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LEGISLATIVE ACTION

Senate	•	House	
Comm: WD	•		
04/05/2011	•		
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The Committee on Judiciary (Simmons) recommended the following:

Senate Amendment (with ballot amendment)

Delete lines 81 - 106

and insert:

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2. A determination that formal charges will not be filed and the judge or justice agreeing to waive the confidentiality of the records or materials relating to the complaint; or

3. The entry of a stipulation or other settlement agreement before the investigative panel determines whether to file formal against a justice or judge such charges, and

12 all further proceedings before the commission shall be <u>open to</u> 13 <u>the public and all records and materials of the commission</u>

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14	relating to the complaint against the justice or judge shall be
15	open to the public for inspection or copying. However,
16	information that is otherwise confidential or exempt shall
17	retain its status. The records and materials shall be accessible
18	to the public regardless of whether they were received or
19	created while the proceedings were confidential or open to the
20	public.
21	(5) The commission shall have access to all information
22	from all executive, legislative and judicial agencies, including
23	grand juries, subject to the rules of the commission. At any
24	time, on request of the speaker of the house of representatives
25	or the governor, the commission shall make available all
26	information in the possession of the commission for use in
27	consideration of impeachment or suspension, respectively. <u>Upon</u>
28	request, the commission shall notify the speaker of the house of
29	representatives of all complaints received or initiated, all
30	investigations conducted, and all complaints dismissed, settled,
31	or otherwise concluded.
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33	===== BALLOT STATEMENT AMENDMENT ======
34	And the ballot statement is amended as follows:
35	Delete lines 229 - 373
36	and insert:
37	CONSTITUTIONAL AMENDMENT
38	ARTICLE V, SECTION 12
39	MEETINGS, RECORDS, AND ACTIONS OF THE JUDICIAL
40	QUALIFICATIONS COMMISSIONThe Judicial Qualifications
41	Commission is an independent commission created by the State
42	Constitution to investigate and prosecute before the Florida

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43 Supreme Court alleged misconduct by a justice or judge. Currently under the Constitution, commission proceedings are 44 45 confidential until formal charges are filed by the investigative panel of the commission. Once formal charges are filed, the 46 47 formal charges and all further proceedings of the commission are public. This proposed amendment provides that all records and 48 49 materials in the possession of the commission which are not 50 otherwise confidential or exempt from disclosure and which 51 relate to a complaint against a justice or judge shall be open 52 to the public once formal charges are filed, once a decision is 53 made not to pursue formal charges and the justice or judge 54 waives the confidentiality of the records and materials, or once 55 the commission and the justice or judge enter into a settlement 56 agreement before the commission's investigative panel determines 57 whether to pursue formal charges. Additionally, the amendment 58 provides that further proceedings of the commission are also 59 open to the public once a decision is made not to pursue formal 60 charges or once the commission and the justice or judge enter into a settlement agreement before a decision is made on whether 61 62 to pursue formal charges.

63 Currently the State Constitution authorizes the House of 64 Representatives to impeach a justice or judge and authorizes the Governor to suspend a justice or judge. Further, the Speaker of 65 66 the House of Representatives or the Governor may request, and 67 the Judicial Qualifications Commission must make available, all 68 information in the commission's possession for use in deciding 69 whether to impeach or suspend a justice or judge. This proposed 70 amendment requires the commission to notify the Speaker of the 71 House of Representatives of all complaints received or initiated

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72 against a justice or judge, all investigations conducted, and 73 all complaints dismissed, settled, or otherwise concluded. 74 75 BE IT FURTHER RESOLVED that the following statement be placed on the ballot if a court declares the preceding statement 76 77 defective and the decision of the court is not reversed: 78 CONSTITUTIONAL AMENDMENT 79 ARTICLE V, SECTION 12 80 MAKING JUDICIAL QUALIFICATIONS COMMISSION MEETINGS AND 81 RECORDS PUBLIC AND REQUIRING NOTICE TO THE HOUSE SPEAKER.-82 Proposing an amendment to the State Constitution to increase 83 public access to records and meetings of the Judicial Qualifications Commission relating to complaints against 84 85 justices or judges in this state. The commission is responsible for investigating and prosecuting allegations of alleged 86 87 misconduct by state justices and judges. Currently, the State 88 Constitution provides that until formal charges are filed by the commission's investigative panel the proceedings of the 89 90 commission are confidential. However, once formal charges are 91 filed, the charges and all further proceedings are open to the 92 public. The initial complaint and other documents in possession 93 of the commission before the filing of formal charges do not become public after the filing of formal charges. This proposed 94 95 amendment provides that all further proceedings shall be open to 96 the public and all records and materials in the possession of 97 the commission relating to a complaint against a justice or 98 judge shall be open to the public for inspection or copying once 99 one of the following events occurs: formal charges are filed; a 100 decision is made not to file formal charges and the justice or



judge waives the confidentiality of the records and materials; or, before a decision is made on whether to file formal charges, the commission and the justice or judge enter into a settlement agreement. The proposed amendment applies only to information that is not otherwise confidential or exempt from disclosure.

The State Constitution currently authorizes the House of 106 107 Representatives to impeach a justice or judge and authorizes the Governor to suspend a justice or judge. The Constitution also 108 109 authorizes the Speaker of the House of Representatives or the 110 Governor to request from the Judicial Qualifications Commission 111 all information in the commission's possession for use in 112 deciding whether to impeach or suspend. The commission must make 113 the information available to the Governor and the Speaker of the 114 House of Representatives. This proposed amendment to the State 115 Constitution requires the commission to notify the Speaker of 116 the House of Representatives of all complaints received or 117 initiated against a justice or judge, all investigations conducted, and all complaints dismissed, settled, or otherwise 118 119 concluded.

121 BE IT FURTHER RESOLVED that the following statement be placed on the ballot if a court declares the preceding statement 122 123 defective and the decision of the court is not reversed: CONSTITUTIONAL AMENDMENT 124 125 ARTICLE V, SECTION 12 126 COMPLAINTS AGAINST AND INVESTIGATIONS OF JUSTICES AND 127 JUDGES.-Proposing an amendment to the State Constitution to provide that all records, materials, and proceedings related to 128 129 complaints and investigations of the Judicial Qualifications

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COMMITTEE AMENDMENT

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130 Commission which are not otherwise exempt from disclosure shall be open to the public for inspection and copying upon the filing 131 132 of formal charges against the justice or judge, upon a 133 determination that formal charges will not be filed and the 134 justice or judge waives the confidentiality of the records or 135 materials, or upon the commission and the justice or judge 136 entering into a settlement before a decision is made about 137 whether to file formal charges. This provision applies to all 138 records and materials in the possession of the commission 139 relating to that complaint against the justice or judge. The 140 commission is responsible for investigating and prosecuting 141 allegations of misconduct by state justices and judges. 142 Currently, after formal charges are filed, all further 143 proceedings conducted are open to the public and records and 144 materials thereafter created or acquired by the commission are 145 open to the public.

146 The State Constitution also provides currently that the House of Representatives may investigate a justice or judge for 147 misconduct and may initiate impeachment proceedings against a 148 149 justice or judge for the misconduct. This proposed amendment 150 requires the Judicial Qualifications Commission to notify the 151 Speaker of the House of Representatives of all complaints 152 received or initiated against justices and judges, of all 153 investigations conducted against justices and judges, and of all 154 complaints against justices and judges which are dismissed, 155 settled, or otherwise concluded.

157 BE IT FURTHER RESOLVED that the following statement be 158 placed on the ballot if a court declares the preceding statement

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159 defective and the decision of the court is not reversed: CONSTITUTIONAL AMENDMENT 160 161 ARTICLE V, SECTION 12 162 REVISING DISCLOSURE REQUIREMENTS FOR THE JUDICIAL 163 QUALIFICATIONS COMMISSION. - The State Constitution provides for 164 the Judicial Qualifications Commission to investigate and 165 recommend to the Supreme Court of Florida the discipline of any 166 justice or judge whose conduct warrants discipline. The State 167 Constitution also provides that commission proceedings are 168 confidential until formal charges are filed, at which point 169 further proceedings are open to the public. This proposed 170 amendment maintains the requirement for those proceedings to be open to the public, but also provides for increased public 171 172 access to proceedings of the commission and its records and materials. Specifically, under the amendment, the proceedings of 173 174 the commission must be open to the public upon a determination 175 by the commission that formal charges will not be filed and the justice or judge waives the confidentiality of the records and 176 177 matierials or upon the entry into a settlement agreement with 178 the justice or judge before the commission makes a decision on 179 whether to file formal charges. Also under the amendment, all records and materials of the commission related to a complaint 180 181 must be accessible to the public, excluding information that is 182 otherwise confidential or exempt from disclosure, once the 183 proceedings relating to the complaint are open to the public. 184 The proposed amendment additionally requires the commission to 185 notify the Speaker of the House of Representatives of all complaints received, initiated, or concluded and of all 186 187 investigations conducted.