

The Florida Senate
BILL ANALYSIS AND FISCAL IMPACT STATEMENT

(This document is based on the provisions contained in the legislation as of the latest date listed below.)

Prepared By: The Professional Staff of the Committee on Education

BILL: SB 260

INTRODUCER: Senator Book and others

SUBJECT: Students with Disabilities in Public Schools

DATE: February 5, 2018

REVISED: _____

	ANALYST	STAFF DIRECTOR	REFERENCE	ACTION
1.	Androff	Graf	ED	Pre-meeting
2.			HP	
3.			RC	

I. Summary:

SB 260 revises the use of restraint techniques on certain students with disabilities, prohibits placing such students in seclusion, and specifies responsibilities for school districts, schools, and the Commissioner of Education (commissioner). Specifically, the bill:

- Defines student to mean a student with a functional behavioral assessment and an individualized behavior intervention plan.
- Defines exclusionary and nonexclusionary time and establishes conditions under which a student may be placed in exclusionary or nonexclusionary time.
- Prohibits the use of straitjackets and the use of specified physical restraint techniques by school personnel on students.
- Requires each school district to:
 - Develop policies and procedures regarding physical safety and security of all students and school personnel.
 - Report procedures for training related to restraint and the bill specifies the components of such training.
 - Publicly post its policies on all emergency procedures, including the district's policies on the use of restraint and seclusion.
- Requires a school to conduct a review of incidents of restraint, and related interventions and school personnel training
- Requires the commissioner to develop recommendations to incorporate instruction regarding emotional or behavioral disabilities into continuing education and inservice training requirements for instructional personnel.

This bill takes effect July 1, 2018.

II. Present Situation:

Florida law provides conditions for the use of restraint and seclusion on students with disabilities; and requires documentation, reporting, and monitoring of the use of such techniques.

The Use of Restraint and Seclusion

Florida law does not define restraint or seclusion, but guidance by the Florida Department of Education directs that all documenting, reporting, and monitoring requirements for restraint be based on the federal Office for Civil Rights (OCR) definitions related to restraint and seclusion for all students.¹

Restraint

The U.S. Department of Education defines the following instances of restraint:

- Physical restraint immobilizes or reduces the ability of a student to move his or her torso, arms, legs, or head freely and does not include a physical escort.² A physical escort means a temporary touching or holding of the hand, wrist, arm, shoulder, or back for the purpose of inducing a student who is acting out to walk to a safe location.³
- Mechanical restraint is the use of any device or equipment to restrict a student's freedom of movement and does not include devices implemented by trained school personnel, or utilized by a student that have been prescribed by an appropriate medical or related service professional and are used for the specific and approved purposes for which such devices were designed.⁴

School personnel are prohibited from using a mechanical restraint or a physical or manual restraint that restricts a student's breathing.⁵ School personnel may not close, lock, or physically block a student in a room that is unlit and does not meet the rules of the State Fire Marshall for seclusion time-out rooms.⁶

School districts began reporting incidents of restraint and seclusion at the beginning of the 2010-11 school year.⁷ Since the inception of the reporting system through July 31, 2017, there have been 63,652 incidents of restraint and the number of incidents have decreased every school year since the enactment of the law.⁸

¹ Florida Department of Education, Bureau of Exceptional Education and Student Services, *Guidelines for the Use, Documentation, Reporting, and Monitoring of Restraint and Seclusion with Students with Disabilities*, Technical Assistance Paper FY 2011-165 (Oct. 2011), available at <https://info.fldoe.org/docushare/dsweb/Get/Document-6212/dps-2011-165.pdf>, (last visited Feb. 5, 2018) at 2.

² U.S. Department of Education, Office of Civil Rights, *Dear Colleague Letter: Restraint and Seclusion of Students with Disabilities* (Dec. 28, 2016), available at <https://www2.ed.gov/about/offices/list/ocr/letters/colleague-201612-504-restraint-seclusion-ps.pdf>, (last visited Feb. 5, 2018) at 6.

³ *Id.*

⁴ *Id.*

⁵ Section 1003.573(4), F.S.

⁶ *Id.* at (5); Rule 69A-58.0084, F.A.C.

⁷ Florida Department of Education, *SB 260 Analysis* (Sept. 28, 2017), at 4.

⁸ *Id.*

School Year	Number of Students ⁹	Restraint Incidents
2010-11	3580	10,683
2011-12	4369	9,789
2012-13	4096	9,551
2013-14	3479	8,964
2014-15	3229	8,199
2015-16	3437	7,696
2016-17	3239	8,770
Total	22,190	63,652

Seclusion

The OCR defines seclusion as the involuntary confinement of a student alone in a room or area from which the student is physically prevented from leaving.¹⁰ Seclusion does not include a time out, which is a behavior management technique that is part of an approved program, involves the monitored separation of the student in a non-locked setting, and is implemented for the purpose of calming.¹¹

School districts began reporting incidents seclusion at the beginning of the 2010-11 school year.¹² Since the inception of the reporting system through July 31, 2017, there have been 19,354 incidents of seclusion reported.¹³ However, the number of incidents have decreased every school year since the enactment of the law.¹⁴

School Year	Number of Students ¹⁵	Seclusion Incidents
2010-11	1,321	4,637
2011-12	1,448	4,245
2012-13	1,237	3,024
2013-14	885	2,272
2014-15	732	2,262
2015-16	638	1,563
2016-17	503	1,351
Total	6,621	19,354

Florida law specifies responsibilities for schools districts, schools, and the Florida Department of Education regarding the use of restraint and seclusion on students with disabilities.

⁹ Email, Florida Department of Education (Dec. 18, 2017).

¹⁰ Florida Department of Education, Bureau of Exceptional Education and Student Services, *Guidelines for the Use, Documentation, Reporting, and Monitoring of Restraint and Seclusion with Students with Disabilities*, Technical Assistance Paper FY 2011-165 (Oct. 2011), available at <https://info.fldoe.org/docushare/dsweb/Get/Document-6212/dps-2011-165.pdf>, (last visited Feb. 5, 2018) at 2.

¹¹ U.S. Department of Education, Office of Civil Rights, *Dear Colleague Letter: Restraint and Seclusion of Students with Disabilities* (Dec. 28, 2016), available at <https://www2.ed.gov/about/offices/list/ocr/letters/colleague-201612-504-restraint-seclusion-ps.pdf>, (last visited Feb.5, 2018) at 7.

¹² Florida Department of Education, *SB 260 Analysis* (Sept. 28, 2017), at 4.

¹³ *Id.*

¹⁴ *Id.*

¹⁵ Email, Florida Department of Education (Dec. 18, 2017).

School District Responsibilities

Each school district must develop policies and procedures that are consistent with Florida law regarding the use of restraint and seclusion on students with disabilities and that govern specified topics, such as:¹⁶

- Data collection and monitoring, including when, where, and why students are restrained or secluded; the frequency of occurrences of such restraint or seclusion; and the prone or mechanical restraint that is most used.
- Training programs relating to manual or physical restraint and seclusion.
- The district's plan for reducing the use of restraint and seclusion particularly in settings in which it occurs frequently or with students who are restrained repeatedly, and for reducing the use of prone restraint and mechanical restraint.

School Responsibilities

Florida law requires a school to prepare an incident report within 24 hours after a student is released from restraint or seclusion.¹⁷ The incident report must contain information specified in law, such as:¹⁸

- The name, age, grade, ethnicity, and disability of the student restrained or secluded.
- The date and time of the event and the duration of the restraint or seclusion.
- A description of the type of restraint used in terms established by the Florida Department of Education (DOE).
- A detailed description of the incident.

A school must notify the parent or guardian of a student each time that manual or physical restraint or seclusion is used.¹⁹ Such notification must be in writing and provided before the end of the school day on which the restraint or seclusion occurs.²⁰ Reasonable efforts must also be taken to notify the parent or guardian by telephone or computer e-mail, or both, and these efforts must be documented.²¹ The school must obtain and keep in its records the parent's or guardian's signed acknowledgement that he or she was notified of his or her child's restraint or seclusion.²² A school must also provide the parent or guardian with the completed incident report in writing by mail within 3 school days after a student was manually or physically restrained or secluded and the school must obtain, and keep in its records, the parents' or guardian's signed acknowledgement that he or she received a copy of the incident report.²³

Florida law requires monitoring of the use of manual or physical restraint or seclusion on students to occur at the classroom, building, district, and state levels.²⁴ Documentation of the

¹⁶ Section 1003.573(3)(a), F.S.

¹⁷ Section 1003.573(1)(a), F.S. If the student's release occurs on a day before the school closes for the weekend, a holiday or another reason, the incident report must be completed by the end of the school day on the day the school reopens. *Id.*

¹⁸ Section 1003.573(1)(b), F.S.

¹⁹ Section 1003.573(1)(c), F.S.

²⁰ *Id.*

²¹ *Id.*

²² *Id.*

²³ *Id.* at (d).

²⁴ Section 1003.573(2)(a), F.S.

incident report and the notification to the parent or guardian must be provided to the school principal, the district director of Exceptional Student Education, and the bureau chief of the Bureau of Exceptional Education and Student Services electronically each month that school is in session.²⁵

Florida Department of Education Responsibilities

The DOE is required to maintain aggregate data of incidents of manual or physical restraint and seclusion and disaggregate data for analysis by county, school, student exceptionality, and other variables, including the type and method of restraint or seclusion used.²⁶ This information must be updated monthly.²⁷ The DOE is also required to establish standards for documenting, reporting, and monitoring the use of manual or physical restraint or mechanical restraint, and occurrences of seclusion for the school districts.²⁸

The Commissioner of Education is required to develop recommendations to incorporate instruction regarding autism spectrum disorder, Down syndrome, and other developmental disabilities into continuing education or inservice training requirements for personnel.²⁹ These recommendations address:³⁰

- Early identification and intervention methods.
- Curriculum planning and curricular and instructional modifications, adaptations, and specialized strategies and techniques.
- The use of available state and local resources.
- The use of positive behavioral supports to deescalate problem behaviors.
- Appropriate use of manual physical restraint and seclusion techniques.

III. Effect of Proposed Changes:

SB 260 revises the use of restraint techniques on certain students with disabilities, prohibits placing such students in seclusion, and specifies responsibilities for school districts, schools, and the Commissioner of Education (commissioner). Specifically, the bill:

- Defines student to mean a student with a functional behavioral assessment and an individualized behavior intervention plan.
- Defines exclusionary and nonexclusionary time and establishes conditions under which a student may be placed in exclusionary or nonexclusionary time.
- Prohibits the use of straitjackets and the use of specified physical restraint techniques by school personnel on students.
- Requires each school district to:

²⁵ Section 1003.573(1)(b), F.S.

²⁶ *Id.* at (c).

²⁷ *Id.*

²⁸ *Id.* at (d). Florida Department of Education, Bureau of Exceptional Education and Student Services, *Guidelines for the Use, Documentation, Reporting, and Monitoring of Restraint and Seclusion with Students with Disabilities*, Technical Assistance Paper FY 2011-165, Appendix A (Oct. 2011), available at <https://info.fldoe.org/docushare/dsweb/Get/Document-6212/dps-2011-165.pdf> (last visited Feb. 5, 2018).

²⁹ Section 6, ch. 2010-224, L.O.F.; Section 1012.582(1), F.S.

³⁰ Section 1012.582(1)(a)-(e), F.S.

- Develop policies and procedures regarding physical safety and security of all students and school personnel.
- Report procedures for training related to restraint and the bill specifies the components of such training.
- Publicly post its policies on all emergency procedures, including the district's policies on the use of restraint and seclusion.
- Requires a school to conduct a review of incidents of restraint, and related interventions and school personnel training.
- Requires the commissioner to develop recommendations to incorporate instruction regarding emotional or behavioral disabilities into continuing education and inservice training requirements for instructional personnel.

The Use of Restraint and Seclusion

Restraint

The bill defines the following terms related to restraint:

- Restraint means the use of a mechanical or physical restraint, which may be used only when all other behavioral strategies and intervention techniques have been exhausted.
- Mechanical restraint means the use of a device that restricts a student's freedom of movement and does not include the use of any of the following:
 - Medical protective equipment, which means health-related protective devices prescribed by a physician or dentist for use as student protection in response to an existing medical condition.
 - Behavioral protective equipment, including helmets, gloves, wraps, and other devices that are used temporarily to prevent severe tissue damage caused by behavioral excess.
 - Physical equipment or orthopedic appliances, surgical dressings or bandages, or supportive body bands or other restraints necessary for ongoing medical treatment in the educational setting.
- Physical restraint is the use of manual restraint techniques that involve significant physical force applied by a teacher or other staff member to restrict the movement of all or part of a student's body.

The bill limits the use of physical restraint to only when there is an imminent risk of serious injury or death to the student or others and only for the period of time necessary to eliminate such risk.³¹ Moreover, the bill specifies that physical restraint:

- Must be used only to protect the safety of students, school personnel, or others.
- May not be used for student discipline, to correct student noncompliance, or for the convenience of school district staff.
- Must only be used for the period needed to provide such protection.

The bill specifies that the degree of force applied during physical restraint must be the only degree of force necessary to protect the student or others from serious injury or death. Additionally, the bill prohibits the use of a straitjacket.

³¹ SB 260 defines an imminent risk of serious injury or death as the impending risk of a significant injury, such as a laceration, bone fracture, substantial hematoma, or injury to an internal organ, or death.

The bill also clarifies that school personnel who have received training that is not associated with their employment with the school district, such as a former law enforcement officer who is now a teacher, must receive training in the specific district-approved techniques and may not apply techniques or procedures acquired elsewhere. Specifically, school personnel may not use any of the following physical restraint techniques on a student:

- Pain inducement to obtain compliance.
- Bone locks.
- Hyperextension of joints.
- Peer restraint.
- Pressure or weight on the chest, lungs, sternum, diaphragm, back, or abdomen causing chest compression.
- Straddling or sitting on any part of the body or any maneuver that places pressure, weight, or leverage on the neck or throat, on an artery, or on the back of the head or neck, or that otherwise obstructs or restricts the circulation of blood or obstructs an airway.
- Any type of choking, including hand chokes, and any type of neck or head hold.
- A technique that involves spraying or pushing anything on or into the mouth, nose, eyes, or any part of the face or body with anything, including soft objects such as pillows or washcloths.
- Any maneuver that involves punching, hitting, poking pinching, or shoving.

The codification of impermissible physical restraint techniques may provide additional protection for students who are subject to restraint.

Seclusion

The bill prohibits the use of seclusion on students by school personnel. The bill defines seclusion to mean the removal of a student from an educational environment, involuntarily confining the student in a room or area, and preventing the student from leaving the area by locking or artificially blocking the door. The bill specifies that seclusion does not include exclusionary time. This prohibition may propel school personnel to consider effective and appropriate intervention strategies to address student behavior in the school setting.

Exclusionary and Nonexclusionary Time

The bill specifies that a student may be placed in exclusionary or nonexclusionary time. The bill defines:

- Exclusionary time to mean the period during which a student is removed from an event, activity, or instructional environment to encourage reflection on behavior and allow space and time for understanding of choices and consequences.
- Nonexclusionary time to mean a period during which a student remains in the event or instructional environment, but is redirected from the activities so that he or she has an opportunity to reflect on the behavior and is given space and time for understanding of choices and consequences.

The bill provides that school personnel may place a student in exclusionary or nonexclusionary time only if all of the following conditions are met:

- The exclusionary time or nonexclusionary time is part of a positive behavioral intervention plan developed for the student from a functional behavioral assessment and referenced in the student's individualized behavior intervention plan.
- There is documentation that the exclusionary nonexclusionary time was preceded by the use of other positive behavioral supports that were not effective.
- The exclusionary or nonexclusionary time takes place in a classroom or in another environment where class educational activities are taking place.
- The student is not physically prevented from leaving the exclusionary or nonexclusionary time area.
- An adult observes the student on a constant basis for the duration of the exclusionary or nonexclusionary time.
- The exclusionary or nonexclusionary time area and process are free of any action that is likely to embarrass or humiliate the student.

The bill also specifies that exclusionary or nonexclusionary time may not be used for a period that exceeds one minute for each year of a student's age or until the student is calm enough to return to his or her seat. Further, exclusionary or nonexclusionary time may not be used as a punishment or negative consequence of a student's behavior.

The establishment of exclusionary and nonexclusionary time may provide school personnel with additional intervention methods and tools to reduce disruption during instructional time or other activities and to assist students to reflect on their behavior.

School District Responsibilities

The bill requires school districts to develop policies and procedures that provide for the physical safety and security of all students and school personnel and which treat all students with respect and dignity in an environment that promotes a positive school culture and climate. This is consistent with Florida Department of Education (DOE) guidance.³² The bill specifies that the policies and procedures adopted by the school districts must also include:

- A description of escalating behavioral strategies that may be used.
- Allowable use of restraint on students.
- Training procedures.
- The district's timeframe for completing the newly established training procedures in the use of restraint on students.
- Analysis of data to determine trends.
- Ongoing reduction of the use of restraint.

³² DOE guidance recognizes that there are instances in which students pose a threat to the safety of themselves or others and that it is the purpose of restraint and seclusion to prevent such injury to self and or others. Seclusion and restraint procedures are not to be used to punish a student, as a deterrent, or to teach a student a lesson. DOE guidance states that it is important to recognize that the use of restraint and seclusion may have an emotional impact on students and such interventions should only be used in emergency situations when an imminent risk of serious injury or death to the student or others exists and in a manner that conveys respect for the dignity of the student. Florida Department of Education, Bureau of Exceptional Education and Student Services, *Guidelines for the Use, Documentation, Reporting, and Monitoring of Restraint and Seclusion with Students with Disabilities*, Technical Assistance Paper FY 2011-165 (Oct. 2011), available at <https://info.fldoe.org/docushare/dsweb/Get/Document-6212/dps-2011-165.pdf>, (last visited Feb. 5, 2018) at 1.

Additionally, the bill requires each school district to publicly post its policies on all emergency procedures, including its policies on the use of seclusion and restraint at the beginning of each school year. Accordingly, such policies and procedures may assist with reducing the use of restraint techniques by public schools.

Training for the Use of Restraint

The bill requires each school district to develop and publish training protocol. Each school district must report its procedures for training in the use of restraint to the DOE by publishing the procedures in the district's special policies and procedures manual. The bill specifies that the school district training in the use of restraint must include all of the following:

- Procedures for deescalating a problem behavior before the problem behavior increases to a level or intensity necessitating physical intervention.
- Information regarding the risks associated with restraint and procedures for assessing individual situations and restraint and procedures for assessing individual situations and students in order to determine whether the use of restraint is appropriate and sufficiently safe.
- The actual use of specific techniques that range from the least to most restrictive, with ample opportunity for trainees to demonstrate proficiency in the use of such techniques.
- Techniques for implementing restraint with multiple staff members working as a team.
- Techniques for assisting a student in reentering the instructional environment and reengaging in learning.
- Instruction in the district's documentation and reporting requirements.
- Procedures to identify and deal with possible medical emergencies arising during the use of restraint.
- Cardiopulmonary recitation.

The establishment of training protocol on the use of restraint may provide school personnel with additional resources and knowledge related to the techniques and the use of restraint.

School Responsibilities

The bill requires a school to conduct a review if a student is restrained more than twice during a semester. Such review must include:

- The restraint incidents and an analysis of how future incidents may be avoided;
- The student's functional behavioral assessment and positive behavioral intervention plan by the school personnel and parent within two weeks before the end of the semester; and
- The training provided to school personnel concerning the use of restraint.

Such review process may assist the schools and school districts to reduce the use of restraint on students and ensure that appropriate training is provided to personnel regarding restraint techniques and use.

Florida Department of Education Responsibilities

The bill also requires the DOE to make the aggregate-level data maintained on the incidents of restraint, disaggregated by county, school, student exceptionality, and other variables, available to the public through the department's website by October 1, 2018. This may provide the public

with access to policies, procedures, and data related to the use of seclusion and restraint on students.

The bill requires the Commissioner of Education to develop recommendations that incorporate instruction regarding emotional or behavioral disabilities into continuing education or inservice training requirements for instructional personnel. Such recommendations must address the appropriate use of physical restraint and seclusion techniques and effective classroom behavior management strategies, including, but not limited to, differential reinforcement, precision commands, minimizing attention or access to other reinforcers, and exclusionary and nonexclusionary time methods.

Accordingly, the bill may help instructional personnel to be informed and trained in strategies to teach students with emotional or behavioral disabilities.

The bill takes effect July 1, 2018.

IV. Constitutional Issues:

A. Municipality/County Mandates Restrictions:

None.

B. Public Records/Open Meetings Issues:

None.

C. Trust Funds Restrictions:

None.

V. Fiscal Impact Statement:

A. Tax/Fee Issues:

None.

B. Private Sector Impact:

None.

C. Government Sector Impact:

According to the Florida Department of Education, school districts may incur costs associated with certification and refresher training in district-approved techniques for manual physical restraint.³³ Such costs are currently indeterminable.³⁴

³³ Florida Department of Education, *SB 260 Analysis* (Sept. 28, 2017), at 7.

³⁴ *Id.*

VI. Technical Deficiencies:

None.

VII. Related Issues:

None.

VIII. Statutes Affected:

This bill substantially amends the following sections of the Florida Statutes: 1003.573 and 1012.582.

IX. Additional Information:

A. Committee Substitute – Statement of Changes:

(Summarizing differences between the Committee Substitute and the prior version of the bill.)

None.

B. Amendments:

None.