authority to: 11 12 (a) Assist and support the efforts of the State Long-Term Care Ombudsman Council in the establishment and 13 14 coordination of local district ombudsman councils throughout 15 the state. (b) Perform the duties specified in state and federal 16 law, rules, and regulations. 17 (c) Within the limits of federal and state funding 18 19 authorized and appropriated, employ such personnel, including staff for local district ombudsman councils, as are necessary 20 to perform adequately the functions of the office and provide 21 22 or contract for legal services to assist the state and local 23 district ombudsman councils in the performance of their duties. Staff positions for each local district ombudsman 24 council may be established as career service positions, and 25 26 shall be filled by the ombudsman after in consultation with 27 the respective local district ombudsman council. 28 (d) Contract for services necessary to carry out the 29 activities of the office. (e) Apply for, receive, and accept grants, gifts, or 30 other payments, including, but not limited to, real property, 31 Δ CODING: Words stricken are deletions; words underlined are additions.

personal property, and services from a governmental entity or 1 other public or private entity or person, and make 2 3 arrangements for the use of such grants, gifts, or payments. 4 (f) Annually prepare a budget request that shall be 5 submitted to the Governor by the department for transmittal to 6 the Legislature. 7 (f) Perform the duties specified in state and federal 8 law without interference by officials of the Department of 9 Elderly Affairs, the Agency for Health Care Administration, or 10 the Department of Children and Family Services. The ombudsman shall report to the Governor, the President of the Senate, and 11 12 the Speaker of the House of Representatives whenever organizational or departmental policy issues threaten the 13 14 ability of the Office of State Long-Term Care Ombudsman to 15 carry out its duties under state or federal law. 16 (g) Coordinate, to the greatest extent possible, state 17 and local district ombudsman services with the protection and advocacy systems for individuals with developmental 18 19 disabilities and mental illnesses and with legal assistance programs for the poor through adoption of memoranda of 20 understanding and other means. 21 (h) Enter into a cooperative agreement with the 22 23 statewide and district human rights advocacy committees for the purpose of coordinating advocacy services provided to 24 25 residents of long-term care facilities. 26 (i) Enter into a cooperative agreement with the 27 Medicaid Fraud Division as prescribed under s. 731(e)(2)(B) of 28 the Older Americans Act. (3) The State Long-Term Care Ombudsman shall not: 29 (a) Have a direct involvement in the licensing or 30 certification of, or an ownership or investment interest in, a 31 5 CODING: Words stricken are deletions; words underlined are additions.

long-term care facility or a provider of a long-term care 1 2 service. 3 (b) Be employed by, or participate in the management 4 of, a long-term care facility. 5 (c) Receive, or have a right to receive, directly or 6 indirectly, remuneration, in cash or in kind, under a 7 compensation agreement with the owner or operator of a 8 long-term care facility. 9 The Department of Elderly Affairs, in consultation with 10 11 ombudsman, shall adopt rules to establish procedures to 12 identify and eliminate conflicts of interest as described in this subsection. 13 14 Section 5. Section 400.0066, Florida Statutes, is created to read: 15 16 400.0066 Office of State Long-Term Care Ombudsman and 17 departments of state government. --18 (1) The State Long-Term Care Ombudsman shall perform 19 the duties specified in state and federal law. 20 (2) Officials from the Department of Elderly Affairs, 21 the Agency for Health Care Administration, and the Department 22 of Children and Family Services shall not interfere in the 23 performance of official duties of any ombudsman staff or 24 volunteers. 25 (3) The Department of Elderly Affairs shall provide 26 administrative support to the long-term care ombudsman program, including: 27 28 Office space for state and local ombudsman (a) 29 councils. 30 (b) Assistance with personnel, accounting, and management information systems. 31 6

(4) The Department of Elderly Affairs shall meet the 1 2 costs associated with these functions from funds appropriated 3 to the department. 4 (5) The Department of Elderly Affairs shall include 5 the costs associated with support of the long-term care 6 ombudsman program in developing its budget requests for 7 consideration by the Governor and submittal to the 8 Legislature. (6) The Department of Elderly Affairs may divert from 9 10 the federal ombudsman appropriation an amount equal to the department's administrative cost ratio, not to exceed 10 11 12 percent of the federal appropriation, for the ombudsman. The 13 remaining ninety percent or more of the allotment from the 14 Older Americans Act Program shall be expended on direct 15 ombudsman activities. Section 6. Section 400.0067, Florida Statutes, is 16 17 amended to read: 400.0067 Establishment of State Long-Term Care 18 19 Ombudsman Council; duties; membership.--20 (1) There is created within the Office of State 21 Long-Term Care Ombudsman, the State Long-Term Care Ombudsman 22 Council. 23 (2) The State Long-Term Care Ombudsman Council shall: (a) Assist the ombudsman in reaching a consensus among 24 local district ombudsman councils on issues of statewide 25 26 concern. 27 (b) Serve as an appellate body in receiving from the local district ombudsman councils complaints not resolved at 28 29 the local district level. The state ombudsman council may enter any long-term care facility involved in an appeal, 30 pursuant to the conditions specified in s. 400.0069(3). 31 7 CODING: Words stricken are deletions; words underlined are additions. 1 (c) Assist the ombudsman to discover, investigate, and 2 determine the existence of abuse or neglect in any long-term 3 care facility and to develop procedures, in consultation with 4 the Department of Elderly Affairs, relating to such 5 investigations. Investigations may consist, in part, of one or 6 more onsite administrative inspections.

7 (d) Assist the ombudsman in eliciting, receiving, 8 responding to, and resolving complaints made by or on behalf 9 of long-term care facility residents and in developing 10 procedures, in consultation with the Department of Elderly 11 Affairs, relating to the receipt and resolution of such 12 complaints.

(e) Elicit and coordinate state, local, and voluntary
organizational assistance for the purpose of improving the
care received by residents of a long-term care facility.

16 (f) Be authorized to call upon appropriate agencies of 17 state government for such professional assistance as may be 18 needed in the discharge of its duties, including assistance 19 from the adult protective services program of the Department 20 of Children and Family Services.

21 (g) Enter into a cooperative agreement with the 22 statewide and district human rights advocacy committees for 23 the purpose of coordinating advocacy services provided to 24 residents of long-term care facilities.

25 (g)(h) Prepare an annual report describing the 26 activities carried out by the ombudsman and the State 27 Long-Term Care Ombudsman Council in the year for which the 28 report is prepared. The State Long-Term Care Ombudsman 29 Council shall submit the report to the Commissioner of the 30 United States Administration on Aging, the Governor, the 31 President of the Senate, the Speaker of the House of

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1 Representatives, the minority leaders of the House and Senate, 2 the chairpersons of appropriate House and Senate committees, 3 the Secretaries of Elderly Affairs and Children and Family 4 Services, and the Director of Health Care Administration. The 5 report shall be submitted at least 30 days before the 6 convening of the regular session of the Legislature and shall, 7 at a minimum:

8 1. Contain and analyze data collected concerning9 complaints about and conditions in long-term care facilities.

Evaluate the problems experienced by residents of
 long-term care facilities.

Contain recommendations for improving the quality
 of life of the residents and for protecting the health,
 safety, welfare, and rights of the residents.

15 4. Analyze the success of the ombudsman program during the preceding year and identify the barriers that prevent the 16 17 optimal operation of the program. The report of the program's 18 successes shall also address the relationship between the 19 state long-term care ombudsman program, the Department of Elderly Affairs, the Agency for Health Care Administration, 20 and the Department of Children and Family Services, and an 21 assessment of how successfully the state long-term care 22 23 ombudsman program has carried out its responsibilities under the Older Americans Act. 24

5. Provide policy and regulatory and legislative recommendations to solve identified problems; resolve residents' complaints; improve the quality of care and life of the residents; protect the health, safety, welfare, and rights of the residents; and remove the barriers to the optimal operation of the state long-term care ombudsman program.

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6. Contain recommendations from the local district 1 2 ombudsman councils regarding program functions and activities. 7. Include a report on the activities of the legal 3 4 advocate and other legal advocates acting on behalf of the 5 local district and state councils. 6 (3)(a) The State Long-Term Care Ombudsman Council 7 shall be composed of: one active local council member 8 designated by each local council and three persons appointed 9 by the Governor.a number of members equal to the number of district councils in the state plus three. Each district 10 ombudsman council, including the ombudsman councils for 11 12 subdistricts 3A and 3B, shall appoint one member and the Governor shall appoint three members to the State Long-Term 13 14 Care Ombudsman Council. An individual designated by a district ombudsman council must have been a member of a 15 16 district ombudsman council for at least 1 year, and shall 17 continue to serve as an active member at the district level. The Governor's appointments shall be made from a list of not 18 fewer than eight nominees, to be selected by the secretary in 19 20 consultation with the State Long-Term Care Ombudsman Council 21 and submitted to the Governor. If the appointments are not made within 30 days after the Governor receives the list of 22 23 nominees, the secretary shall, in consultation with the State Long-Term Care Ombudsman Council, appoint three members from 24 25 the list of nominees submitted to the Governor. At least one 26 member appointed by the Governor must be over 60 years of age. (b)1. The ombudsman, in consultation with the 27 28 secretary and the state ombudsman council, shall submit a list 29 of at least eight names to the Governor of persons not serving 30 on a local council. 31 10

2. The Governor shall appoint three members from the 1 2 list, at least one of whom must be over 60 years of age. 3. If the Governor's appointments are not made within 3 4 60 days after the ombudsman submits the list, the ombudsman, 5 in consultation with the state ombudsman council, shall 6 appoint three members, at least one of whom must be over 60 7 years of age. 8 (c) (b) All members shall be appointed to serve 3-year terms. <u>A member of the</u> state ombudsman council may not serve 9 more than two consecutive terms. Any vacancy shall be filled 10 in the same manner as the original appointment. The position 11 12 of any member missing three consecutive regular meetings without cause shall be declared vacant. The findings of the 13 14 ombudsman regarding cause shall be final and binding. 15 (d)(c) The state ombudsman council shall elect a chairperson for a term of 1 year from among the members who 16 17 have served for at least 1 year. The chairperson shall select 18 a vice chairperson from among the members. The vice 19 chairperson shall preside over the council in the absence of 20 the chairperson. 21 (e)(d) The state ombudsman council shall meet upon the 22 call of the chairperson, at least quarterly or more frequently 23 as needed. 24 (f)(e) Members shall receive no compensation but shall 25 be reimbursed for per diem and travel expenses as provided in 26 s. 112.061. 27 (4) Members shall be appointed and serve 3-year terms as provided by this section. 28 29 (4) (5) No officer, employee, or representative of the 30 Office of State Long-Term Care Ombudsman or of the State Long-Term Care Ombudsman Council, nor any member of the 31 11 CODING: Words stricken are deletions; words underlined are additions.

immediate family of such officer, employee, or representative, 1 may have a conflict of interest. The Department of Elderly 2 3 Affairs, in consultation with The ombudsman, shall adopt rules 4 to identify and remove conflicts of interest. 5 (5)(6) The Department of Elderly Affairs shall make a 6 separate and distinct request for an appropriation for all 7 expenses for the state and local district ombudsman councils. 8 Section 7. Section 400.0069, Florida Statutes, is 9 amended to read: 10 400.0069 Local District long-term care ombudsman councils; duties; membership. --11 12 (1) There shall be at least one long-term care ombudsman council in each of the planning and service areas of 13 14 the Department of Elderly Affairs, which shall function under 15 the direction of the ombudsman and the state ombudsman council. 16 17 (2) The duties of the local district ombudsman council are: 18 19 (a) To serve as a third-party mechanism for protecting 20 the health, safety, welfare, and civil and human rights of 21 residents of a long-term care facility. (b) To discover, investigate, and determine the 22 23 existence of abuse or neglect in any long-term care facility and to use the procedures provided for in ss. 415.101-415.113 24 when applicable. Investigations may consist, in part, of one 25 26 or more onsite administrative inspections. 27 (c) To elicit, receive, investigate, respond to, and resolve complaints made by, or on behalf of, long-term care 28 29 facility residents. (d) To review and, if necessary, to comment on, for 30 their effect on the rights of long-term care facility 31 12 CODING: Words stricken are deletions; words underlined are additions. residents, all existing or proposed rules, regulations, and
 other governmental policies relating to long-term care
 facilities.

4 (e) To review personal property and money accounts of
5 Medicaid residents pursuant to an investigation to obtain
6 information regarding a specific complaint or problem.

7 (f) To represent the interests of residents before 8 government agencies and to seek administrative, legal, and 9 other remedies to protect the health, safety, welfare, and 10 rights of the residents.

11 (g) To carry out other activities that the ombudsman 12 determines to be appropriate.

13 (3) In order to carry out the duties specified in 14 subsection (2), the <u>local</u> district ombudsman council is 15 authorized, pursuant to ss. 400.19(1) and 400.434, to enter 16 any long-term care facility without notice or first obtaining 17 a warrant, subject to the provisions of s. 400.0073(5).

18 (4) Each local district ombudsman council shall be 19 composed of no less than 15 members and no more than 30 members from the local planning and service area district, to 20 include the following: one medical or osteopathic physician 21 whose practice includes or has included a substantial number 22 23 of geriatric patients and who may have limited practice in a long-term care facility; one registered nurse who has 24 geriatric experience, if possible; one licensed pharmacist; 25 one registered dietitian; at least six nursing home residents 26 or representative consumer advocates for nursing home 27 residents; at least three residents of assisted living 28 29 facilities or adult family-care homes or three representative consumer advocates for long-term care facility residents; one 30 attorney; and one professional social worker. 31 In no case

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shall the medical director of a long-term care facility or an 1 employee of the Agency for Health Care Administration, the 2 3 Department of Children and Family Services, or the Department 4 of Elderly Affairs serve as a member or as an ex officio 5 member of a council. Each member of the council shall certify 6 that neither the council member nor any member of the council 7 member's immediate family has any conflict of interest 8 pursuant to subsection (10). Local District ombudsman 9 councils are encouraged to recruit council members who are 60 years of age or older. 10

(5) All members shall be appointed to serve 3-year 11 12 terms. Upon expiration of a term and in case of any other 13 vacancy, the council shall select appoint a replacement by 14 majority vote of the council, subject to the approval of the Governor. The ombudsman shall review the selection of the 15 council and recommend approval or disapproval to the Governor. 16 17 If no action is taken by the Governor to approve or disapprove 18 the replacement of a member within 30 days after the ombudsman 19 council has notified the Governor of his or her 20 recommendation, the appointment, the appointment of the 21 replacement shall be considered disapproved and the process for selection of a replacement shall be repeated approved. The 22 23 term of any member missing three consecutive regular meetings without cause shall be declared vacant. 24 (6) The local district ombudsman council shall elect a 25

chair for a term of 1 year from members who have served at least 1 year. The chair shall select a vice chair from among the members of the council. The vice chair shall preside over the council in the absence of the chair.

30 (7) The <u>local</u> district ombudsman council shall meet
31 upon the call of the chair <u>or the ombudsman</u>, at least once a

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month or more frequently as needed to handle emergency
 situations.

3 (8) A member of a <u>local</u> district ombudsman council
4 shall receive no compensation but shall be reimbursed for
5 travel expenses both within and outside the county of
6 residence in accordance with the provisions of s. 112.061.

7 (9) The local district ombudsman councils are 8 authorized to call upon appropriate agencies of state 9 government for such professional assistance as may be needed in the discharge of their duties. All state agencies shall 10 cooperate with the local district ombudsman councils in 11 12 providing requested information and agency representatives at council meetings. The Department of Children and Family 13 14 Services shall continue to provide space and in-kind 15 administrative support for each district ombudsman council 16 staff within available resources until the Legislature 17 appropriates funds for office space and administrative 18 support.

19 (10) No officer, employee, or representative of a 20 <u>local</u> district long-term care ombudsman council, nor any 21 member of the immediate family of such officer, employee, or 22 representative, may have a conflict of interest. The 23 Department of Elderly Affairs, in consultation with The 24 ombudsman, shall adopt rules to identify and remove conflicts 25 of interest.

26 Section 8. Section 400.0071, Florida Statutes, is 27 amended to read:

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400.0071 Complaint procedures.--

(1) The state ombudsman council shall establish state
and <u>local</u> district procedures for receiving complaints against
a nursing home or long-term care facility or its employee.

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(2) These procedures shall be posted in full view in 1 2 every nursing home or long-term care facility. Every resident 3 or representative of a resident shall receive, upon admission 4 to a nursing home or long-term care facility, a printed copy 5 of the procedures of the state and the local district 6 ombudsman councils. 7 Section 9. Subsections (1), (2), (3), and (4) of 8 section 400.0073, Florida Statutes, are amended to read: 9 400.0073 State and local district ombudsman council 10 investigations.--11 (1) A local district ombudsman council shall 12 investigate any complaint of a resident or representative of a resident based on an action by an administrator or employee of 13 14 a nursing home or long-term care facility which might be: 15 (a) Contrary to law. (b) Unreasonable, unfair, oppressive, or unnecessarily 16 17 discriminatory, even though in accordance with law. (c) Based on a mistake of fact. 18 19 (d) Based on improper or irrelevant grounds. (e) Unaccompanied by an adequate statement of reasons. 20 21 (f) Performed in an inefficient manner. (g) Otherwise erroneous. 22 23 In an investigation, both the state and local (2) 24 district ombudsman councils have the authority to hold 25 hearings. 26 (3) Subsequent to an appeal from a local district 27 ombudsman council, the state ombudsman council may investigate 28 any nursing home or long-term care facility. 29 (4) In addition to any specific investigation made pursuant to a complaint, the local district ombudsman council 30 shall conduct, at least annually, an investigation, which 31 16 CODING: Words stricken are deletions; words underlined are additions.

shall consist, in part, of an onsite administrative 1 inspection, of each nursing home or long-term care facility 2 3 within its jurisdiction. 4 Section 10. Section 400.0075, Florida Statutes, is 5 amended to read: 6 400.0075 Complaint resolution procedures .--7 (1) Any complaint, including any problem identified by 8 an ombudsman council as a result of an investigation, deemed 9 valid and requiring remedial action by the local district ombudsman council shall be identified and brought to the 10 attention of the long-term care facility administrator in 11 12 writing. Upon receipt of such document, the administrator, in 13 concurrence with the local district ombudsman council chair, 14 shall establish target dates for taking appropriate remedial 15 action. If, by the target date, the remedial action is not 16 completed or forthcoming, the local district ombudsman council 17 may: 18 Extend the target date if the council has reason (a) 19 to believe such action would facilitate the resolution of the 20 complaint. 21 (b) In accordance with s. 400.0077, publicize the 22 complaint, the recommendations of the council, and the 23 response of the long-term care facility. (c) Refer the complaint to the state ombudsman 24 25 council. 26 If the health, safety, welfare, or rights of the resident are 27 28 in imminent danger, the local district long-term care 29 ombudsman council may seek immediate legal or administrative remedies to protect the resident. 30 31 17

1 (2) Upon referral from the local district ombudsman 2 council, the state ombudsman council shall assume the 3 responsibility for the disposition of the complaint. If a 4 long-term care facility fails to take action on a complaint 5 found valid by the state ombudsman council, the state council 6 may: 7 In accordance with s. 400.0077, publicize the (a) 8 complaint, the recommendations of the council, and the 9 response of the long-term care facility. (b) Recommend to the agency a series of facility 10 reviews pursuant to s. 400.19(4) to assure correction and 11 12 nonrecurrence of conditions that give rise to complaints against a long-term care facility. 13 14 (c) Recommend to the agency changes in rules for 15 inspecting and licensing or certifying long-term care 16 facilities, and recommend to the Agency for Health Care 17 Administration changes in rules for licensing and regulating 18 long-term care facilities. 19 (d) Refer the complaint to the state attorney for 20 prosecution if there is reason to believe the long-term care 21 facility or its employee is guilty of a criminal act. 22 (e) Recommend to the Agency for Health Care 23 Administration that the long-term care facility no longer receive payments under the State Medical Assistance Program 24 25 (Medicaid). 26 (f) Recommend that the agency initiate procedures for revocation of license in accordance with chapter 120. 27 28 (g) Seek legal, administrative, or other remedies to 29 protect the health, safety, welfare, or rights of the 30 resident. 31 18 CODING: Words stricken are deletions; words underlined are additions.

If the health, safety, welfare, or rights of the resident are 1 in imminent danger, the State Long-Term Care Ombudsman Council 2 3 shall seek immediate legal or administrative remedies to 4 protect the resident. 5 (3) The state ombudsman council shall provide, as part 6 of its annual report required pursuant to s. 7 400.0067(2)(g) (h), information relating to the disposition of 8 all complaints to the Department of Elderly Affairs. 9 Section 11. Paragraph (a) of subsection (1) and subsections (4) and (5) of section 400.0077, Florida Statutes, 10 are amended to read: 11 12 400.0077 Confidentiality.--13 (1) The following are confidential and exempt from the 14 provisions of s. 119.07(1): 15 (a) Resident records held by the ombudsman or by the state or a <u>local</u> district ombudsman council. 16 17 (4) Members of any state or local district ombudsman council shall not be required to testify in any court with 18 19 respect to matters held to be confidential under s. 400.414 except as may be necessary to enforce the provisions of this 20 21 act. 22 (5) Subject to the provisions of this section, the 23 Office of State Long-Term Care Ombudsman Department of Elderly Affairs, in consultation with the ombudsman and the State 24 Long-Term Care Ombudsman Council, shall adopt rules for the 25 26 disclosure by the ombudsman or <u>local</u> district ombudsman 27 councils of files maintained by the program. 28 Section 12. Subsection (2) of section 400.0079, 29 Florida Statutes, is amended to read: 30 400.0079 Immunity.--31 19 CODING: Words stricken are deletions; words underlined are additions.

(2) The ombudsman or any person acting on behalf of 1 2 the Office of State Long-Term Care Ombudsman or the state or a 3 local district long-term care ombudsman council shall be immune from any liability, civil or criminal, that otherwise 4 5 might be incurred or imposed, during the good faith 6 performance of official duties. 7 Section 13. Subsections (1) and (2) of section 8 400.0081, Florida Statutes, are amended to read: 400.0081 Access.--9 (1) The Office of State Long-Term Care Ombudsman, the 10 State Long-Term Care Ombudsman Council, and the local district 11 12 long-term care ombudsman councils, or their representatives, shall have access to: 13 14 (a) Long-term care facilities and residents. (b) Medical and social records of a resident for 15 16 review, if: 17 1. The office has the permission of the resident or 18 the legal representative of the resident; or 19 2. The resident is unable to consent to the review and has no legal representative. 20 21 (c) Medical and social records of the resident as 22 necessary to investigate a complaint, if: 23 1. A legal guardian of the resident refuses to give 24 permission. 2. The office has reasonable cause to believe that the 25 26 guardian is not acting in the best interests of the resident. 27 3. The representative obtains the approval of the 28 ombudsman. 29 (d) The administrative records, policies, and 30 documents to which the residents, or the general public, have 31 access. 20

(e) Upon request, copies of all licensing and 1 2 certification records maintained by the state with respect to 3 a long-term care facility. 4 (2) Notwithstanding paragraph (1)(b), if, pursuant to a complaint investigation by the state ombudsman council or a 5 6 local district ombudsman council, the legal representative of 7 the resident refuses to give permission for the release of the 8 resident's records, and if the Office of the State Long-Term 9 Care Ombudsman Council has reasonable cause to find that the legal representative is not acting in the best interests of 10 the resident, the medical and social records of the resident 11 12 must be made available to the state or local district council 13 as is necessary for the members of the council to investigate 14 the complaint. Section 14. Subsections (1) and (2) of section 15 16 400.0083, Florida Statutes, are amended to read: 17 400.0083 Interference; retaliation; penalties.--18 (1) It shall be unlawful for any person, long-term 19 care facility, or other entity to willfully interfere with a representative of the Office of the State Long-Term Care 20 21 Ombudsman, the State Long-Term Care Ombudsman Council, or a 22 local district long-term care ombudsman council in the 23 performance of official duties. (2) It shall be unlawful for any person, long-term 24 25 care facility, or other entity to retaliate against any 26 resident, employee, or other person for filing a complaint 27 with, providing information to, or otherwise cooperating with any representative of the Office of the State Long-Term Care 28 29 Ombudsman, the State Long-Term Care Ombudsman Council, or a 30 local district long-term care ombudsman council. 31 21

1 Section 15. Section 400.0087, Florida Statutes, is 2 amended to read: 3 400.0087 Agency oversight .--4 (1)The Department of Elderly Affairs shall monitor 5 the local district ombudsman councils responsible for carrying 6 out the duties delegated by s. 400.0069 and federal law. The 7 department, in consultation with the ombudsman and the State Long-Term Care Ombudsman Council, shall adopt rules to 8 9 establish the policies and procedures for the monitoring of local district ombudsman councils. 10 (2) The department is responsible for ensuring that 11 12 the Office of State Long-Term Care Ombudsman prepares its annual report; provides information to public and private 13 14 agencies, legislators, and others; provides appropriate 15 training to representatives of the office or of the state or local district long-term care ombudsman councils; and 16 17 coordinates ombudsman services with the Advocacy Center for 18 Persons with Disabilities and with providers of legal services 19 to residents of long-term care facilities in compliance with state and federal laws. 20 21 (3) The Department of Elderly Affairs is the 22 designated state unit on aging for purposes of complying with 23 the federal Older Americans Act. The Department of Elderly Affairs shall ensure that the ombudsman program has the 24 objectivity and independence required to qualify it for 25 26 funding under the federal Older Americans Act, and shall carry 27 out the long-term care ombudsman program through the Office of 28 the State Long-Term Care Ombudsman Council. The Department of 29 Elderly Affairs shall also: 30 31 2.2

1 (a) Receive and disburse state and federal funds for 2 purposes that the state ombudsman council has formulated in 3 accordance with the Older Americans Act. (b) Act as liaison between the federal program 4 5 representatives, the staffs of the state and local district 6 ombudsman councils, and members of the state and local 7 district ombudsman councils. Section 16. Section 400.0089, Florida Statutes, is 8 9 amended to read: 10 400.0089 Agency reports. -- The State Long-Term Care 11 Ombudsman Council, shall, in cooperation with the Department 12 of Elderly Affairs, maintain a statewide uniform reporting system to collect and analyze data relating to complaints and 13 14 conditions in long-term care facilities and to residents, for 15 the purpose of identifying and resolving significant problems. 16 The council shall submit such data as part of its annual 17 report required pursuant to s. 400.0067(2)(g)(h) to the Agency 18 for Health Care Administration, the Department of Children and 19 Family Services, the Statewide Human Rights Advocacy Committee, the Advocacy Center for Persons with Disabilities, 20 the Commissioner for the United States Administration on 21 Aging, the National Ombudsman Resource Center, and any other 22 state or federal entities that the ombudsman determines 23 24 appropriate. 25 Section 17. Section 400.0091, Florida Statutes, is 26 amended to read: 400.0091 Training.--The ombudsman shall provide 27 28 appropriate training to all employees of the Office of State 29 Long-Term Care Ombudsman and to the state and local district long-term care ombudsman councils, including all unpaid 30 volunteers. The ombudsman shall implement the training 31 23 CODING: Words stricken are deletions; words underlined are additions.

program no later than June 1, 1994. No employee, officer, or 1 representative of the office or of the state or local district 2 3 long-term care ombudsman councils, other than the ombudsman, 4 may carry out any authorized ombudsman duty or responsibility 5 unless the person has received the training required by this 6 section and has been approved by the ombudsman as qualified to 7 carry out ombudsman activities on behalf of the office or the state or local district long-term care ombudsman councils. 8 9 Section 18. Present subsections (8), (9), and (10) of section 400.021, Florida Statutes, are renumbered as 10 subsections (7), (8), and (9), respectively, and present 11 12 subsection (7) is renumbered as subsection (10) and amended to 13 read: 14 400.021 Definitions.--When used in this part, unless 15 the context otherwise requires, the term: 16 (10)(7) "Local District ombudsman council" means a 17 local district long-term care ombudsman council established pursuant to s. 400.0069, located within the Older Americans 18 19 Act planning and service areas. 20 Section 19. Paragraph (c) of subsection (1) and subsections (2) and (3) of section 400.022, Florida Statutes, 21 22 are amended to read: 400.022 Residents' rights .--23 (1) All licensees of nursing home facilities shall 24 adopt and make public a statement of the rights and 25 26 responsibilities of the residents of such facilities and shall 27 treat such residents in accordance with the provisions of that statement. The statement shall assure each resident the 28 29 following: (c) Any entity or individual that provides health, 30 social, legal, or other services to a resident has the right 31 24 CODING: Words stricken are deletions; words underlined are additions.

1 to have reasonable access to the resident. The resident has 2 the right to deny or withdraw consent to access at any time by 3 any entity or individual. Notwithstanding the visiting policy 4 of the facility, the following individuals must be permitted 5 immediate access to the resident:

1. Any representative of the federal or state 6 7 government, including, but not limited to, representatives of the Department of Children and Family Services, the Department 8 9 of Health, the Agency for Health Care Administration, the Office of the Attorney General, and the Department of Elderly 10 Affairs; any law enforcement officer; members of the state or 11 12 local district ombudsman council; and the resident's 13 individual physician.

Subject to the resident's right to deny or withdraw
 consent, immediate family or other relatives of the resident.
 The facility must allow representatives of the State Long-Term

18 Care Ombudsman Council to examine a resident's clinical 19 records with the permission of the resident or the resident's 20 legal representative and consistent with state law.

(2) The licensee for each nursing home shall orally 21 inform the resident of the resident's rights and provide a 22 23 copy of the statement required by subsection (1) to each resident or the resident's legal representative at or before 24 the resident's admission to a facility. The licensee shall 25 26 provide a copy of the resident's rights to each staff member 27 of the facility. Each such licensee shall prepare a written plan and provide appropriate staff training to implement the 28 29 provisions of this section. The written statement of rights must include a statement that a resident may file a complaint 30 with the agency or local district ombudsman council. The 31

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statement must be in boldfaced type and shall include the name, address, and telephone numbers of the <u>local</u> district ombudsman council and adult abuse registry where complaints may be lodged.

5 (3) Any violation of the resident's rights set forth 6 in this section shall constitute grounds for action by the 7 agency under the provisions of s. 400.102. In order to 8 determine whether the licensee is adequately protecting 9 residents' rights, the annual inspection of the facility shall include private informal conversations with a sample of 10 residents to discuss residents' experiences within the 11 12 facility with respect to rights specified in this section and 13 general compliance with standards, and consultation with the 14 ombudsman council in the local district in which the nursing home is located. 15

16 Section 20. Subsections (8), (9), (11), (12), (13), 17 and (14) of section 400.0255, Florida Statutes, are amended to 18 read:

400.0255 Resident transfer or discharge; requirements
and procedures; hearings.--

21 The notice required by subsection (7) must be in (8) 22 writing and must contain all information required by state and 23 federal law, rules, or regulations applicable to Medicaid or Medicare cases. The agency shall develop a standard document 24 to be used by all facilities licensed under this part for 25 26 purposes of notifying residents of a discharge or transfer. Such document must include a means for a resident to request 27 the local district long-term care ombudsman council to review 28 29 the notice and request information about or assistance with initiating a fair hearing with the department's Office of 30 Appeals Hearings. In addition to any other pertinent 31

information included, the form shall specify the reason 1 allowed under federal or state law that the resident is being 2 3 discharged or transferred, with an explanation to support this 4 action. Further, the form shall state the effective date of 5 the discharge or transfer and the location to which the resident is being discharged or transferred. The form shall 6 7 clearly describe the resident's appeal rights and the 8 procedures for filing an appeal, including the right to 9 request the local district ombudsman council to review the notice of discharge or transfer. A copy of the notice must be 10 placed in the resident's clinical record, and a copy must be 11 12 transmitted to the resident's legal guardian or representative 13 and to the local district ombudsman council.

14 (9) A resident may request that the local district 15 ombudsman council review any notice of discharge or transfer given to the resident. When requested by a resident to review 16 17 a notice of discharge or transfer, the local district ombudsman council shall do so within 7 days after receipt of 18 19 the request. The nursing home administrator, or the administrator's designee, must forward the request for review 20 contained in the notice to the local district ombudsman 21 council within 24 hours after such request is submitted. 22 23 Failure to forward the request within 24 hours after the request is submitted shall toll the running of the 30-day 24 advance notice period until the request has been forwarded. 25 26 (11) Notwithstanding paragraph (10)(b), an emergency 27 discharge or transfer may be implemented as necessary pursuant 28 to state or federal law during the period of time after the 29 notice is given and before the time a hearing decision is rendered. Notice of an emergency discharge or transfer to the 30

31 resident, the resident's legal guardian or representative, and

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the local district ombudsman council if requested pursuant to 1 subsection (9) must be by telephone or in person. This notice 2 3 shall be given before the transfer, if possible, or as soon thereafter as practicable. A local district ombudsman council 4 5 conducting a review under this subsection shall do so within 6 24 hours after receipt of the request. The resident's file 7 must be documented to show who was contacted, whether the 8 contact was by telephone or in person, and the date and time 9 of the contact. If the notice is not given in writing, written notice meeting the requirements of subsection (8) must be 10 given the next working day. 11

12 (12) After receipt of any notice required under this 13 section, the local district ombudsman council may request a 14 private informal conversation with a resident to whom the notice is directed, and, if known, a family member or the 15 16 resident's legal guardian or designee, to ensure that the 17 facility is proceeding with the discharge or transfer in accordance with the requirements of this section. If 18 19 requested, the local district ombudsman council shall assist the resident with filing an appeal of the proposed discharge 20 21 or transfer.

(13) The following persons must be present at allhearings authorized under this section:

24 (a) The resident, or the resident's legal25 representative or designee.

(b) The facility administrator, or the facility'slegal representative or designee.

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A representative of the <u>local</u> district long-term care ombudsman council may be present at all hearings authorized by this section.

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(14) In any hearing under this section, the following 1 2 information concerning the parties shall be confidential and 3 exempt from the provisions of s. 119.07(1): 4 (a) Names and addresses. 5 (b) Medical services provided. (c) Social and economic conditions or circumstances. б 7 Evaluation of personal information. (d) (e) Medical data, including diagnosis and past history 8 9 of disease or disability. (f) Any information received verifying income 10 eligibility and amount of medical assistance payments. Income 11 12 information received from the Social Security Administration or the Internal Revenue Service must be safeguarded according 13 14 to the requirements of the agency that furnished the data. 15 The exemption created by this subsection does not prohibit 16 17 access to such information by a local district long-term care 18 ombudsman council upon request, by a reviewing court if such 19 information is required to be part of the record upon subsequent review, or as specified in s. 24(a), Art. I of the 20 21 State Constitution. 22 Section 21. Subsection (1) of section 400.19, Florida 23 Statutes, is amended to read: 400.19 Right of entry and inspection .--24 (1) The agency and any duly designated officer or 25 26 employee thereof or a member of the State Long-Term Care 27 Ombudsman Council or the local district long-term care ombudsman council shall have the right to enter upon and into 28 29 the premises of any facility licensed pursuant to this part, or any distinct nursing home unit of a hospital licensed under 30 chapter 395 or any freestanding facility licensed under 31 29

chapter 395 that provides extended care or other long-term 1 care services, at any reasonable time in order to determine 2 3 the state of compliance with the provisions of this part and 4 rules in force pursuant thereto. The right of entry and 5 inspection shall also extend to any premises which the agency has reason to believe is being operated or maintained as a 6 7 facility without a license, but no such entry or inspection of 8 any premises shall be made without the permission of the owner 9 or person in charge thereof, unless a warrant is first obtained from the circuit court authorizing same. 10 Anv application for a facility license or renewal thereof, made 11 12 pursuant to this part, shall constitute permission for and complete acquiescence in any entry or inspection of the 13 14 premises for which the license is sought, in order to facilitate verification of the information submitted on or in 15 connection with the application; to discover, investigate, and 16 17 determine the existence of abuse or neglect; or to elicit, receive, respond to, and resolve complaints. The agency shall, 18 19 within 60 days after receipt of a complaint made by a resident or resident's representative, complete its investigation and 20 provide to the complainant its findings and resolution. 21 Section 22. Subsection (1) of section 400.191, Florida 22 23 Statutes, is amended to read: 400.191 Availability, distribution, and posting of 24 25 reports and records.--26 (1) The agency shall provide information to the public 27 about all of the licensed nursing home facilities operating in the state. The agency shall, within 60 days after an annual 28 29 inspection visit or within 30 days after any interim visit to a facility, send copies of the inspection reports to the local 30 district long-term care ombudsman council, the agency's local 31 30

office, and a public library or the county seat for the county 1 in which the facility is located. 2 3 Section 23. Subsection (6) and paragraph (c) of 4 subsection (7) of section 400.23, Florida Statutes, are 5 amended to read: 400.23 Rules; evaluation and deficiencies; licensure б 7 status.--8 (6) Prior to conducting a survey of the facility, the 9 survey team shall obtain a copy of the local district long-term care ombudsman council report on the facility. 10 Problems noted in the report shall be incorporated into and 11 12 followed up through the agency's inspection process. This 13 procedure does not preclude the local district nursing home 14 and long-term care facility ombudsman council from requesting 15 the agency to conduct a followup visit to the facility. (7) The agency shall, at least every 15 months, 16 evaluate all nursing home facilities and make a determination 17 as to the degree of compliance by each licensee with the 18 19 established rules adopted under this part as a basis for assigning a licensure status to that facility. The agency 20 shall base its evaluation on the most recent inspection 21 22 report, taking into consideration findings from other official 23 reports, surveys, interviews, investigations, and inspections. The agency shall assign a licensure status of standard or 24 conditional to each nursing home. 25 26 (c) In evaluating the overall quality of care and services and determining whether the facility will receive a 27 28 conditional or standard license, the agency shall consider the 29 needs and limitations of residents in the facility and the results of interviews and surveys of a representative sampling 30 of residents, families of residents, ombudsman council members 31 31

in the planning and service area district in which the 1 facility is located, guardians of residents, and staff of the 2 3 nursing home facility. 4 Section 24. Subsection (13) of section 400.419, 5 Florida Statutes, is amended to read: 6 400.419 Violations; administrative fines.--7 (13) The agency shall develop and disseminate an 8 annual list of all facilities sanctioned or fined \$5,000 or more for violations of state standards, the number and class 9 of violations involved, the penalties imposed, and the current 10 status of cases. The list shall be disseminated, at no charge, 11 12 to the Department of Elderly Affairs, the Department of Health, the Department of Children and Family Services, the 13 14 area agencies on aging, the Statewide Human Rights Advocacy 15 Committee, and the state and local district nursing home ombudsman councils. The Department of Children and Family 16 17 Services shall disseminate the list to service providers under 18 contract to the department who are responsible for referring 19 persons to a facility for residency. The agency may charge a fee commensurate with the cost of printing and postage to 20 other interested parties requesting a copy of this list. 21 Section 25. Subsection (2) of section 400.428, Florida 22 23 Statutes, is amended to read: 400.428 Resident bill of rights.--24 (2) The administrator of a facility shall ensure that 25 26 a written notice of the rights, obligations, and prohibitions 27 set forth in this part is posted in a prominent place in each facility and read or explained to residents who cannot read. 28 29 This notice shall include the name, address, and telephone numbers of the local district ombudsman council and adult 30 abuse registry and, when applicable, the Advocacy Center for 31 32

Persons with Disabilities, Inc., and the district human rights advocacy committee, where complaints may be lodged. The facility must ensure a resident's access to a telephone to call the <u>local</u> district ombudsman council, adult abuse registry, Advocacy Center for Persons with Disabilities, Inc., and district human rights advocacy committee.

7 Section 26. Section 400.434, Florida Statutes, is 8 amended to read:

9 400.434 Right of entry and inspection. -- Any duly designated officer or employee of the department, the 10 Department of Children and Family Services, the agency, the 11 12 state or local fire marshal, or a member of the state or local district long-term care ombudsman council shall have the right 13 14 to enter unannounced upon and into the premises of any 15 facility licensed pursuant to this part in order to determine the state of compliance with the provisions of this part and 16 17 of rules or standards in force pursuant thereto. The right of 18 entry and inspection shall also extend to any premises which 19 the agency has reason to believe is being operated or maintained as a facility without a license; but no such entry 20 or inspection of any premises may be made without the 21 permission of the owner or person in charge thereof, unless a 22 warrant is first obtained from the circuit court authorizing 23 such entry. The warrant requirement shall extend only to a 24 facility which the agency has reason to believe is being 25 26 operated or maintained as a facility without a license. Any application for a license or renewal thereof made pursuant to 27 this part shall constitute permission for, and complete 28 29 acquiescence in, any entry or inspection of the premises for which the license is sought, in order to facilitate 30 verification of the information submitted on or in connection 31

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with the application; to discover, investigate, and determine 1 the existence of abuse or neglect; or to elicit, receive, 2 3 respond to, and resolve complaints. Any current valid license 4 shall constitute unconditional permission for, and complete 5 acquiescence in, any entry or inspection of the premises by б authorized personnel. The agency shall retain the right of 7 entry and inspection of facilities that have had a license 8 revoked or suspended within the previous 24 months, to ensure 9 that the facility is not operating unlawfully. However, before entering the facility, a statement of probable cause must be 10 filed with the director of the agency, who must approve or 11 12 disapprove the action within 48 hours. Probable cause shall include, but is not limited to, evidence that the facility 13 14 holds itself out to the public as a provider of personal care 15 services or the receipt of a complaint by the long-term care ombudsman council about the facility. 16 Section 27. Subsection (2) of section 400.435, Florida 17 18 Statutes, is amended to read: 19 400.435 Maintenance of records; reports.--20 (2) Within 60 days after the date of the biennial inspection visit or within 30 days after the date of any 21 interim visit, the agency shall forward the results of the 22 23 inspection to the local district ombudsman council in whose planning and service area, as defined in part II, the facility 24 is located; to at least one public library or, in the absence 25 26 of a public library, the county seat in the county in which 27 the inspected assisted living facility is located; and, when appropriate, to the district adult services and district 28 29 alcohol, drug abuse, and mental health program offices. 30 31 34

Section 28. Paragraph (i) of subsection (1) and 1 2 subsection (5) of section 400.4415, Florida Statutes, are 3 amended to read: 4 400.4415 Assisted living facilities advisory 5 committee.--6 (1) There is created the assisted living facilities 7 advisory committee, which shall assist the agency in 8 developing and implementing a pilot rating system for 9 facilities. The committee shall consist of nine members who are to be appointed by, and report directly to, the director 10 of the agency. The membership is to include: 11 12 (i) One consumer representative from a local district long-term care ombudsman council. 13 14 (5) In determining the rating and evaluating the 15 overall quality of care and services, the agency shall consider the needs and limitations of residents in the 16 17 facility and the results of interviews and surveys of a representative sampling of residents, families of residents, 18 19 long-term care ombudsman council members in the planning and 20 service area district in which the facility is located, guardians of residents, and staff of the facility. 21 Section 29. Subsection (7) of section 400.619, Florida 22 23 Statutes, is amended to read: 400.619 Licensure application and renewal.--24 (7) Access to a licensed adult family-care home must 25 26 be provided at reasonable times for the appropriate officials 27 of the department, the Department of Health, the Department of Children and Family Services, the agency, and the State Fire 28 29 Marshal, who are responsible for the development and maintenance of fire, health, sanitary, and safety standards, 30 to inspect the facility to assure compliance with these 31 35 CODING: Words stricken are deletions; words underlined are additions.

standards. In addition, access to a licensed adult 1 2 family-care home must be provided at reasonable times for the 3 local district long-term care ombudsman council. 4 Section 30. Subsection (2) of section 400.62, Florida 5 Statutes, is amended to read: 6 400.628 Residents' bill of rights .--7 (2) The provider shall ensure that residents and their 8 legal representatives are made aware of the rights, 9 obligations, and prohibitions set forth in this part. Residents must also be given the names, addresses, and 10 telephone numbers of the local district ombudsman council and 11 12 the adult abuse registry where they may lodge complaints. 13 Section 31. There is hereby appropriated from the 14 General Revenue Fund to the long-term care ombudsman program 15 within the Department of Elderly Affairs the sum of \$40,000 in nonrecurring funds to be used for training members of the 16 17 state and local long-term care ombudsman councils. 18 Section 32. There is hereby appropriated from the 19 General Revenue Fund to the long-term care ombudsman program 20 within the Department of Elderly Affairs the sum of \$40,000 in 21 nonrecurring funds to be used for materials to educate residents of long-term care facilities and their families and 22 23 visitors, facility staff, and the general public about the ombudsman program and to encourage such persons to seek 24 25 assistance from the long-term care ombudsman program. 26 Section 33. This act shall take effect July 1, 2000. 27 28 29 30 31 36