By Senator Flores

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A bill to be entitled

An act relating to the use, prevention, and reduction of seclusion and restraint on students with disabilities in public schools; amending s. 1003.573, F.S.; providing definitions; providing legislative findings and intent; requiring that manual physical restraint be used only in an emergency when there is an imminent risk of serious injury or death to the student or others; providing restrictions on the use of manual physical restraint; prohibiting the use of manual physical restraint by school personnel who are not certified to use district-approved methods for applying restraint techniques; prohibiting specified techniques; requiring that each school medically evaluate a student after the student is manually physically restrained; prohibiting school personnel from placing a student in seclusion; providing requirements for the use of time-out; requiring that a school district report its training and certification procedures to the Department of Education; requiring that school personnel be trained and certified in the use of manual physical restraint; requiring that a school review a student's functional behavior assessment and positive behavioral intervention plan under certain circumstances; requiring that parents be notified of a school district's policies regarding the use of manual physical restraint; requiring that each school send a redacted copy of any incident report or other documentation to the Advocacy Center for Persons

with Disabilities, Inc.; requiring that the department make available on its website data of incidents of manual physical restraint by a specified date; requiring that each school district develop policies and procedures addressing the allowable use of manual physical restraint, personnel authorized to use such restraint, training procedures, analysis of data trends, and the reduction of the use of manual physical restraint; requiring that any revisions to a school district's policies and procedures be filed with the bureau chief of the Bureau of Exceptional Education and Student Services by a specified date; providing an effective date.

Be It Enacted by the Legislature of the State of Florida:

Section 1. Section 1003.573, Florida Statutes, is amended to read:

1003.573 <u>Use, prevention, and reduction of seclusion and restraint on students with disabilities in public schools</u> Use of restraint and seclusion on students with disabilities.

(1) DEFINITIONS.—As used in this section, the term:

(a) "Department" means the Department of Education.

 (b) "Imminent risk of serious injury or death" means the impending risk of a significant injury, such as a laceration, bone fracture, substantial hematoma, or other injury to internal organs, or death.

(c) "Manual physical restraint" means the use of physical restraint techniques that involve physical force applied by a

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teacher or other staff member to restrict the movement of all or part of a student's body.

- device that restricts a student's movement or restricts the normal function of a student's body. The term includes the use of straps, belts, tie-downs, calming blankets, and chairs with straps; however, the term does not include the use of:
 - 1. Medical protective equipment;
- 2. Physical equipment or orthopedic appliances, surgical dressings or bandages, or supportive body bands or other restraints necessary for ongoing medical treatment in the educational setting;
- 3. Devices used to support functional body position or proper balance, or to prevent a person from falling out of a bed or a wheelchair, except when such device is used for any purpose other than supporting a body position or proper balance, such as coercion, discipline, convenience, or retaliation, to prevent imminent risk of serious injury or death of the student or others, or for any other behavior-management reason; or
- $\underline{\text{4. Equipment used for safety during transportation, such as}}$ seatbelts or wheelchair tie-downs.
- (e) "Medical protective equipment" means health-related protective devices prescribed by a physician or dentist for use as student protection in response to an existing medical condition.
- (f) "Seclusion" means removing a student from an educational environment, involuntarily confining the student in a room or area, and preventing the student from leaving the room or area if achieved by locking the door or otherwise physically

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blocking the student's way, threatening physical force or other consequences, or using physical force. The term does not include the use of time-out.

- (g) "Student" means a student with a disability.
- (h) "Time-out" means a procedure in which access to varied sources of reinforcement is removed or reduced for a particular time period contingent on a response. The opportunity to receive reinforcement is contingently removed for a specified time.

 Either a student is contingently removed from the reinforcing environment or the reinforcing environment is contingently removed for some stipulated duration. A time-out setting may not be locked and the exit may not be blocked. Physical force or threats may not be used to place a student in time-out.
 - (2) LEGISLATIVE FINDINGS AND INTENT.—
- (a) The Legislature finds that public schools have a responsibility to ensure that each student is treated with respect and dignity in a trauma-informed environment that provides for the physical safety and security of students and others.
- (b) The Legislature finds that students, educators, and families are concerned about the use of seclusion and restraint, particularly when used on students in special education programs. Seclusion and restraint refer to safety procedures in which a student is isolated from others or physically held in response to serious problem behavior that places the student or others at risk of injury or harm. There is concern that these procedures are prone to misapplication and abuse and place a student at an equal or greater risk than the risk of the student's problem behavior. Particular concerns include:

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1. Seclusion or restraint is inappropriately selected and implemented as treatment or behavioral intervention rather than as a safety procedure;

- 2. Seclusion or restraint is inappropriately used for behaviors, such as noncompliance, threats, or disruption, which do not place the student or others at risk of injury or harm;
- 3. Students, peers, or staff may be injured or physically harmed during attempts to conduct seclusion or restraint;
- 4. Risk of injury or harm is increased because seclusion or restraint is implemented by staff who are not adequately trained;
- 5. The use of seclusion or restraint may inadvertently result in reinforcing or strengthening the problem behavior; and
- 6. Seclusion or restraint is implemented independent of comprehensive, function-based behavioral intervention plans.

Moreover, there are concerns about the inadequate documentation of seclusion or restraint procedures, the failure to notify parents when seclusion or restraint is applied, and the failure to use data to analyze and address the cause of the precipitating behavior.

(c) The Legislature finds that the majority of problem behaviors that are currently used to justify seclusion or restraint could be prevented with early identification and intensive early intervention. The need for seclusion or restraint is, in part, a result of insufficient investment in prevention efforts. The Legislature further finds that the use of seclusion or restraint may produce trauma in students. For such students, who are already experiencing trauma, the use may

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cause retraumatization. Left unaddressed, the lasting effects of childhood trauma place a heavy burden on individuals, families, and communities. Research has shown that trauma significantly increases the risk of mental health problems, difficulties with social relationships and behavior, physical illness, and poor school performance.

- (d) The Legislature intends that students be free from the abusive and unnecessary use of seclusion or restraint in the public schools. The Legislature further intends to prevent, and achieve an ongoing reduction of, the use of manual physical restraint in the public schools and, specifically, to prohibit the use of seclusion, prone and supine restraint, and mechanical restraint on students. The Legislature also intends that manual physical restraint be used only when an imminent risk of serious injury or death exists; that manual physical restraint not be employed as punishment, for the convenience of staff, or as a substitute for a positive behavior-support plan; and that, when used, persons applying manual physical restraint impose the least possible restrictions and discontinue the restraint as soon as the threat of imminent risk of serious injury or death ceases.
- (3) MANUAL PHYSICAL RESTRAINT.—Manual physical restraint shall be used only in an emergency when there is an imminent risk of serious injury or death to the student or others.
- (a) Manual physical restraint shall be used only for the period needed in order to eliminate the imminent risk of serious injury or death to the student or others.
- (b) The degree of force applied during manual physical restraint must be only that degree of force necessary to protect

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the student or others from bodily injury.

- (c) Manual physical restraint shall be used only by school personnel who are qualified and certified to use the district-approved methods for the appropriate application of specific restraint techniques. School personnel who have received training that is not associated with their employment with the school district, such as a former law enforcement officer who is now a teacher, shall be certified in the specific district-approved techniques and may not apply techniques or procedures acquired elsewhere.
- (d) School personnel may not manually physically restrain a student except when an imminent risk of serious injury or death to the student or others exists.
- (e) School personnel may not use any of the following manual physical restraint techniques on a student:
 - 1. Prone and supine restraint.
 - 2. Pain inducement to obtain compliance.
 - 3. Bone locks.
 - 4. Hyperextension of joints.
 - 5. Peer restraint.
 - 6. Mechanical restraint.
- 7. Pressure or weight on the chest, lungs, sternum, diaphragm, back, or abdomen, causing chest compression.
- 8. Straddling or sitting on any part of the body or any maneuver that places pressure, weight, or leverage on the neck or throat, on any artery, or on the back of the student's head or neck or that otherwise obstructs or restricts the circulation of blood or obstructs an airway.
 - 9. Any type of choking, including hand chokes, and any type

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- 10. Any technique that involves pushing anything on or into the student's mouth, nose, eyes, or any part of the face or that involves covering the face or body with anything, including soft objects such as pillows or washcloths.
- 11. Any maneuver that involves punching, hitting, poking, pinching, or shoving.
 - 12. Any type of mat or blanket restraint.
 - 13. Water or lemon sprays.
- (f) The school shall ensure that a student is medically evaluated by a physician, nurse, or other qualified medical professional as soon as possible after the student has been manually physically restrained by school personnel.
 - (4) SECLUSION; TIME-OUT.-
 - (a) School personnel may not place a student in seclusion.
- (b) School personnel may place a student in time-out if the following conditions are met:
- 1. The time-out is part of a positive behavioral intervention plan developed for that student from a functional behavioral assessment and referenced in the student's individual education plan.
- 2. There is documentation that the time-out was preceded by the use of other positive behavioral supports that were not effective.
- 3. The time-out takes place in a classroom or in another environment where class educational activities are taking place.
- 4. The student is not physically prevented from leaving the time-out area.
 - 5. The student is observed on a constant basis by an adult

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- 6. The time-out area and process are free of any action that is likely to embarrass or humiliate the student.
- (c) Time-out may not be used for a period that exceeds 1 minute for each year of a student's age and time-out must end immediately when the student is calm enough to return to his or her seat.
- (d) Time-out may not be used as a punishment or negative consequence of a student's behavior.
 - (5) TRAINING AND CERTIFICATION. -
- (a) Each school district shall report its training and certification procedures to the department by publishing the procedures in the district's special policies and procedures manual.
- (b) Training for initial certification in the use of manual physical restraint must include:
- $\underline{\mbox{1. Procedures for deescalating problem behaviors before the}}$ $\underline{\mbox{problems increase to a level or intensity necessitating physical}}$ $\underline{\mbox{intervention.}}$
- 2. Information regarding the risks associated with manual physical restraint and procedures for assessing individual situations and students in order to determine if the use of manual physical restraint is appropriate and sufficiently safe.
- 3. The actual use of specific techniques that range from the least to most restrictive, with ample opportunity for trainees to demonstrate proficiency in the use of such techniques.
- 4. Techniques for implementing manual physical restraint with multiple staff members working as a team.

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5. Techniques for assisting a student to reenter the instructional environment and again engage in learning.

- <u>6. Instruction in the district's documentation and</u> reporting requirements.
- 7. Procedures to identify and deal with possible medical emergencies arising during the use of manual physical restraint.
 - 8. Cardiopulmonary resuscitation.
- (c) School districts shall provide refresher certification training courses in manual physical restraint techniques at least annually to all staff members who have successfully completed the initial certification program. The district must identify those persons to be certified and maintain a record that includes the name and position of the person certified, the date of the most recent certification, an indication of whether it was an initial certification or a refresher certification, and whether the individual successfully completed the certification and achieved proficiency.
- (d) School district policies regarding the use of manual physical restraint must address whether it is appropriate for an employee working in specific settings, such as a school bus driver, school bus aide, job coach, employment specialist, or cafeteria worker, to be certified in manual physical restraint techniques. In the case of school resource officers or others who may be employed by other agencies when working in a school, administrators shall review each agency's specific policies to be aware of techniques that may be used.
- (6) STUDENT-CENTERED FOLLOWUP.—If a student is manually physically restrained more than twice during a school year, the school shall review the student's functional behavioral

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assessment and positive behavioral intervention plan.

- (7) DOCUMENTATION AND REPORTING.
- (a) At the beginning of each school year, a school district shall provide a copy of its policies on all emergency procedures, including its policies on the use of manual physical restraint, to each student's parent or guardian. The student's parent or guardian must sign a form indicating that he or she has read and received the district's policies, which the student's school shall retain on file.
- (b) (a) A school shall prepare an incident report within 24 hours after a student is released from a restraint or seclusion. If the student's release occurs on a day before the school closes for the weekend, a holiday, or another reason, the incident report must be completed by the end of the school day on the day the school reopens.
- (c) (b) The following must be included in the incident report:
 - 1. The name of the student restrained or secluded.
- 2. The age, grade, ethnicity, and disability of the student restrained or secluded.
- 3. The date and time of the event and the duration of the restraint or seclusion.
- 4. The location at which the restraint or seclusion occurred.
- 5. A description of the type of restraint used in terms established by the Department of Education.
- 6. The name of the person using or assisting in the restraint or seclusion of the student.
 - 7. The name of any nonstudent who was present to witness

320 the restraint or seclusion.

- 8. A description of the incident, including:
- a. The context in which the restraint or seclusion occurred.
- b. The student's behavior leading up to and precipitating the decision to use manual or physical restraint or seclusion, including an indication as to why there was an imminent risk of serious injury or death to the student or others.
- c. The specific positive behavioral strategies used to prevent and deescalate the behavior.
- d. What occurred with the student immediately after the termination of the restraint or seclusion.
- e. Any injuries, visible marks, or possible medical emergencies that may have occurred during the restraint or seclusion, documented according to district policies.
- f. The results of the medical evaluation and a copy of any report by the medical professionals conducting the evaluation, if available. If the medical report is not available within 24 hours, the district must submit the medical report separately as soon as it is available.
- $\underline{\text{g.f.}}$ Evidence of steps taken to notify the student's parent or guardian.
- (d) (e) A school shall notify the parent or guardian of a student each time manual or physical restraint or seclusion is used. Such notification must be in writing and provided before the end of the school day on which the restraint or seclusion occurs. Reasonable efforts must also be taken to notify the parent or guardian by telephone or computer e-mail, or both, and these efforts must be documented. The school shall obtain, and

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keep in its records, the parent's or guardian's signed acknowledgment that he or she was notified of his or her child's restraint or seclusion.

- (e) (d) A school shall also provide the parent or guardian with the completed incident report in writing by mail within 3 school days after a student was manually or physically restrained or secluded. The school shall obtain, and keep in its records, the parent's or guardian's signed acknowledgment that he or she received a copy of the incident report.
 - $(8) \frac{(2)}{(2)}$ MONITORING.
- (a) Monitoring of The use of manual or physical restraint or seclusion on students shall be monitored occur at the classroom, building, district, and state levels.
- (b) Any documentation prepared by a school pursuant to as required in subsection (7) (1) shall be provided to the school principal, the district director of Exceptional Student Education, and the bureau chief of the Bureau of Exceptional Education and Student Services electronically each week month that the school is in session.
- (c) Each week that a school is in session, the school shall send a redacted copy of any incident report and other documentation prepared pursuant to subsection (7) to the Advocacy Center for Persons with Disabilities, Inc.
- (d) (e) The department shall maintain aggregate data of incidents of manual or physical restraint and seclusion and disaggregate the data for analysis by county, school, student exceptionality, and other variables, including the type and method of restraint or seclusion used. This information shall be updated monthly and made available to the public through the

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department's website no later than January 31, 2013.

- (e) (d) The department shall establish standards for documenting, reporting, and monitoring the use of manual or physical restraint or mechanical restraint, and occurrences of seclusion. These standards shall be provided to school districts by October 1, 2011.
 - (9) (3) SCHOOL DISTRICT POLICIES AND PROCEDURES. -
- (a) Each school district shall develop policies and procedures that are consistent with this section and that govern the following:
 - 1. Allowable use of manual physical restraint on students.
 - 2. Personnel authorized to use manual physical restraint.
 - 3. Training procedures.
 - 4.1. Incident-reporting procedures.
- 5.2. Data collection and monitoring, including when, where, and why students are restrained or secluded; the frequency of occurrences of such restraint or seclusion; and the prone or mechanical restraint that is most used.
 - 6.3. Monitoring and reporting of data collected.
- 7.4. Training programs relating to manual or physical restraint and seclusion.
- 8.5. The district's plan for selecting personnel to be trained.
- 9.6. The district's plan for reducing the use of restraint and seclusion particularly in settings in which it occurs frequently or with students who are restrained repeatedly, and for reducing the use of prone restraint and mechanical restraint. The plan must include a goal for reducing the use of restraint and seclusion and must include activities, skills, and

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rooms.

2012144 resources needed to achieve that goal. Activities may include, but are not limited to: a. Additional training in positive behavioral support and crisis management; b. Parental involvement; c. Data review; d. Updates of students' functional behavioral analysis and positive behavior intervention plans; e. Additional student evaluations; f. Debriefing with staff; g. Use of schoolwide positive behavior support; and h. Changes to the school environment. 10. Analysis of data to determine trends. 11. Ongoing reduction of the use of manual physical restraint. (b) Any revisions that a school district makes to its to the district's policies and procedures, which are must be prepared as part of the school district's its special policies and procedures, must be filed with the bureau chief of the Bureau of Exceptional Education and Student Services no later than January 31, 2012. (4) PROHIBITED RESTRAINT.—School personnel may not use a mechanical restraint or a manual or physical restraint that restricts a student's breathing.

(5) SECLUSION. School personnel may not close, lock, or

physically block a student in a room that is unlit and does not

meet the rules of the State Fire Marshal for seclusion time-out

Section 2. This act shall take effect July 1, 2012.