

Amendment No. 1

COMMITTEE/SUBCOMMITTEE ACTION

ADOPTED	<u> </u>	(Y/N)
ADOPTED AS AMENDED	<u> </u>	(Y/N)
ADOPTED W/O OBJECTION	<u> </u>	(Y/N)
FAILED TO ADOPT	<u> </u>	(Y/N)
WITHDRAWN	<u> </u>	(Y/N)
OTHER	<u> </u>	

1 Committee/Subcommittee hearing bill: Commerce Committee
 2 Representative Barnaby offered the following:

Amendment

Remove lines 561-575 and insert:

6 issuer's parent for the participation of its employees,
 7 directors, managers, managing members, general partners,
 8 trustees, officers, or consultants and advisors, and their
 9 family members who acquire such securities from such persons
 10 through gifts or domestic relations orders. This includes offers
 11 or sales of such securities to all of the following persons:

12 (a) Former employees, directors, managers, managing
 13 members, general partners, trustees, officers, or consultants
 14 and advisors, provided that the securities are issued to such
 15 persons in connection with their prior employment by or services
 16 to the issuer.

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(b) Insurance agents who are exclusive insurance agents of