By the Committee on Transportation and Senator Webster

306-1664-00

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A bill to be entitled An act relating to rulemaking authority regarding transportation (RAB); amending s. 20.23, F.S.; authorizing the Florida Department of Transportation to adopt rules for the delegation of authority beyond the assistant secretaries; amending s. 334.187, F.S.; authorizing the Florida Department of Transportation to adopt rules relating to the use of prepaid escrow accounts; amending s. 334.044, F.S.; authorizing the Florida Department of Transportation to adopt rules relating to approval of aggregate and other material sources; amending s. 337.18, F.S.; authorizing the Florida Department of Transportation to adopt rules related to surety bonds; amending s. 338.155, F.S.; authorizing the Florida Department of Transportation to adopt rules relating to guaranteed toll accounts; amending s. 339.09, F.S.; authorizing the Florida Department of Transportation to adopt rules related to the expenditure of transportation revenues; amending s. 427.013, F.S.; authorizing the Commission for the Transportation Disadvantaged to adopt rules related to developing operational standards; amending s. 427.0135, F.S.; granting authority for rules adopted by the Commission for the Transportation Disadvantaged related to member departments; amending s. 427.015, F.S.; granting authority for rules adopted by the

1 Commission for the Transportation Disadvantaged 2 related to community transportation 3 coordinators; providing an effective date. 4 5 Be It Enacted by the Legislature of the State of Florida: 6 7 Section 1. Paragraph (c) of subsection (1) of section 8 20.23, Florida Statutes, is amended to read: 9 20.23 Department of Transportation. -- There is created 10 a Department of Transportation which shall be a decentralized 11 agency. 12 (1)(c) The secretary shall appoint three assistant 13 secretaries who shall be directly responsible to the secretary 14 and who shall perform such duties as are specified in this 15 section and such other duties as are assigned by the 16 17 secretary. The secretary may delegate to any assistant secretary the authority to act in the absence of the 18 19 secretary. The department has the authority to adopt rules 20 necessary for the delegation of authority beyond the assistant secretaries. The assistant secretaries shall serve at the 21 22 pleasure of the secretary. Section 2. Subsection (4) is added to section 334.187, 23 24 Florida Statutes, to read: 25 334.187 Guarantee of obligations to the department.--(4) The department is authorized to adopt rules 26 27 relating to the use of prepaid escrow accounts for purchases 28 from the department. 29 Section 3. Section 334.044, Florida Statutes, is 30 amended to read:

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334.044 Department; powers and duties.--The department shall have the following general powers and duties:

- To assume the responsibility for coordinating the planning of a safe, viable, and balanced state transportation system serving all regions of the state, and to assure the compatibility of all components, including multimodal facilities.
- (2) To adopt rules pursuant to ss. 120.536(1) and 120.54 to implement the provisions of law conferring duties upon it.
 - (3) To adopt an official seal.
- To maintain its headquarters in Tallahassee and its district offices and necessary field offices at such places within the state as it may designate, and to purchase, build, or lease suitable buildings for such uses.
- (5) To purchase, lease, or otherwise acquire property, materials, equipment, and supplies, and to sell, exchange, or otherwise dispose of any property which is no longer needed by the department.
- (6) To acquire, by the exercise of the power of eminent domain as provided by law, all property or property rights, whether public or private, which it may determine are necessary to the performance of its duties and the execution of its powers.
 - (7) To enter into contracts and agreements.
 - To sue and be sued as provided by law.
- To employ and train staff, and to contract with qualified consultants. For the purposes of chapters 471 and 472, the department shall be considered a firm.
- (10)(a) To develop and adopt uniform minimum standards 31 and criteria for the design, construction, maintenance, and

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30 31 operation of public roads pursuant to the provisions of s. 336.045.

- (b) The department shall periodically review its construction, design, and maintenance standards to ensure that such standards are cost-effective and consistent with applicable federal regulations and state law.
- (c) The department is authorized to adopt rules relating to approval of aggregate and other material sources.
- (11) To establish a numbering system for public roads, to functionally classify such roads, and to assign jurisdictional responsibility.
- (12) To coordinate the planning of the development of public transportation facilities within the state and the provision of related transportation services as authorized by law.
- (13) To designate existing and to plan proposed transportation facilities as part of the State Highway System, and to construct, maintain, and operate such facilities.
- (14) To establish, control, and prohibit points of ingress to, and egress from, the State Highway System, the turnpike, and other transportation facilities under the department's jurisdiction as necessary to ensure the safe, efficient, and effective maintenance and operation of such facilities.
- (15) To regulate and prescribe conditions for the transfer of stormwater to the state right-of-way as a result of manmade changes to adjacent properties.
- (a) Such regulation shall be through a permitting process designed to ensure the safety and integrity of the Department of Transportation facilities and to prevent an unreasonable burden on lower properties.

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- The department is specifically authorized to adopt rules which set forth the purpose; necessary definitions; permit exceptions; permit and assurance requirements; permit application procedures; permit forms; general conditions for a drainage permit; provisions for suspension or revocation of a permit; and provisions for department recovery of fines, penalties, and costs incurred due to permittee actions. In order to avoid duplication and overlap with other units of government, the department shall accept a surface water management permit issued by a water management district, the Department of Environmental Protection, a surface water management permit issued by a delegated local government, or a permit issued pursuant to an approved Stormwater Management Plan or Master Drainage Plan; provided issuance is based on requirements equal to or more stringent than those of the department.
 - (16) To plan, acquire, lease, construct, maintain, and operate toll facilities; to authorize the issuance and refunding of bonds; and to fix and collect tolls or other charges for travel on any such facilities.
 - (17) To designate limited access facilities on the State Highway System and turnpike projects; to plan, construct, maintain, and operate service roads in connection with such facilities; and to regulate, reconstruct, or realign any existing public road as a service road.
 - (18) To establish and maintain bicycle and pedestrian ways.
 - (19) To encourage and promote the development of multimodal transportation alternatives.

- (20) To conduct research studies, and to collect data necessary for the improvement of the state transportation system.
- (21) To conduct research and demonstration projects relative to innovative transportation technologies.
- (22) To cooperate with and assist local governments in the development of a statewide transportation system and in the development of the individual components of the system.
- (23) To cooperate with the transportation department or duly authorized commission or authority of any state in the development and construction of transportation facilities physically connecting facilities of this state with those facilities of any adjoining state.
- (24) To identify, obtain, and administer all federal funds available to the department for all transportation purposes.
- (25) To do all things necessary to obtain the full benefits of the national Highway Safety Act of 1966, and in so doing, to cooperate with federal and state agencies, public and private agencies, interested organizations, and individuals to effectuate the purposes of that act, and any and all amendments thereto. The Governor shall have the ultimate state responsibility for dealing with the Federal Government in respect to programs and activities initiated pursuant to the national Highway Safety Act of 1966, and any amendments thereto.
- (26) To provide for the conservation of natural roadside growth and scenery and for the implementation and maintenance of roadside beautification programs. To accomplish this, for fiscal years 1999-2000, 2000-2001, and 2001-2002 no less than 1 percent, and for subsequent fiscal years no less

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than 1.5 percent of the amount contracted for construction projects shall be allocated by the department to beautification programs. Except where prohibited by federal law or federal regulation and to the extent practical, a minimum of 50 percent of these funds shall be used to purchase large plant materials with the remaining funds for other plant materials and these materials shall be purchased from Florida-based nurseryman stock on a uniform competitive bid basis. The department will develop grades and standards for landscaping materials purchased through this process. To accomplish these activities, the department may contract with nonprofit organizations having the primary purpose of developing youth employment opportunities.

- (27) To conduct studies and provide coordination to assess the needs associated with landside ingress and egress to port facilities, and to coordinate with local governmental entities to ensure that port facility access routes are properly integrated with other transportation facilities.
- (28) To require persons to affirm the truth of statements made in any application for a license, permit, or certification issued by the department or in any contract documents submitted to the department.
- (29) To advance funds for projects in the department's adopted work program to governmental entities prior to commencement of the project or project phase when the advance has been authorized by the department's comptroller and is made pursuant to a written agreement between the department and a governmental entity.
- (30) To take any other action necessary to carry out the powers and duties expressly granted in this code.

1 Section 4. Subsection (1) of section 337.18, Florida 2 Statutes, is amended to read: 3 337.18 Surety bonds; requirement with respect to 4 contract award; defaults; damage assessments. --5 (1) A surety bond shall be required of the successful 6 bidder in an amount equal to the awarded contract price. For a 7 project for which the contract price is \$150,000 or less, the 8 department may waive the requirement for all or a portion of a 9 surety bond if it determines the project is of a noncritical 10 nature and nonperformance will not endanger public health, 11 safety, or property. The department may require alternate means of security if a surety bond is waived. The surety on 12 13 such bond shall be a surety company authorized to do business in the state. All bonds shall be payable to the department and 14 conditioned for the prompt, faithful, and efficient 15 performance of the contract according to plans and 16 17 specifications and within the time period specified, and for the prompt payment of all persons furnishing labor, material, 18 19 equipment, and supplies therefor; however, whenever an 20 improvement, demolition, or removal contract price is \$25,000 or less, the security may, in the discretion of the bidder, be 21 in the form of a cashier's check, bank money order of any 22 state or national bank, certified check, or postal money 23 24 order. The department shall adopt rules to implement this 25 subsection. Such rules shall include provisions under which the department will refuse to accept bonds on contracts when a 26 27 surety wrongfully fails or refuses to settle or provide a 28 defense for claims or actions arising under a contract for 29 which the surety previously furnished a bond. 30 Section 5. Subsection (1) of section 338.155, Florida 31 Statutes, is amended to read:

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338.155 Payment of toll on toll facilities required; exemptions.--

(1) No persons are permitted to use any toll facility without payment of tolls, except employees of the agency operating the toll project when using the toll facility on official state business, state military personnel while on official military business, handicapped persons as provided in this section, persons exempt from toll payment by the authorizing resolution for bonds issued to finance the facility, and persons exempt on a temporary basis where use of such toll facility is required as a detour route. Any Florida highway patrol officer, sheriff, deputy sheriff, or municipal police officer operating a marked official vehicle is exempt from toll payment when on official law enforcement business. The secretary, or the secretary's designee, may suspend the payment of tolls on a toll facility when necessary to assist in emergency evacuation. The failure to pay a prescribed toll constitutes a noncriminal traffic infraction, punishable as a moving violation pursuant to s. 318.18. The department is authorized to adopt rules relating to guaranteed toll accounts.

Section 6. Subsection (2) of section 339.09, Florida Statutes, is amended to read:

339.09 Use of transportation tax revenues; restrictions.--

(2) The department may, in cooperation with the Federal Government, expend transportation tax revenues pursuant to rules adopted by the department, for control of undesirable rodents, relocation assistance, and moving costs of persons displaced by highway construction and other related transportation projects to the extent, but only to the extent,

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required by federal law to be undertaken by the state to continue to be eligible for federal highway funds.

Section 7. Section 427.013, Florida Statutes, is amended to read:

427.013 The Commission for the Transportation Disadvantaged; purpose and responsibilities. -- The purpose of the commission is to accomplish the coordination of transportation services provided to the transportation disadvantaged. The goal of this coordination shall be to assure the cost-effective provision of transportation by qualified community transportation coordinators or transportation operators for the transportation disadvantaged without any bias or presumption in favor of multioperator systems or not-for-profit transportation operators over single operator systems or for-profit transportation operators. In carrying out this purpose, the commission shall:

- (1) Compile all available information on the transportation operations for and needs of the transportation disadvantaged in the state.
- (2) Establish statewide objectives for providing transportation services for the transportation disadvantaged.
- (3) Develop policies and procedures for the coordination of local government, federal, and state funding for the transportation disadvantaged.
- (4) Identify barriers prohibiting the coordination and accessibility of transportation services to the transportation disadvantaged and aggressively pursue the elimination of these barriers.
- (5) Serve as a clearinghouse for information about transportation disadvantaged services, training, funding 31 | sources, innovations, and coordination efforts.

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- (6) Assist communities in developing transportation systems designed to serve the transportation disadvantaged.
- (7) Assure that all procedures, guidelines, and directives issued by member departments are conducive to the coordination of transportation services.
- (8)(a) Assure that member departments purchase all trips within the coordinated system, unless they use a more cost-effective alternative provider.
- (b) Provide, by rule, criteria and procedures for member departments to use if they wish to use an alternative provider. Departments must demonstrate either that the proposed alternative provider can provide a trip of acceptable quality for the clients at a lower cost than that provided within the coordinated system, or that the coordinated system cannot accommodate the department's clients.
- (9) Develop by rule standards for community transportation coordinators and any transportation operator or coordination contractor from whom service is purchased or arranged by the community transportation coordinator covering coordination, operation, safety, insurance, eligibility for service, costs, and utilization of transportation disadvantaged services. These standards and rules must shall include, but not be limited to:
- (a) Inclusion, by rule, of acceptable ranges of trip costs for the various modes and types of transportation services provided.
- (b) Minimum performance standards for the delivery of services. These standards $\underline{\text{must}}$ $\underline{\text{should}}$ be included in coordinator $\underline{\text{contracts}}$ and $\underline{\text{transportation}}$ operator contracts with clear penalties for repeated or continuing violations.

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- CODING: Words stricken are deletions; words underlined are additions.

- (c) Minimum liability insurance requirements for all transportation services purchased, provided, or coordinated for the transportation disadvantaged through the community transportation coordinator.
- (10) Adopt rules pursuant to ss. 120.536(1) and 120.54 to implement the provisions of ss. 427.011-427.017.
- (11) Approve the appointment of all community transportation coordinators.
- (12) Have the authority to apply for and accept funds, grants, gifts, and services from the Federal Government, state government, local governments, or private funding sources. Applications by the commission for local government funds shall be coordinated through the appropriate coordinating board. Funds acquired or accepted under this subsection shall be administered by the commission and shall be used to carry out the commission's responsibilities.
- (13) Make an annual report to the Governor, the President of the Senate, and the Speaker of the House of Representatives by January 1 of each year.
- (14) Consolidate, for each state agency, the annual budget estimates for transportation disadvantaged services, and the amounts of each agency's actual expenditures, together with the annual budget estimates of each official planning agency, local government, and directly federally funded agency and issue a report.
- (15) Prepare a statewide 5-year transportation disadvantaged plan which addresses the transportation problems and needs of the transportation disadvantaged, which is fully coordinated with local transit plans, compatible with local government comprehensive plans, and which ensures that the 31 | most cost-effective and efficient method of providing

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transportation to the disadvantaged is programmed for development.

- (16) Review and approve memorandums of agreement for the provision of coordinated transportation services.
- transportation disadvantaged local government, state, and federal fund requests and plans for conformance with commission policy, without delaying the application process. Such funds shall be available only to those entities participating in an approved coordinated transportation system or entities which have received a commission-approved waiver to obtain all or part of their transportation through another means. This process shall identify procedures for coordinating with the state's intergovernmental coordination and review procedures and s. 216.212(1) and any other appropriate grant review process.
- (18) Develop an interagency uniform contracting and billing and accounting system that shall be used by all community transportation coordinators and their transportation operators.
- (19) Develop and maintain a transportation disadvantaged manual.
- (20) Design and develop transportation disadvantaged training programs.
- (21) Coordinate all transportation disadvantaged programs with appropriate state, local, and federal agencies and public transit agencies to ensure compatibility with existing transportation systems.
- (22) Designate the official planning agency in areas outside of the purview of a metropolitan planning organization.

- (23) Develop need-based criteria that must be used by all community transportation coordinators to prioritize the delivery of nonsponsored transportation disadvantaged services that are purchased with Transportation Disadvantaged Trust Fund moneys.
- (24) Establish a review procedure to compare the rates proposed by alternate transportation operators with the rates charged by a community transportation coordinator to determine which rate is more cost-effective.
- (25) Conduct a cost-comparison study of single-coordinator, multicoordinator, and brokered community transportation coordinator networks to ensure that the most cost-effective and efficient method of providing transportation to the transportation disadvantaged is programmed for development.
- (26) Develop a quality assurance and management review program to monitor, based upon approved commission standards, services contracted for by an agency, and those provided by a community transportation operator pursuant to s. 427.0155. Staff of the quality assurance and management review program shall function independently and be directly responsible to the executive director.
- (27) Ensure that local community transportation coordinators work cooperatively with local WAGES coalitions established in chapter 414 to provide assistance in the development of innovative transportation services for WAGES participants.
- Section 8. Section 427.0135, Florida Statutes, is amended to read:

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427.0135 Member departments; duties and responsibilities .-- Each member department, in carrying out the policies and procedures of the commission, shall:

- (1)(a) Use the coordinated transportation system for provision of services to its clients, unless each department meets the criteria outlined in rule to use an alternative provider.
- Subject to the provisions of s. 409.908(18), the Medicaid agency shall purchase transportation services through the community coordinated transportation system unless a more cost-effective method is determined by the agency for Medicaid clients or unless otherwise limited or directed by the General Appropriations Act.
- (2) Provide the commission, by September 15 of each year, an accounting of all funds spent as well as how many trips were purchased with agency funds.
- (3) Assist communities in developing coordinated transportation systems designed to serve the transportation disadvantaged. However, a member department may not serve as the community transportation coordinator in any designated service area.
- (4) Assure that its rules, procedures, guidelines, and directives are conducive to the coordination of transportation funds and services for the transportation disadvantaged.
- (5) Provide technical assistance, as needed, to community transportation coordinators or transportation operators or participating agencies.
- Section 9. Subsection (2) of section 427.015, Florida Statutes, is amended to read:
- 427.015 Function of the metropolitan planning 31 organization or designated official planning agency in

coordinating transportation for the transportation disadvantaged.--

(2) Each metropolitan planning organization or designated official planning agency shall recommend to the commission a single community transportation coordinator. However, a member department may not serve as the community transportation coordinator in any designated service area. The coordinator may provide all or a portion of needed transportation services for the transportation disadvantaged but shall be responsible for the provision of those coordinated services. Based on approved commission evaluation criteria, the coordinator shall subcontract or broker those services that are more cost-effectively and efficiently provided by subcontracting or brokering. The performance of the coordinator shall be evaluated based on the commission's approved evaluation criteria by the coordinating board at least annually. A copy of the evaluation shall be submitted to the metropolitan planning organization or the designated official planning agency, and the commission. The recommendation or termination of any community transportation coordinator shall be subject to approval by the commission.

Section 10. This act shall take effect July 1, 2000.

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1	STATEMENT OF SUBSTANTIAL CHANGES CONTAINED IN
2	COMMITTEE SUBSTITUTE FOR SB 772
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4	The CS authorizes the Florida Department of Transportation (FDOT) to adopt rules necessary for the delegation of authority beyond the assistant secretaries.
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6	The CS authorizes the FDOT to adopt rules relating to the use of prepaid escrow accounts for purchases from the FDOT.
7 8	The CS authorizes the FDOT to adopt rules relating to approval of aggregate and other material sources.
9	The CS authorizes the FDOT to adopt rules relating to surety
10	bonds and contract awards. The section specifies that such rules must include provisions under which FDOT will refuse to accept bonds on contracts when a surety wrongfully fails or
11	accept bonds on contracts when a surety wrongfully fails or refuses to settle or provide a defense for claims or actions arising under a contract for which the surety previously
12	furnished a bond.
13	The CS authorizes the FDOT to adopt rules relating to guaranteed toll accounts.
14 15	The CS expands FDOT rule authorization for spending transportation funds on relocation assistance and moving costs for persons displaced by highway construction to include other related transportation projects.
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17	The CS authorizes the Commission for the Transportation Disadvantaged to develop by rule standards for community
18	transportation coordinators and any transportation operator or coordination contractor from whom service is purchased or
19 20	arranged by the community transportation coordinator including minimum liability insurance requirements for all
21	transportation services purchased. The CS provides that an agency which is a member of the
22	Commission for the Transportation Disadvantaged may not serve as the community transportation coordinator.
23	The CS provides an effective date of July,2000.
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